UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF	
	NEVADA PROOF OF INTEREST
	Number:
///	24-50792
"Interest holder"):	heck box if you are aware that anyone has filed a proof of interest relating to interest. Attach copy of statement ag particulars. RECEIVED AND FILED
any	heck box if you have never received notices from the bankruptcy court or DEC 1 2024
Tuscumbia, AL 35674 Telephone Number:	Debtors in this case. heck box if this address differs from u.S. BANKRUPTCY COUP address on the envelope sent to you by NARY A. SCHOTT, CLER Debtors.
NOTE: This form SHOULD NOT be used to make a claim against the Debtor for mon- form should be used for that purpose. This form should only be used to assert an Equit Interest is any right arising from any capital stock and any equity security in any of the in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or a security, (b) interest of a limited partner in a limited partnership, or (c) warrant or righ purchase, sell, or subscribe to a share, security, or interest of a kind specified in subsect	Interest in the Debtor. An Equity Debtor. An equity security is defined enominated stock or similar t other than a right to convert, to
2 A 10) C 1) 1 6 A 1 1 1 1 repl	here if this claim: aces a previously filed Proof of Interestdated: nds a previously filed Proof of Interestdated:
2. Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest: Lenathor Wallace Charles Schwab: 3000 Schwab Way Westlaye, IX 76262 Telephone Number: 800-435-4000	e attached Donnmentation
	Certificate number(s): Sec Attachment
6. Type of Equity Interest: Please indicate the type of Equity Interest you hold: ☐ Check this box if your Equity Interest is based on an actual member interest held in ☐ Check this box if your Equity Interest is based on anything else and describe that int Description:	
7. Supporting Documents: Attach copies of supporting documents, such as stock certific	ates, option agreements, warrants, etc. in. If the documents are voluminous, attach a summary.
DO NOT SEND ORIGINAL DOCUMENTS. IT the documents are not available, expit	
Date-Stamped Copy: To receive an acknowledgement of the filing of your Proof of Interest.	erest, enclose a stamped, self-addressed envelope and copy of this Proof of
8. Date-Stamped Copy: To receive an acknowledgement of the filing of your Proof of Interest. 9. Signature: Check the appropriate box. I am the creditor. I am the creditor's authorized agent. (Attach copy of power of attorney, if any.) I am the trustee, or or their authorized agent.	the debtor,
8. Date-Stamped Copy: To receive an acknowledgement of the filing of your Proof of Interest. 9. Signature: Check the appropriate box. I am the creditor. I am the creditor's authorized agent. (Attach copy of power of attorney, if any.) or their authorized age (See Bankruptcy Rule I declare under penalty of perjury that the information provided in this claim is true and correspond to the control of the filing of your Proof of Interest.	the debtor,

(87.676,2\$)

Total

(66.62); 5.10 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.								
CO.002, Co.0	(6 1 .76£\$)		06.4\$	SZ	8668.4@ (TAMM) 27 Jdguod - NAAT AUT	TAMM		
COO.002, 12) COO.			\$4.82	34		TAMM	Λng	11/15/5051
COO.002,			28.4\$	00 b		TAMM	βuγ	11/12/5051
CO.0002(12)	(\$7,569.23)		\$4.83	372	4828.4@ (TAMM) 255 Jrlguog - NART AUT	TAMM	Buy	11/12/5051
EEL	\$3,953.04	ZT:0\$	₽ ८. ₽\$	PE8-	0047.4@ (TAMM) 4E8 bio2 - NAAT AUT	TAMM	JIĐS	11/12/5051
LEEL	(06.677,8\$)		87.6\$	000ίτ	PDE TRAN - Bought 1000 (MAMT) @3.799	TAMM	γng	11/23/2021
LEEL	(55.691\$)		\$2.87	65	eeə8:소๑ (TAMM) e2 Jriguog - NAЯT AGT	TAMM	γng	12/17/2021
December	(\$2,000,2\$)		\$2.58	877	TBYZ.C. (TAMM) 8TT frauo8 - NAAT ACT	TAMM	λng	12/28/2021
CO.0002, L.) LEL	(08.617,6\$)		98"T\$	2,000	9628.1@ (TAMM) 0005 Jrlguog - NAЯT AOT	TAMM	βuγ	7/57/5055
COC.002(12)	(\$290.00)		\$1.45	200	0024.1@ (TAMM) 005 Jrlguog - NART AGT	TAMM	λng	7/57/2022
DOC.0002.L2 DOC.	(86.255\$)		89°T\$	200	6676.1€ (TAMM) 005 Jrlguod - NAЯT AGT	TAMM	βnλ	7//2025
DOC	(76.352\$)		6Z'T\$	300	eest.t@ (TAMM) 00E Jrlguod - NAAT AUT	TAMM	βuγ	2/17/2022
LEL	(82.544\$)		8p.1\$	300	3874.1@ (TAMM) 005 Jrlguod - NAЯT AOT	TAMM	λng	2/24/2022
LEL	(\$8.622\$)		£5"T\$	0 / T .	1DA TRAN - Bought 170 (MMAT ADT	TAMM	λng	2/24/2022
LEL	(\$1,015.00)		\$2.03	005	00E0.5@ (TAMM) 002 tdguo8 - NAAT AGT	TAMM	Buy	3/1/5055
Dec.	410,682.87	17.0\$	\$5°T\$	ZOS'S-	0046.1@ (TAMM) TOZZ blo2 - NART AUT	TAMM	ΙΙ Ͽ ς	3/5/5055
December 2015 Let	(00.002,8\$)		0Z'T\$	000'S	1TDA TRAN - Bought 5000 (MMM) @1.7000	TAMM		
December 2015 Leg	(00.062\$)		St'T\$	200	0024.1⑤ (TAMM) 002 triguo8 - NAЯT AOT	TAMM	βuγ	3/14/5055
LEL	62.625,6\$	£7.0\$	08.1\$	00Z'S-	1008.1@ (TAMM) 0022 blo2 - NAЯТ AUT	TAMM		
LEL	(\$6,104.92)		65.1\$	00T'S	Seaz.1@ (TAMM) 0012 Jrlguod - NAAT AUT	TAMM	βuγ	7/2025
December 2018 December 3	(\$300.00)	2	05.1\$	700	1DA TRAN - Bought 200 (TAMM) @1.5000	TAMM		
December 2015 Lee	(86.285\$)		£1.13	200	9624.1@ (TAMM) 005 Jriguod - NAAT AUT	TAMM	λng	4/18/2022
Deciding of the content of the con	(26.482\$)		71.1 \$	005	01.169 (TAMM) @1.1699	TAMM		
Decided Headers Decided He	(\$1,220.00)		\$1.22	000'τ ·	TDA TRAN - Bought 1000 (MMAT) @1.2200	TAMM	βuγ	2/2/5055
Decided Deci	(\$484.00)		17.1\$	007	0012.19 (TAMM) 004 tdguod - NAAT AGT	TAMM	γng	2/6/2022
December 2015 LEL	(\$3,624.80)		\$1.84	026'ī	1DA ARA - Bought 1970 (TAMM) @1.8400	TAMM		
LEL	(00.666\$)		∠6 '0\$	0£0'T	103 (TAMM) 0E01 14guog - NART AGT	TAMM		
Co.002,L2)	(\$327.84)		Z9'0\$	675	1299.0@ (TAMM) @0.6651	TAMM		
Deciding column Tell Tel	(\$132.02)		\$1.63	18	PDT MARY - Bought 81 (TAMM) @1.6299	TAMM		
Deciding column Tell Tel	(00.010,2\$)		\$2.01	000ίτ	0010.S@ (TAMM) 000L 11guo8 - NART AGT	TAMM		
Decided Heverse Square Lel	(01.646,E\$)		05.2\$	ZTZ 'T	OOOE.S@ (TAMM) TITI (MART) @2.3000	TAMM	gnλ	77/1/2055
Decided Heverse Split Let Decided Heverse Split TAMM TAMMM	\$5.040,02\$	42.2 \$	97.1\$	-13,727	1094.19) (TAMM) 727E1 blo2 - NART AUT	TAMM	lləS	77/6/50
121/29/2024 Reverse Split TAMM TAMM TAMM TEL TAMM	(\$45.245)		ZZ:0\$	009ίτ		TAMM	βnλ	7/20/2023
121/29/2024 Reverse Split TAMM TAMM TAMM TEL TAMM	(\$0.287\$)		22.0\$	3,400	E215.0@ (TAMM) 0046 Jrl3u08 - NART AUT	TAMM	βnλ	7/20/2023
121/29/2024 Reverse Split TAMM TAMM TAMM TEL TAMM	97.620,1\$	δ Γ.0\$	17.0\$	000'S-	0S12.0@ (TAMM) 0002 blo2 - NART AUT	TAMM		
121/29/2024 Reverse Split TAMM	(00.089,2\$)		72.0\$	000'0τ	0892.0@ (TAMM) 00001 Jrguo8 - NART AUT	TAMM		
1/29/2024 Reverse Split MMM META MATLS INC 121/29/2023 Buy MMMT META MATLS INC 120/2921 40.10 (\$5.500.00)	(96.624\$)					TAMM		
1/29/2024 Reverse Split MMAT META MATLS INC 131 A0.12 A0.12 <t< td=""><td>82,843,58</td><td>9L'T\$</td><td></td><td></td><td>- · · · ·</td><td>TAMM</td><td></td><td></td></t<>	82,843,58	9L'T\$			- · · · ·	TAMM		
1/29/2024 Reverse Split MMAT META MATLS INC 131, 20/2028 Buy MMAT META MATLS INC 12,500.00)	(£9.6\$)		OT 0\$	00T				
1/29/2024 Reverse Split MMAT META MATLS INC 131	(00'005'T\$)		\$0.12	12,931	· · · · · · · · · · · · · · · · · · ·			
			<u> </u>					
	JunomA	Fees & Comm	Price	Quantity				



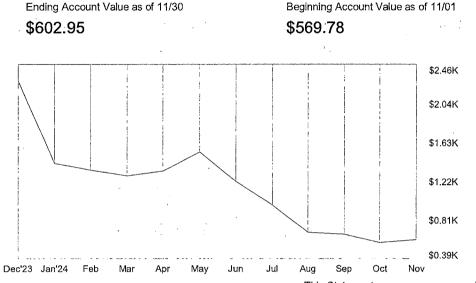
JOHNATHON D WALLACE DESIGNATED BENE PLAN/TOD Account Number

Statement Period

7141-8114

November 1-30, 2024

Account Summary



	This Statement	YTD
Beginning Value	\$569.78	\$2,288.13
Deposits	0.00	300.00
Withdrawals	0.00	0.00
Dividends and Interest	0.03	0.47
Transfer of Securities	0.00	0.00
Market Appreciation/(Depreciation)	33.14	(1,985.65)
Expenses	0.00	0.00
Ending Value ^w	\$602.95	\$602.95

Account Ending Value reflects the market value of your cash and investments. It does not include pending transactions, unpriced securities or assets held outside Schwab's custody.

Manage Your Account

Customer Service and Trading:

Call your Schwab Representative 1-800-435-4000 24/7 Customer Service

For the most current records on your account visit schwab.com/login. Statements are archived up to 10 years online.

Commitment to Transparency

Client Relationship Summaries and Best Interest disclosures are at schwab.com/transparency. Charles Schwab & Co., Inc. Member SIPC.

Online Assistance



Visit us online at schwab.com

Visit schwab.com/stmt to explore the features and benefits of this statement.

JOHNATHON D WALLACE DESIGNATED BENE PLAN/TOD 222 ROCKWOOD DRIVE TUSCUMBIA AL 35674

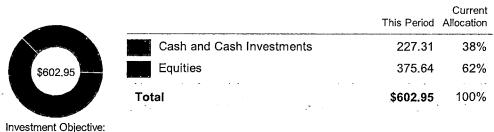


Growth

Schwab One® Account of

JOHNATHON D WALLACE **DESIGNATED BENE PLAN/TOD**

Asset Allocation



Top Account Holdings This Period SYMBOL CUSIP Description

CUSIF	Description	value	Accounts
FNGR	FINGERMOTION INC	306.00	51%
	Cash	227.31	38%
GTII	GLOBAL TECH INDS GROUP I	59.24	10%
MMATQ	META MATLS INC	7.99	1%
	GLOBAL TECH INDS GP	2.41	<1%

Market

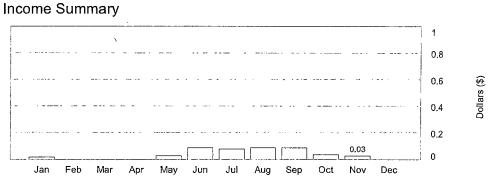
% of

Gain or (Loss) Summary

	, SI	nort-Term ^(ST)		Long-Term ^(LT)				
	Gain	(Loss)	Net	Gain	(Loss)	Net		
This Period	0.00	0.00	0.00	0.00	0.00	0.00		
YTD	0.00	(79.25)	(79.25)	0.00	0.00	0.00		
Unrealized		•		4 . 4-	(\$4,	936.75)		

Values may not reflect all of your gains/losses and may be rounded up to the nearest dollar; Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis may be incomplete or unavailable for some of your holdings and may change or be adjusted in certain cases. Please login to your account at Schwab.com for real-time gain/loss information. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional information refer to Terms and Conditions.

Statement Period November 1-30, 2024



	This Period	d	YTD	
Federal Tax Status	Tax-Exempt	Taxable	Tax-Exempt	Taxable
Bank Sweep Interest	0.00	0.03	0.00	0.47
Total Income	\$0.00	\$0.03	\$0.00	\$0.47



JOHNATHON D WALLACE DESIGNATED BENE PLAN/TOD Statement Period

November 1-30, 2024

Positions - Summary

Beginning Value as of 11/01	+	Transfer of Securities(In/Out)	+	Dividends Reinvested	+	Cash Activity	+	Change in Market Value	=	Ending Value as of 11/30		Cost Basis	Unrealized Gain/(Loss)
\$569.78		\$0.00		\$0.00		\$0.03		\$33.14		\$602.95	ķ	\$5,417.33 ⁱ	(\$4,936.75) i

Values may not reflect all of your gains/losses; Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis may be incomplete or unavailable for some of your holdings and may change or be adjusted in certain cases. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional information refer to Terms and Conditions,

Cash and Cash Investments

Туре	Symbol	Description	Quantity	Price(\$)	Beginning Balance(\$)	Ending Balance(\$)	Change in Period Balance(\$)	Pending/Unsettled Cash(\$)	Interest/ Yield Rate	% of Acct
Cash					0.00	227.31	227,31	0.00		38%
Bank Swe	ер	Bank Sweep ^{X,Z}	**	an is the contraction of the con-	227.28	0.00	(227.28)	Anne	0.10%	
Total Ca	sh and Cash	Investments			\$227.28	\$227.31	\$0.03			38%

Positions - Equities

Symbol	Description	Quantity	Price(\$)	Market Value(\$)	Cost Basis(\$)	Unrealized Gain/(Loss)(\$) E	Unrealized Gain/(Loss)(\$) Est. Yield		% of Acct
FNGR	FINGERMOTION INC	150.0000	2.04000	306.00	837.50	(531.50)	N/A	0.00	51%
	GLOBAL TECH INDS GP	80.0000	0.03010	2.41	N/A i	N/A i	N/A	0.00	<1%
GTII	GLOBAL TECH INDS GROUP I	1,968.0000	0.03010	59.24	2,962.85	(2,903.61)	N/A	0.00	10%
MMATQ	META MATLS INC	131.0000	0.06100	7.99	1,509.63	(1,501.64)	N/A	0.00	1%
Total E	quities			\$375.64	\$5,309.98	(\$4,936.75)		\$0.00	62%

Positions - Unpriced Securities

Total	Unpriced Securities	,		\$0.00	\$107.35	\$0.00	\$0.00
	NEXT BRIDGE HYDROCARBONS	10.0000			107.35	N/A	
Symbol	Description	Quantity	Price(\$)	Market Value(\$)	Cost Basis(\$)	Unrealized Gain/(Loss)(\$) Est. Yield	Est. Annual Income(\$)

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.



JOHNATHON D WALLACE
DESIGNATED BENE PLAN/TOD

Statement Period
November 1-30, 2024

Transactions - Summary

Beginning Cash* as of 11/01 +	Deposits	+ Withdrawals	+	Purchases	+	Sales/Redemptions	+ Dividends/Interest +	Expenses	= Ending Cash* as of 11/30
\$227.28	\$0.00	\$0.00		\$0.00		\$0.00	\$0.03	\$0.00	\$227.31
• .								34. 1	

Other Activity \$0.00

Other activity includes transactions which don't affect the cash balance such as stock transfers, splits, etc.

Transaction Details

Date Category	Action	Symbol/ CUSIP	Description	Quantity	Price/Rate per Share(\$)	Charges/ Interest(\$)	Amount(\$)	Realized Gain/(Loss)(\$)
11/14 Interest	Bank Interest X,Z		BANK INT 101624-111324				0.03	
Total Transact	ions						\$0.03	\$0.00

Date column represents the Settlement/Process date for each transaction.

Bank Sweep Activity

Date Description	Amount	Date Description	Amount	Date Description	Amount
11/01 Beginning Balance X,Z	\$227.28	11/14 BANK TRANSFER TO BROKERAGE	(227.31)	11/29 Interest Rate *Z	0.10%
11/14 BANK INTEREST X.Z	0.03	11/30 Ending Balance ^{x,z}	\$0.00		

^{*} Your interest period was 11/20/24 - 11/13/24. Z

Endnotes For Your Account

- W Excluding unpriced securities (see Investment Detail).
- Value includes incomplete, missing or cost basis that is not tracked due to the security type. If cost basis is not available for an investment, you may be able to provide updates. For questions, please refer to the contact information on the first page of this statement.
- X Bank Sweep deposits are held at FDIC-insured Program Banks, which are listed in the Cash Features Disclosure Statement.
- Z For the Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its Program Banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.

Terms and Conditions

GENERAL INFORMATION AND KEY TERMS: This Account statement is furnished solely by Charles

Schwab & Co., Inc. ("Schwab") for your Account at Schwab ("Account"). Unless otherwise defined herein,

^{*}Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.



JOHNATHON D WALLACE
DESIGNATED BENE PLAN/TOD

Statement Period

November 1-30, 2024

Terms and Conditions (continued)

capitalized terms have the same meanings as in your Account Agreement. If you receive any other communication from any source other than Schwab which purports to represent your holdings at Schwab (including balances held at a Depository Institution) you should verify its content with this statement. Accrued Income: Accrued Income is the sum of the total accrued interest and/or accrued dividends on positions held in your Account, but the interest and/or dividends have not been received into your Account. Schwab makes no representation that the amounts shown (or any other amount) will be received, Accrued amounts are not covered by SIPC account protection until actually received and held in the Account. AIP (Automatic Investment Plan) Customers: Schwab receives remuneration in connection with certain transactions effected through Schwab. If you participate in a systematic investment program through Schwab, the additional information normally detailed on a trade confirmation will be provided upon request. Average Daily Balance: Average daily composite of all cash balances that earn interest and all loans from Schwab that are charged interest. Bank Sweep and Bank Sweep for Benefit Plans Features: Schwab acts as your agent and custodian in establishing and maintaining your Deposit Account(s) as a feature of your brokerage Account(s). Deposit accounts held through these bank sweep features constitute direct obligations of one or more FDIC insured banks ("Program Banks") that are not obligations of Schwab. Funds swept to Program Banks are eligible for deposit insurance from the FDIC up to the applicable limits for each bank for funds held in the same insurable capacity. The balance in the Deposit Accounts can be withdrawn on your order and the proceeds returned to your brokerage Account or remitted to you as provided in your Account Agreement. For information on FDIC insurance and its limits, as well as other important disclosures about the bank sweep feature(s) in your Account(s), please refer to the Cash Features Disclosure Statement available online or from a Schwab representative. Cash: Any Free Credit Balance owed by us to you payable upon demand which, although accounted for on our books of record, is not segregated and may be used in the conduct of this firm's business. Dividend Reinvestment Customers: Dividend reinvestment transactions were effected by Schwab acting as a principal for its own account, except for the reinvestment of Schwab dividends, for which an independent broker-dealer acted as the buying agent. Further information on these transactions will be furnished upon written request. Gain (or Loss): Unrealized Gain or (Loss) and Realized Gain or (Loss) sections ("Gain/Loss Section(s)") contain a gain or a loss summary of your Account. This information has been provided on this statement at the request of your Advisor, if applicable. This information is not a solicitation or a recommendation to buy or sell. Schwab does not provide tax advice and encourages you to consult with your tax professional. Please view the Cost Basis Disclosure Statement for additional information on how gain (or loss) is calculated and how Schwab reports adjusted cost basis information to the IRS. Interest: For the Schwab One Interest, Bank Sweep, and Bank Sweep for Benefit Plans features, interest is paid for a period that may differ from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its Program Banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period. For the Schwab One Interest feature, interest accrues daily from the second-to-last business day of the prior month and is posted on the second-to-last business day of the current month. For the Bank Sweep and Bank Sweep for Benefit Plans features, interest accrues daily from the 16th day of the prior month and is credited/posted on the first business day after the 15th of the current month. If, on any given day, the interest that Schwab calculates for the Free Credit Balances in the Schwab One Interest feature in your brokerage Account is less than \$.005, you will not accrue any interest on that day. For balances held at banks affiliated with Schwab in the Bank Sweep and Bank Sweep for Benefit Plans features, interest will accrue even if the amount is less than \$.005. Margin Account Customers: This is a combined statement of your margin account and special memorandum account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your inspection. Securities purchased on margin are Schwab's collateral for the loan to you. It is important that you fully

understand the risks involved in trading securities on margin. These risks include: 1) You can lose more funds than you deposit in the margin account; 2) Schwab can force the sale of securities or other assets in any of your account(s) to maintain the required account equity without contacting you; 3) You are not entitled to choose which assets are liquidated nor are you entitled to an extension of time on a margin call; 4) Schwab can increase its "house" maintenance margin requirements at any time without advance written notice to you. Market Price: The most recent price evaluation available to Schwab on the last business day of the report period, normally the last trade price or bid as of market close. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. Schwab shall have no responsibility for the accuracy or timeliness of any such valuations, Assets Not Held at Schwab are not held in your Account or covered by the Account's SIPC account protection and are not otherwise in Schwab's custody and are being provided as a courtesy to you. Information on Assets Not Held at Schwab, including but not limited to valuations, is reported solely based on information you provide to Schwab. Schwab can neither validate nor certify the existence of Assets Not Held at Schwab or the accuracy, completeness or timeliness of the information about Assets Not Held at Schwab, whether provided by you or otherwise. Descriptions of Assets Not Held at Schwab may be abbreviated or truncated. Some securities, especially thinly traded equities in the OTC market or foreign markets, may not report the most current price and are indicated as Stale Priced, Certain Limited Partnerships (direct participation programs) and unlisted Real Estate Investment Trust (REIT) securities, for which you may see a value on your monthly Account statement that reflects the issuer's appraised estimated value, are not listed on a national securities exchange, and are generally illiquid. Even if you are able to sell such securities, the price received may be less than the per share appraised estimated value provided in the account statement, Market Value: The Market Value is computed by multiplying the Market Price by the Quantity of Shares. This is the dollar value of your present holdings in your specified Schwab Account or a summary of the Market Value summed over multiple accounts. Non-Publicly Traded Securities: All assets shown on this statement, other than certain direct investments which may be held by a third party, are held in your Account. Values of certain Non-Publicly Traded Securities may be furnished by a third party as provided by Schwab's Account Agreement, Schwab shall have no responsibility for the accuracy or timeliness of such valuations, The Securities Investor Protection Corporation (SIPC) does not cover many limited partnership interests. Schwab Sweep Money Funds: Includes the primary money market funds into which Free Credit Balances may be automatically invested pursuant to your Account Agreement. Schwab or an affiliate acts and receives compensation as the Investment Advisor, Shareholder Service Agent and Distributor for the Schwab Sweep Money Funds. The amount of such compensation is disclosed in the prospectus. The yield information for Schwab Sweep Money Funds is the current 7-day yield as of the statement period. Yields vary. If on any given day, the accrued daily dividend for your selected sweep money fund as calculated for your account is less than ½ of 1 cent (\$0.005), your account will not earn a dividend for that day. In addition, if you do not accrue at least 1 daily dividend of \$0.01 during a pay period, you will not receive a money market dividend for that period. Schwab and the Schwab Sweep Money Funds investment advisor may be voluntarily reducing a portion of a Schwab Sweep Money Fund's expenses. Without these reductions, yields would have been lower. Securities Products and Services: Securities products and services are offered by Charles Schwab & Co., Inc., Member SIPC. Securities products and services, including unswept intraday funds and net credit balances held in brokerage accounts are not deposits or other obligations of, or guaranteed by, any bank, are not FDIC insured, and are subject to investment risk and may lose value. SIPC does not cover balances held at Program Banks in the Bank Sweep and Bank Sweep for Benefit Plans features. Please see your Cash Feature Disclosure Statement for more information on insurance coverage. Yield to Maturity: This is the actual average annual return on a note if held to maturity. IN CASE OF ERRORS OR DISCREPANCIES: If you find an error or discrepancy relating to your brokerage activity (other than an electronic fund transfer) you must notify us promptly, but no later than 10 days after this statement is sent or made available to you. If this statement shows that



JOHNATHON D WALLACE DESIGNATED BENE PLAN/TOD Statement Period

November 1-30, 2024

Terms and Conditions (continued)

we have mailed or delivered security certificate(s) that you have not received, notify Schwab immediately. You may call us at 800-435-4000. (Outside the U.S., call +1-415-667-8400.) If you're a client of an independent investment advisor, call us at 800-515-2157. Any oral communications should be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). If you do not so notify us, you agree that the statement activity and Account balance are correct for all purposes with respect to those brokerage transactions. IN CASE OF COMPLAINTS: If you have a complaint regarding your Schwab statement, products or services, please write to Client Service & Support at Charles Schwab & Co., Inc., P.O. Box 982603 El Paso, TX 79998-2603, or call customer service at 800-435-4000. (Outside the U.S., call +1-415-667-8400.) If you're a client of an independent investment advisor, call us at 800-515-2157. Address Changes: If you fail to notify Schwab in writing of any change of address or phone number, you

may not receive important notifications about your Account, and trading or other restrictions might be placed on your Account. Additional Information: We are required by law to report to the Internal Revenue Service adjusted cost basis information (if applicable), certain payments to you and credits to your Account during the calendar year. Retain this statement for income tax purposes. A financial statement for your inspection is available at Schwab's offices or a copy will be mailed to you upon written request. Any third-party trademarks appearing herein are the property of their respective owners. Charles Schwab & Co., Inc., Charles Schwab Bank, Charles Schwab Premier Bank, and Charles Schwab Trust Bank are separate but affiliated companies and subsidiaries of the Charles Schwab Corporation. © 2024 Charles Schwab & Co., Inc. ("Schwab"). All rights reserved. Member SIPC. (O1CUSTNC) (0822-20UL)

Case_24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 9 of 144

PO BOX 2577 - OMAHA NE 68103-2577 Please do not send deposits or correspondence to this address. TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209**

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

Confirmation Notice



ACCOUNT NO.	•	OTHER IN	/FÖ	TRANSAC	TION NUME	BER 🖟	CAP CODES	50	YOUR REP	RESENTATIVE
498-217333-1				384:	39097166		Α	A TD AMERITRADE		
ACTIVITY	Q	QUANTITY	CUS	SIP NUMBER	PRICE	E	PRINCIPAL	AMOUNT	MISC. FEE	ES REGFEES
YOU BOUGHT		75	5/	59134N104	4.8998	8	367.4	19		0.00
AS OF TRADE DA	AS OF TRADE DATE TR		ATE SETTLEMEN		IT DATE	INT	EREST	COMMISSI	ON/FEE	NET AMOUNT
		11/02/202	21	11/04/20	021			0.00)	367.49
TRADE DESCRIPTION	ON		4,878,8		to a second	SYMBOL		1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1	******	
META MATERIALS I	INIC	-				NANAAT				

META MATERIALS INC COM

MMAT

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



Confirmation Notice

Account 498-217333 Page 2 of 2

ACCOUNT COBE: Type of Account

1. Cash 2. Margin Long
3. Margin Short 4. Income
5. Withholding 6. Special CAPACITY CODE A= Agent C= Cross Trade P= Principal R= Riskless Principal AF Agent Controls trace F= Principal Techniques

Finise Broker in provided (contact the executing broker for further details)

3= Agent for person other than customer

6= Acts as both principal and agent

7= Acts as principal, agent and agent for another person 5= Principal for some executions and agent for other 8= Crossing as agent 9= Crossing as principal

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

1. TD Ameritade Clearing, Inc., member of FINRASSIPC acts as the clearing agent.

2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.

3. You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

4. All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customer's agreement between you and us. Wisconsin customer's agreement between you and us. Wisconsin customer's ag

5. The securities of the net amount due.

6. The name of the other party or broker in the transaction, the date, the time of executions, source and amount of remmentation will be furnished upon request, if not already displayed.

7. If this transaction is a sele and the securities are not already in our possession end do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities brotowed.

8. If payment is not made on or prior to the date required by Regulation T or the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, is a sele and the securities are not already in our possession and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Not Standard Assets (NSA), Commission/Fee represents transaction less applicable to purchases, capital cell processing, and redemptions. TO Ameritade will charge e Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions. It may include any of the following: a sales fee on certain set transactions. It may include any of the following: a sales fee on certain set transactions in the securities because it is not all the securities of 1934, the Options Regulatory Fee (applicable to options transactions), and the trading Activity Fee (each sessessed at a rate consistent with Section 1 of Schedule A of FINRA's Byt-Lawe), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, within may be reflected in the prior of the securities and independent line letter on your monthly statement.

10. Milss. Fees will be explained upon request. For transactions involving certain index Options, TD Ameritade may charge an Index Option Fee In accordance with the Chicage Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be re

edistreants.

12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be furnished upon request.

13. Leveraged and inverse ETFs entail unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETF9 seek to deliver multiples of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both stretegies can be affected substantially by compounding. Returns over longer pariods will likely differ in amount and aven direction. These products require active monitoring and management, as frequently as daily. They are not suitable for all investors.

14. Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a kisted exchange. Rether, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sail your position profer to maturib.

14. Sonds smoor maturity.

15. If you request the sele of a nonmarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sate, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is irreversible and you will have no further claim to the securities and no claim equinst TD Ameritrade for any losses related to this sele.

16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, phase visit the applicable websits and enter the CUSIP: Federal Farm Credit Bank (FFCB): www.farmcredit.ftcb.com, Federal Home Loan Bank (FHLB): www.fitb-of.com, Federal Home Loan Mortgage Association (Farvite Mae): www.farmiemae.com, Government National Mortgage Association: www.farmiemae.gov, Municipal Bonds: www.emma.msrb.org. A copy of the Official Statement can be requested by calling 800-869-3900.

17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of invastors witing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

TD AMERITRADE, INC. PO BOX 2209 OMAHA NE 68103-2209

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

Confirmation Notice



ACCOUNT NO.	OTHER	INFO	TRANSAC	TION NUMBE	R 🗐	CAP CODE	S	YOUR REPRE	SENTATIVE /
498-217333-1			3869	8703769		Α	TD AME	RITRADE	
ACTIVITY '	QUANTITY	cus	IP NUMBER	PRICE	. 3	PRINCIPAL	TNUOMA	MISC. FEES	REG FEES
YOU BOUGHT	34		134N104	4.8199		163.8			0.00
AS OF TRADE DATE	E TRADE I	DATE	SETTLEMEN	T DATE .	INTE	REST 🐩	COMMISSIO	ON/FEE	NET AMOUNT
	11/12/2	021	11/16/20	21			0.00		163,88
TRADE DESCRIPTION	v .			sŸ	MBOL			was a war	
META MATERIAL CINI					44.				

META MATERIALS INC COM

MMAT

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

Confirmation Notice Account 498-217333

Page 2 of 2

			· •3 • • • =
	E: Type of Account	CAPACITY CODE:	
1. Cash 3. Margin Short	2. Margin Long 4. Income	A= Agent C= Cross Trade P= Principal R= Riskless Principal Prime Broker if provided (contect the executing broker for further details)	
5. Withholding	6. Special	3= Agent for person other than customer 6= Acts as both principal and agent 7= Acts as principal. 9= Crossing as principal.	5= Principal for some executions and agent for other 8= Crossing as agent

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

1. TD Ameritrade Clearing, Inc., member of FINRA/SIPC acts as the clearing agent.

2. This confirmation is a transaction advice, not an involce. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information. at the time the trade was placed.

at the time the trade was placed.

You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

All transactions are subject to this rules, requisitions, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconstin customers' agency trades are unsolicited. The securities descarbed are, or may be hypothecated under circumstances which will permit the comminging thereof with securities certified for the secount of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not giready displayed.

If this transaction is a sale and the securities are not siteady in our possession and do not represent a short sale, please forward them transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.

e for resulting loss.

fabile for resulting loss.

Commission/Fise represents biase commission and any options contract, exercise, and assignment fees. For Atamative Investments (AI), also called Non Standard Assets (NSA), Commission/Fise represents transactions transactions. It may include any of the following: a sales fee on certain set transactions. Provided any of the following: a sales fee on certain set transactions (assessed at a rate consistent with Section 3 of the Securities Exchange Act of 1934), the Options Regulatory Fise (applicable to options transactions), and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FIRNA'S By-Laway), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent fine item on your monthly statement.

Misc. Fees will be explained upon request. For transactions involving certain Index Options. The Ameritade may charge an Index Option Fee In accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www.cbos.com. Other Misc. Fees may include Prima Broker feeu or Special Settlement flees, where applicables.

11. If this transaction involves a debt security that is subject to call or redemption before maturity, may be activated or leadered in whole or in pan before maturity which could affect its yield; to call or redemption before maturity, the dest recurrities may be callable, below maturity vesture, without notice by mail to bodier unless the securities are leadered in registered form. On asset backed debt securities, no periodic payment of inferest or principal are generally made. These securities may be callable, below maturity vesture, when to holder unless the reductions adjustments.

edjustments.

12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be furnished upon request

13. Leveraged and inverse ETFs entail unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require active monitoring and management, as frequently as daily. They are not suitable for all investors.

14. Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a kisted exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position

14. Bonds end/or food-income securibles trade differently than equity securibles and do not trade on a kisted exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position prior to maken the sale of a nontreatketable or worthless security. TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deaming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. This transaction is inversable and you will have no future claim to the securities and no claim against TD Ameritrade for any losses related to the sello.

10. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please value the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB); www.farmcredit.ftcb.com, Federal Home Loan Bank (FHLB); www.fitb-of.com, Federal Home Loan Mortgage Corporation (Freddie Mac); www.farmcredit.etcb.com, Federal Mortgage Association (Fedral Mae); www.farmc

17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors witing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the tiquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

TD AMERITRADE, INC. PO BOX 2209 OMAHA NE 68103-2209

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674



Confirmation Notice

ACCOUNT NO.	ili agi	OTHER I	NFO	TRANSACT	TION NUMBER CAP CODES YOUR REI		YOUR REPRI	ESENTATIVE		
498-217333-1				3872	4634757		Α	A TD AMERITRADE		
ACTIVITY	QI	UANTITY	cus	IP NUMBER	PRIC)E	PRINCIPAL	AMOUNT	MISC, FEES	REG FEES
YOU BOUGHT		400	59	9134N104	4.8	2	1,928.00		0	0.00
AS OF TRADE DAT	ΓΕ	TRADE D	ATE	SETTLEMENT	T DATE	INT	EREST	COMMISS	ON/FEE	NET AMOUNT
		11/15/20	021	11/17/20:	21			0.0)	1,928.00
TRADE DESCRIPTION)N	Verification of	- Tu (f. 1974)			SYMBO	L			
META MATERIAL OU						14147				

META MATERIALS INC

MMAT

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

ACCOUNT NO.	4.2 	OTHER I	NFO	TRANSACT	TION NUM	IBER	CAP CODI	ES	Silving .	YOUR RE	PRES	ENTATIVE
498-217333-1				3873	4501473		Α		TD AMERITRADE			
ACTIVITY	Q	UANTITY	CUS	IP NUMBER	PRIC	E	PRINCIPAL	. AM	DUNT	MISC. F	EES	REG FEES
YOU BOUGHT		325	5	9134N104	4.828	34	1,56	9.23				0.00
AS OF TRADE DAT	E	TRADE D	ATE	SETTLEMENT	Γ DATE	IN	TÉREST	C	OMMISSIO	N/FEE		NET AMOUNT
		11/15/20	021	11/17/20	21				0.00	_		1,569.23
TRADE DESCRIPTIO	N					SYMB	OL		4 14 2			
AETA MATERIALO IN	10					1 41 4 A T						

META MATERIALS INC COM

MMAT

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59

Confirmation Notice Account 498-217333

Page 2 of 2

Page 14 of 144

								rage	2012
ACCOUNT NO:	OTHER	NFO .	TRANSAC	MUMINOIT	IBER .	CAPCOD	≅ 8	YOUR REP	RESENVAVME
498-217333-1			3873	36579474		Α	TD AMI	ERITRADE	
ZAOTIVITY		CUSI	PNUMBER	PRIC	E .	PRINCIPAL	AMOUNIT	MISC. FEI	ES. REGIFEES
YOU SOLD	834	59	134N104	4.7	4	3,95	3.16		0.12
AS OF TRADEDATE	EDEANT E	ATE	SEITILEMEN	T DATE	N V	EREST	COMMISSI	ON/FEE	NET AMOUNT
11/15/2021 11/17/2021 0.00 3,953.04									
TRADEDESCRIPTION	TRADEDESCRIPTION SYMBOL								
META MATERIALS INC COM									
CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE. THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS. *CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security									

ACCOUNT COL	DE: Type of Account		CAPACITY CODE:	
1. Cash	2. Margin Long	A= Agent C= Cross Trade P= Principal	R= Riskless Principal	
3. Margin Short	4. Income	Prime Broker if provided (contact the executing	broker for further details)	
5. Withholding	6. Special	3= Agent for person other than customer	4= Agent for both customer and another person	5= Principal for some executions and agent for other
_		6≠ Acts as both principal and agent	7= Acts as principal, agent and agent for another person	8= Crossing as agent
		9= Crossing as principal		

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

1. TD Americade Clearing, Inc., member of FINRA/SIPC acts as the clearing event.

- IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

 TO Ameritrade Clearing, Inc., member of FINRASIPC acts as the clearing agent.

 This confirmation is a transaction advice, not an timoloe, Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may very from the information at the time the trade was placed.

 You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

 All transactions are eutiple to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customer's opency trades are unsolicited.

 The securities described are, or may be hypothecated under circumstances which will permit the comminging thereof with securities are in the net amount due.

that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

- Insert securities on the net amount due.
 The name of the other party of broker in the transaction, the date, the time of execution, source and emount of remuneration will be furnished upon request. If not already displayed.
 If this transaction is a sele and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed, if payment is not made on or prior to the date required by Regulation T or the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.
- lable for resulting loss.

 Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternetive Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transactions. These charges are typically based on fees assessed under various regulations applicable to purchases, capital cell processing, and redemptions. TD Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions (assessed at a rate consistent with Section 31 of Ise Securities Excharge Act of 1934), the Options Regulatory Fee (applicable to options transactions), and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FINA'S by-Laws), among other funces. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item on your monthly statement.

 Of Misc, Fees will be explained upon requises. For transactions trivolving certain Index Options, TD and trade any or trade any or trade and trade any or trade and trade any or trade and trade any or trade any or trade and trade any or t

- 12. The firm receives compensation for directing fisted and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction with a particular transaction with the firm receives compensation for directing fisted and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction with the counter of payment received in connection with a particular transaction with the counter of payment received in connection with a particular transaction with the counter of payment received in connection with a particular transaction with the counter of payment received in connection with a particular transaction with the payment received in connection with a particular transaction with the payment received in connection with a particular transaction with the payment received in connection with a particular transaction with the payment received in connection with a particular transaction with the payment received in connection with a particular transaction with the payment received in connection with a particular transaction with the payment received in connection with the payment received in th
- The firm receives compensation for directing issued and over-the-counter securities, and options order tow to selected market contents for execution. The source and nature of payment received in connection with a particular transaction yeal be furnished too netures.

 Leveraged and inverse ETFs entail unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs entail unique risks, including but not limited to: use of leverage; aggressive and complex investments as eingle day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require service monitoring and management, as frequently set size. They are not suitable for all investors.

 Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position

- prior to maturity.

 If you request this sale of a nonmanketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present of future value of these securities. The transaction is inversable and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale.

 16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc., instate to Municipal Bonds, Government) Sponsored Enterprise Delt, or Federal Agency Securities, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB): www.farmcredit-ffcb.com, Federal Horis Loan Bank (FFLB): www.fitb-ol.com, Federal Home Loan Mortgage Corporation (Freddie Mac): www.feddiemac.com, Federal Nortgage Association (Farnie Mae): www.farnicomac.com, Government National Mortgage Association, Www.gnniemae.gov, Municipal Bonds: www.remac.msb.org. A copy of the Official Statement can be requisted by calling 800-669-3900.
- The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may edversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209**

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674



Confirmation Notice

498-217333-1 ACTIVITY QUANTITY YOU BOUGHT 1,000	- "	SIP NÜMBER	08522830 PRIC		PRINCIPAL	10 Cat 3 (27) (8 (4) 1 Cat 1	RITRADE MISC. FEES	REG,FEES
	- "			E	PRINCIPAL	AMOUNT	MISC. FEES	REG,FEES
YOU BOUGHT 1,000		04040404						
		9134N104	3.77	99	3,779	.90	,	0.00
AS OF TRADE DATE TRA	DE DATE	SETTLEMEN	NT DATE	IN	TEREST	COMMISSIO	ON/FEE	NET AMOUNT
11	23/2021	11/26/2	.021		İ	0.00		3,779.90
RADE DESCRIPTION			1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1	SYMBO	OL ***		ye de de	Section 1985

META MATERIALS INC COM

MMAT

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 16 of 144

Confirmation Notice Account 498-217333

Page 2 of 2

ACCOUNT CO	DE: Type of Account		CAPACITY CODE:		······································
1. Cash	2. Margin Long	A= Agent C= Cross Trade P= Principal	R= Riskless Principal		
3. Margin Short	4. Income	Prime Broker if provided (contact the executing	broker for further details)		
Withholding	6. Special	3= Agent for person other than customer	4= Agent for both customer and another person	`	5= Principal for some executions and agent for other
ļ		6= Acts as both principal and agent	7= Acts as principal, agent and agent for another person		8= Crossing as agent
		9= Crossing as principal			

- TT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

 1. TD Ameritrade Clearing, Inc., member of FINRAVSIPC acts as the clearing agent.

 2. This confirmation is a transaction edvice, not an invoice. Remittance of funds or securities is due on or ities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.
- at the time the trade was placed.

 You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

 All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers's egency trades are unsolicited. The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the account of the other customers. Such commingling, if any, ceases upon payment by you for these securities in the net amount due.

 The name of the other party or broker in the transaction, the date, the time of execution, source and emount of remuneration will be furnished upon request, if not already displayed.

 If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them timediately to avoid possible payment of premium on securities covered hereby and hold you little for resultion loss. 5.

- tiable for resulting loss.
- liable for resulting loss.

 9. Commission/Fee represents base commission and any options contrart, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital call processing, and redemptions. TD Ameritrade will charge a Reg Fee on certain set in terms of the following: a sales fee on certain set is casessed at a rate consistent with Section 3 of the Securities Exchange Act of 1934), the Options Regulatory Fee (applicable to options transactions), and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FINRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the toreign markets or governments, which may be reflected in the price of the security or charged as an independent like fleen on your monthly statement.

 10. Misc, Fees will be explained upon request, For transactions involving certain Index Options, TD Ameritrade may charge an Index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. tee schedule. This fee will be reflected in the Misc, Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www.cbos.com. Other Misc, Fees may include Prime Broker fees or Special Settlement fees, where applicable.

 1) If this transaction involves a debt security that is subject to call or redemption before maturity, whe called or redemend in whole or in part before maturity, which could differ its yield. Additional information is available upon request. On zero coupons, interest and multiplier securities, no periodic payment of Interest or principal are generally made. These securities may be callable, below maturity value, without notice by mail to holder unless the securities are held in registered form. On asset backed dails securities, yields are subject to fluctuation depending on prepaymen

- 12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a perticular transaction will be
- The firm receives compensation for directing used and over-new-counter sequences of an interest of the firm receives compensation for directing used and inverse ETFs entail unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs senk to deliver multiples of the performance of a benchmark. Both seek results over periods as short as a single day, Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and aven direction. These products require series multiples and management, as frequently as daily. They are not suitable for all investors.
 Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rether, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position

- 14. Bonds end/or food-income securities trade differently than equity securities and do not trade on a falsed exchange. Retifer, they trade in the OTC (over the counter) marketpace and liquidity may not always exist for you to sell your position prior to maturity.

 15. If you request the safe of a nonmarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade for any losses related to the sale.

 16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Foderal Agency Securities, placed with the opplicable website and enter the CUSIP: Federal Farm Credit Bark (FFCB): www.framcredit/Acc.com. Federal Home Lona Bark, With Lot.com. Federal Home Lona Bark, 1904. Securities, placed comprehen for Federal Home Lona Bark, 1904. Securities, placed comprehen for Federal Home Lona Bark, 1904. Securities, placed comprehen for Federal Home Lona Bark, 1904. Securities, placed comprehen for Federal Home Lona Bark, 1904. Securities, placed comprehen for Federal Home Lona Bark, 1904. Securities, placed comprehen for Federal Home Lona Bark, 1904. Securities, placed to the securities of the Pederal Home Lona Bark, 1904. Securities of the Pederal Home Lo
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of invastors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the figuidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.



TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209**

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

Confirmation Notice

ACCOUNT NO.		OTHER IN	1FO	TRANSACT	TION NUM	IBER	CAP CODES	;	YOUR REPRES	SENTATIVE
498-217333-1				3948	35608384		Α .	TD AME	RITRADE	
ACTIVITY	QU	JANTITY	cus	SIP NUMBER	PRIC	Æ	PRINCIPAL A	MOUNT	MISC. FEES	REG FEES
YOU BOUGHT		59	59	9134N104	2.869	99	169.3	169.32		0.00
AS OF TRADE DATE		TRADE D	ATE	SETTLEMEN	T DATE	INT	EREST	COMMISSIO	ON/FEE	NET AMOUNT
		12/17/20)21	12/21/20)21	·		0.00		169.32
TRADE DESCRIPTIO	N The		4.4 1.0 1.5	27.00	3/2	SYMBO	L S	- 190	14.67.43	4

META MATERIALS INC

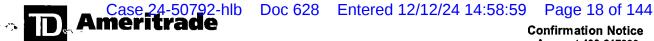
MMAT

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



Confirmation Notice Account 498-217333

Page 2 of 2

				. 490 - 0
ACCOUNT CO	DE: Type of Account		CAPACITY CODE:	······································
1. Cash	2. Margin Long	A= Agent C= Cross Trade P= Princips	R= Riskless Principal	
Margin Short	4. Income	Prime Broker if provided (contact the executing	broker for further details)	
5. Withholding	Special	3= Agent for person other than customer	4= Agent for both customer and another person	5= Principal for some executions and agent for other
Į.		6≖ Acts as both principal and agent	7= Acts as principal, agent and agent for another person	8= Crossing as agent
		9= Crossing as principal		• •

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

 1. TO Ameritade Clearing, Inc., member of FINRA/SIPC acts as the clearing agent.

 2. This confiltration is a transaction advice, not an invoice, Remittance of tunds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.

 3. You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

 4. All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern lensactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agreements between you and us. Wisconsin customers' agreement between you and us. Wisconsin customers' agreements between you and us.

- these securities in the net amount due.

 The name of the other porty or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not alteedy displayed.

 If this transaction is a sale and the ecurities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.

 If this transaction is a sale and the ecurities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.

 If this transaction, or at our option, to salt the securities covered hereby and hold you inable for resulting loss.

 If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to salt the securities covered hereby and hold you inable for resulting loss.

 Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transactions fees applicable to purchases, capital calls processing, and redemplians. TO Ameritrade will charge a Reg Fee on cartain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions, it may include any of the following; a sales fee on contain self transactions (assessed at a rate consistent with Section 1 of Schedule A of FINA'S SP-Lawa), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected to the price of the security or charged as an independent line liem on your monthly stelement.

 Mice. Fees will be explained upon request. For transactions involving certain index Options, TD

- 12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be
- The tirm receives compensation for directing itseld and over-ine-counter securities, and options order tow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be further tow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be further than the further transaction with a particular transaction will be performed to the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. So the set of the performance of a benchmark so the set of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver the performance of a benchmark set of the performance of a benchmark. Inverse ETFs seek to deliver multiples of the performance of a benchmark set of the performance of a benchmark. Inverse ETFs seek to deliver multiples of the performance of a benchmark set of

- prior to maturity.

 If you request the sele of a normaliketable or worthless security, TD Ameritrade with be the buyer in the transaction in the event a merket cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is irreversible and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale.

 16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, ext., prelated to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please visit the applicable website and enter the CUSIF: Federal Farm Credit Bank (FFCB): www.farmcredit/fct.com. Federal Home Loan Bank (FHLB): www.fithbot.com. Federal Home Loan Ban
- out-out-sect.
 The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors witing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may edversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.



TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209**

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674



Confirmation Notice

ACCOUNT NO.	OTH	ER INFO	TRANSAC	CTION NUM	IBER	CAP CODES	45.7	YOUR REPRES	ENTATIVE
498-217333-1			39695167307			Α	TD AMERITRADE		
ACTIVITY	QUANTIT	Y CU	SIP NUMBER	PRIC	,Έ ,	PRINCIPAL A	MOUNT	MISC. FEES	REG FEES
YOU BOUGHT	778	5	59134N104	2.578	37	2,006.2	23		0.00
AS OF TRADE DATI	E TRA	DE DATE	SETTLEMEN	√T DATE	INTE	REST	COMMISSIO	ON/FEE	NET AMOUNT
	12/	28/2021	12/30/20	:021	1		0.00		2,006.23

META MATERIALS INC

MMAT

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 20 of 144

Confirmation Notice Account 498-217333

Page 2 of 2

				J
ACCOUNT CO	DE: Type of Account		CAPACITY CODE:	
1, Cash	2. Margin Long	A= Agent C= Cross Trade P= Principal	R= Riskless Principal	
3. Margin Stort	4. Income	Prime Broker if provided (contact the executing t	proker (or further details)	
5, Withholding	6. Special	3= Agent for person other than customer	4= Agent for both customer and another person	5= Principal for some executions and agent for other
_		6= Acts as both principal and agent 9= Crossing as principal	7= Acts as principal, agent and agent for another person	8= Crossing as agent
		I a- accessiff as brunchei		

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

 1. TO Ameritade Gearing, Inc., member of FINRA/SIPC acts as the clearing agent.

 2. This confirmation is a transaction edvice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information.
- at the time the trade was placed.
 You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.
 All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' eigency trades are unsolicited. The securities descarbed are, or may be hypothecated under circumstances which will permit the commingling thereof with securities certified for the account of the other customers. Such commingling, if any, ceases upon payment by you for these securities in the net amount due.

 The name of the other party or bloker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not afteredy displayed.

 If this transaction is a sale and the securities are not already in our possession and do not represent a short sele, please toward them immediately to avoid possible payment of premium on securities borrowed.

 If paymerd is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities divered hereby and hold you lightly for the contraction of the securities are not already in the securities and the securities are not already in our possession and do not represent a short sele.

- atino loss.
- Islable for resulting loss.

 9. Commission/Fee represents base commission and any options contract, exercise, and exsignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transactions less applicable to purchases, capital call processing, and redemptions. TD Ameritade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions, it may include any of the following: a sales fee on certain self transactions. Assessed at a rate consistent with Section 1 of Schedule A of FINRA'S Sy-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and excharged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent like Item on your monthly statement.

 10. Misc Fees will be explained upon request. For transactions involving certain Index Options. TO Ameritade may charge an Index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www.cbos.com. Other Misc. Fees may include Prime Broket fees or Special Settlemant fees, where applicable.

 1. If this fransaction involves a debt security that is subject to call or redemption before maturity, the deement of which could effect its yield. Additional Information is available upon request. On zero coupons, unterest and multiplier securities, no periodic payment of felerated or propagation. The securities may be callable, below maturity value, without notice by mail to holder unless the securities are held in registered form. On asset backed debt securities, yields are subject to full creamption for the payment, specific information.
- 12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be
- The firm receives compensation for directing listed and over-the-counter securities, and options order now to serected market centers for execution. The sounce and nature of payment receives to payment receives the part of the performance of a benchmark. Inverse ETFs entail unique tisks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Solid seek results of both strategies can be affected substantially by compounding. Returns over longer pariods will likely differ in amount and wend decicion. These products require solid wend management, as frequently as deally. They are not suitable for all investors.
 Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rether, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position.
- prior to maturity
- prior to maturity.

 If you request the sale of a nonmarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is irreversible and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale.

 16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.), related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please visit the applicable website and enter the CUSIPI: Federal Farm Credit Bark (FFCB): www.farm.credit.fdc.com, Federal Home Loan Bark (FHLB): www.fith-ol.com, Federal Coan Mortgage Corporation (Fredite Mac): www.farm.credit.ncm, Federal National Mortgage Association (Fernie Mac): www.farm.credit.ncm, Rederal Mortgage Association (Fernie Mac): www.farm.credit.ncm, Federal Mortgage Association (Fernie Mac): www.farm.credit.ncm, Feder
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors witting to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the figuidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.



TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209**

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674



Confirmation Notice

ACCOUNT NO.	OTHER II	VFO	TRANSAC	TION NUM	1BER	CAP COD	ËS	YOUR REPR	ESENTATIVE * *
498-217333-1			4022	23920729		А	TD AME	ERITRADE	
ACTIVITY	QUANTITY	CUSI	PNUMBER	PŘIČ	E	PRINCIPAL	AMOUNT	, MISC. FEES	REG FEES
YOU BOUGHT	2,000	59	134N104	1.85	99	3,71	9.80		0.00
AS OF TRADE DATE	E TRADE D	ATE 🔄	SETTLEMEN	T DATE	, IN	rerest	COMMISSI	ON/FEE	NET AMOUNT
	01/21/20	22	01/25/20)22			0.00		3,719.80
TRADE DESCRIPTION						DL .	A COMPANY		
CTA MATERIAL O INC					\				

META MATERIALS INC

MMAT

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 22 of 144

Confirmation Notice Account 498-217333

Page 2 of 2

ACCOUNT CODE: Type of Account
Cash 2. Margin Long
Margin Short 4. Income
Withholding 6. Special CAPACITY CODE A= Agent C= Cross Trade P= Principal R= Riskless Principal
Prime Broker if provided (contact the executing broker for further details)
3- Agent for person other than customer 4= Agent for both customer and enother person
6- Acts as both principal and agent 1- 7- Acts as principal, agent and agent for snother person Margin Short
 Withholding 5= Principal for some executions and agent for other 8= Crossing as agent 9= Crossing as principal

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT;

- 1. TO Ameritade Clearing, Inc., member of FINRASIPC acts as the clearing agent.
 2. This confirmation is a transaction edvice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information. at the time the trade was placed.
- at the time the trade was placed.

 You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

 All transactions are subject to this rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to ed the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are unsplicited. The securities described are, or may be hypothecated under circumstances which will permit the comminging thereof with securities carried for the element of the other rustomers. Such comminging, if any, ceases upon payment by you for these securities in the net amount due.

 The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request. If not intendy displayed.

 If this transaction is a sale and the securities are not already in our possession and do not represent a short sele, please forward their transaction is a sale and the securities are not already in our possession and do not represent a short sele, please forward their transaction, or at our option, to sell the securities covered hereby and hold you lather for resultina loss.

- If payment is not made on or prior to the date required by Regulation T of the Foderal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to soil the securities covered hereby and hold you liable for resulting loss.
 Commission/Fee represents base commission and any options contract, exercise, and essignment fees. For Alternative Investments (AI), also called Not Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital cell processing, and redemptions. To Ameritrade will charge a Reg Fee on cartain securities of the following: a sales fee on certain securities (actively Fee (applicable to options regulations applicable to options transactions), and the Trading Activity Fee (applicable to options transactions transactions), and the Trading Activity Fee (applicable to options transactions), and the Trading Activity Fee (applicable to options transactions), and the Trading Activity Fee (applicable to options transactions), and the Trading Activity Fee (applicable to options transactions), and the Trading Activity Fee (applicable to options transactions), and the Trading Activity Fee (applicable to options transactions), and the Trading Activity Fee (applicable to options transactions), and the Trading Activity Fee (applicable to options transactions), and the Trading Activity Fee (applicable to options transactions), and the Trading Activity Fee (applicable to options transactions), and the Trading Activity Fee (applicable to options transactions), and the Trading Activity Fee (applicable to options), and the Trading Activity Fee (applicable to options Exchange (applicable) of the Securities of the Securities of the Securities and the Property of the Securities of the Securities of the Securities and the Securities of the Securities and the Securities of the Securities of the Securities and the Securities of the Securities and the Securities and the Securities and the Securities and the Securit

- experience.
 The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be
- The firm receives compensation for directing listed and over-the-counter securities, and options order new to sense to matter centers on execution, the securities and the first including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. However, the seek is deliver the opposite of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require active monitoring and management, as frequently as daily. They are not autable for all investors.

 Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Righter, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sail your position.

- Bonds and/or fred-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position prior to maintrify.

 If you request the selle of a nonmarketable or worthless security. TO Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deaming the security worthless and TD Ameritrade thereby makes no representation regarding the present of inture value of these securities. The transaction is interversable and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale.

 To access the Official Statement (e.g., prospectus, program disclosure document, information statement and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale.

 To access the Official Statement (e.g., prospectus, program disclosure document, information statement Sponsored Enterprise Debt, or Federal Apert Sponsored Enterprise Debt, www.fredilemac.com, Federal Home Loan Mortgage Corporation (Freddie Mac): www.fredilemac.com, Federal Home Loan Mortgage Corporation (Freddie Mac): www.fredilemac.com, Federal Home Loan Mortgage Association (Fennie Mae): www.famoredilemac.com, Government National Mortgage Association (Fennie Mae): www.famoredilemac.com, Government National Mortgage Association (Fennie Mae): www.famoredilemac.com, Government Mortgage Association (Fennie Mae): www.famoredilemac.com, Federal Home Loan Mortgage Association (Fennie Mae): www.famoredilemac.com, Government Mae (Fennie Mae): www.famoredilemac.com, Federal Home Loan Mortgage Association (Fennie Mae): www.famoredilemac.com, Government Mortgage Association (Fennie Mae): www.f
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors witing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.



PO BOX 2577 - OMAHA NE 68103-2577

Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209**

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674



Confirmation Notice

ACCOUNT NO.	OTHE	R INFO	TRANSAC	TION NUN	1BER	CAP COD	ES C	YOUR REPRESENTATIVE		
498-217333-1		<u>.</u>	403	59317012		Α	TD AM	ERITRADE		
ACTIVITY	QUANTITY	CUS	SIP NUMBER	PRIC	E	PRINCIPAL	AMOUNT	MISC. FEES	REĞ FEES	
YOU BOUGHT	200	200 59		9134N104 1.4		290	0.00		0.00	
AS OF TRADE DAT	TE TRADE	DATE	SETTLEMEN	IT DATE	INT	EREST	COMMISSI	ON/FEE	NET AMOUNT	
	01/27	/2022	01/31/2	022			0.00		290.00	
TRADE DESCRIPTIO	N '	100		1. M. M.	SYMBO	Ĺ	A PROGRAM	T. T.	* · · · · · · *	
							<u> </u>		,	

META MATERIALS INC

MMAT

COM

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



Confirmation Notice Account 498-217333

Page 2 of 2

	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~			
	E: Type of Account		CAPACITY CODE:	
1. Cash	2. Margin Long	A= Agent C= Cross Trade P= Principal	R= Riskless Principal	
<ol><li>Mergin Short</li></ol>	4. Income	Prime Broker if provided (contact the executing br	oker for further details)	
5. Withholding	6, Special	3= Agent for person other than customer	4= Agent for both customer and enother person	5= Principal for some executions and agent for other
_		6= Acts as both principal and agent	7= Acts as principal, agent and agent for another person	8= Crossing as egent
i		9= Crossing as principal	The do principal agent and agent for britain perper	o- Grossing as agent

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

  1. TO Ameritrade Clearing, Inc., member of FINRAVSIPC acts as the clearing agent.

  2. This confirmation is a transaction edvice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.

  3. You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

  4. All transactions are subject to the trades, regulations, requirements (including trade) nequirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are or may be hypothecated under circumstances which will permit the commingling thereof with securities certified for the account of the other customers. Such commingling, if any, casses upon payment by you for these securities in the net amount due.

- nese securities in the net amount due.

  The name of the other party or broker in the transaction, the date, the time of execution, source and emount of remaneration will be furnished upon request. If not already displayed.

  If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.
- fable for resulting loss.

  9. Commission/Tee represents base commission and any options contract, exercise, and assignment fees. For Ahermative Investments (AI), also called Non Standard Assets (NSA), Commission/Tee represents transaction tees applicable to purchases, capital call processing, and redemplions. TD Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions, it may include any of the following; as sales fee on certain set furnascions (assessed at a rate consistent with Section 31 of the Section 31 of the Section Regulatory Fee (applicable to options transactions), and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FINA'S By-Laws), among other charges. Transactions in foreign securities (including foreign company ADIs that trada in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item on your monthly statement.

  10. Misc. Fees will be explained upon request, for transactions involving certain floate. Options: To Ameritade may charge as Index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www. chop.com. Other Misc. Fees may include Prime Broker fees or Special Settlement fees, where applicable.

  1. If this transaction involving that is subject to call or redemplicin before mastrify, the securities are leaded in the Misc. Fee field. A dodlineal information is available upon request. On zero coupons, interest and multiplier securities, no periodic payment of interest or principal are generally made. These securities may be called or reducent. Per malarity which is subject to call or redemplicin before mastry, the securities are held in registered form. On asset bac

- 12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular trensaction will be
- 12. The firm receives compensation for directing listed and over-the-counter securities, and options order now to serected market centers for execution. The source and nature of payments receives the particular of the performance of a benchmark. Both seek results over periods as a short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and aven direction. These products require each emolitoring and emangement, as frequently as deliver not established and substantially by compounding. Returns over longer periods will likely differ in amount and aven direction. These products require each emolitoring and emangement, as frequently as deliver in amount and aven direction.

  14. Bonds and/or fored-income securities trade differently than equity securities and do not trade on a islated exchange. Rether, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position.

- 14. Bonds and/or fixed-tricome securities trade differently than equity securities and do not trade on a kisted exchange. Righter, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position prior to maturity.

  15. If you request the sale of a nonmarketable or worthless security. TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade theret makes no representation regarding the present of future value of these securities. The transaction is irreversible and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sele.

  16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB): www.findelemac.com, Federal Notional Mortgage Association: (FHLB): www.finb-of.com, Federal Home Loan Mondgage Corporation (Fraddle Mac): www.froddlemac.com, Federal Notional Mortgage Association: www.gunternee.gov, Municipal Bonds: www.emma.msrb.org, A copy of the Official Statement can be requested by calling 800-869-3900.
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the tiquidity of the position unless you have other securities from the issue that can be combined to reach the traininum denomination.



TD AMERITRADE, INC. PO BOX 2209 OMAHA NE 68103-2209

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

# **Confirmation Notice**



ACCOUNT NO.	ACCOUNT NO. OTHER INFO		√FO	TRANSACTION NUMBER CAP COD			CAP CODE	S	YOUR REP	RESENTATIVE
498-217333-1			40603348088			Α	TD AME	ERITRADE		
ACTIVITY	QI	UANTITY	CUE	SIP NUMBER	PRIC	)E	PRINCIPAL	AMOUNT	MISC. FEE	REG FEES
YOU BOUGHT		200	5′	9134N104	1.67	99	335.9	<del>)</del> 8		0.00
AS OF TRADE DA	<b>ATE</b>	TRADE D	ATE	SETTLEMENT	T DATE	INT	EREST	COMMISSIO	ON/FEE	NET AMOUNT
I		02/07/20	<b>)</b> 22	02/09/20	122			0.00		335.98
TRADE DESCRIPTION	ON	W. A. C.	7/07	Marie da Aria		SYMBOL				A STATE OF THE STA
META MATERIAL C	1110					MANAAT	<del></del>			

META MATERIALS INC

MMAT

COM

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



## Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 26 of 144

#### **Confirmation Notice** Account 498-217333

Page 2 of 2

ACCOUNT CODE: Type of Account CAPACITY CODE 1. Cash 2. Margin Long A≖ Agent C= Cross Trade P= Principal R= Riskless Principal Mergin Short
 Withholding Prime Broker il provided (contact the exect
3= Agent for person other than customer
6= Acts as both principal and agent A Acts as principal, agent and another person
7= Acts as principal, agent and agent for another person 4. Income 5= Principal for some executions and agent for other 8= Crossing as agent 9= Crossing as principal

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

  1. TD Ameritrade Clearing, Inc., member of FINRAVSIPC acts as the clearing agent.

  1. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information. at the time the trade was placed.
- at the time the trade was placed.

  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

  All transactions are subject to the rules, requisitores, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in seid market, and to all the terms of our general customer's agreements between you and us. Wisconsin customer's egency trades are unsolicited. The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the account of the other customers. Such commingling, if any, ceases upon payment by you for these securities in the net amount due.

  The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not stready displayed.

  If this transaction is a sale and the securities are not already in our possession and do not represent a short sele, please forward than immediately to avoid possible payment of premium on securities borrowed, if payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resultine loss.

- le for resulting loss.
- liable for resulting loss.

  I Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Ahamativa Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction less applicable to purchases, capital call processing, and redemptions. TO Americade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to patients and transactions. To Americade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to options transactions, it may include any of the following; as asiles fee on certain set furnations of the consistent with Section 1 of Schedule A of FINRA'S By-Laws), animop other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent fine ferm on your monthly statement.

  10. Misc, Fees will be explained upon request. For transactions involving certain fracts Options. To Applicable to options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www. clos. com. Other Misc. Fees may include Prime Bicker fees or Special Settlement fees, where applicable.

  11. If this transaction involving that is subject to call or redemption before maturity, the dost subject to call or redemption before maturity, the dost reduced in whole or in part before maturity which could affect its yield. Additional information is available upon request. On zero coupons, interest and multiplier securities, no periodic payment of fluctuation depending on prepayment, specific information is available upon request. For inflation indexed securities well to maturity may very due to inflation adjustments.

- es compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be
- edjustments.

  12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transformation for turnished upon request

  13. Leveraged and inverse ETFs entail unique risks. Including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and aven direction. These products require edive monitoring and management, as frequently as deliy. They are not existable for all investors.

  14. Bonds and/or fixed-income securibles trade differently than equity securities and do not trade on a fisted exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to self your position of the maturity.

- 14. Bonds and/or fixed-income securities trace officeredly train equity securities and on not trace on a sisted exchange, respirate to maturity.

  15. If you request the sale of a nonmarketable or workless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security workless and TD Ameritrade thereby makes no representation reparting the present of future value of finese securities. The tracellon is inversable and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale.

  16. To access the Official Stotement (e.g., prospectus, prospectus, prospectus, prospectus, prospectus, prospectus, prospectus, prospectus, program disclosure document, information statement, etc. protained to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB): www.famcredit-flct.com, Federal Home Loan Bank (FFLB): www.filb-of.com, Federal Home Loan Montgage Corporation (Froddle Mac): www.froddlemac.com, Federal Noticeal Montgage Association (Fannie Mae): www.famcredit-flct.com, Federal Home Loan Montgage Association (Fannie Mae): www.famcredit-flct.com, Federal Montgage Association (Fannie Mae): www.famcredit-flct.com, F
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of invastors witting to purchase the security end, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

# **Confirmation Notice**

ACCOUNT NO.	OTHER	INFO	TRANSAC	TION NUM	/BER	CAP CODE	S	YOUR REPRESENTATIVE		
498-217333-1	498-217333-1		408	23557265		Α	TD AMERITRADE			
ACTIVITY	QUANTITY	CUS	IP NUMBER	PRIC	E .	PRINCIPAL	AMOUNT	MISC. FEE	REG FEES	
YOU BOUGHT	300	5	9134N104	1.78	99	536.	97		0.00	
AS OF TRADE DATE	TRADE	DATE	SETTLEMEN	T DATE	INT	EREST	COMMISSIO	ON/FEE	NET AMOUNT	
	02/17/2	2022	02/22/20	022			0.00		536.97	
TRADE DESCRIPTION	# P		7		SYMBO	- Wali	all de la company		142727	

META MATERIALS INC COM

**MMAT** 

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

# Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 28 of 144 Ameritade

**Confirmation Notice** Account 498-217333

Page 2 of 2

				,
	E: Type of Account		CAPACITY CODE:	
1, Cash 3, Margin Short	2. Margin Long 4. Income	A= Agent C= Cross Trade P= Principal Prime Broker if provided (contact the executing by	R= Riskiess Principal	
5. Withholding	6. Special	3= Agont for person other than customer 6= Acts as both principal and agent 9= Crossing as principal	4= Agent for both customer and another person 7= Acts as principal, agent and agent for another person	5= Principal for some executions and agent for other 8= Crossing as agent
			·	

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

- S AGRED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

  TO Ameritrade Clearing, Inc., member of FINRA/SIPC acts as the clearing agent.

  This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.

  At the time the trade was placed.

  At transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and the clearing house, if any) where executed, and of any association whose tules and regulations govern transactions in said market, and to all the terms of our general customers' agreements between you and us. Wisconsin customers' agreey trades are unsolicited.

  The securities described are, or may be hypothecated under circumstances which will permit the commingting thereof with securities carried for the other customers. Such commingting, if any, casses upon payment by you for the next amount due.

- nese securities in the net amount due.

  The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not riftedly displayed.

  If this transaction is a sele and the securities are not already in our possession and do not represent a short sele, please forward them immediately to avoid possible payment of premium on securities browned.

  If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you consistent.

  Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Atternative Investments (AI), also called Non Standard Assets (NSA). Commission/Fee represents to the contract of - Sable for resulting loss.

  9. Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital cell processing, and redemptions. TD Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions. It may include any of the following: a sales fee on certains self transactions (assessed at a rate consistent with Section 3 of the Section 3 of the Section 6 of Schedule A of FIRMA'S By-Laway, among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fee foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item on your monthly statement.

  10. Misc Fees will be explained upon request. For transactions invoking certain Index Options. TD Ameritrade may charge an Index Option Fee has accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www.cbos.com. Other Misc. Fees may include Prime Broket fee or Special Settlement fees, where applicable.

  11. If this transaction invokes a deal security that is subject to call or redemption before manaity, whe called or redement in whole or in part before maturity which could affect its yield. Additional information is available upon request. On zero coupons, interest and multiplier securities, no periodic payment of interest or principal are generally made. These securities may be called or redement on whole or in part before maturity value, without notice by mail to holder unless the securities are held in registered form. On asset backed debt securities are belief in formation in available upon request. For infa

- adjustments.

  12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be furnished upon request.

  13. Leveraged and inverse ETFs entail unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely in amount and even direction. These products require ective monitoring and management, as frequently as daily. They are not suitable for all investors.

  14. Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sail your position ever to maturity.

- prior to maturity.

  5. If you reguest the sale of a nonmarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is inneversable and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale.

  16. To access the Critical Statement (e.g., prospectus, program disclosure document, information is attended, etc., related to Musicipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, pleased with the applicable website and oriter the CUSIP: Federal Firm Credit Bank (FFGB): www.famrordinf.chc.com, Federal Home Loan Bonk (FHLB): www.fibod.com, Federal Home Loan Mortgage Corporation (Fendle Mac): www.famrordinf.chc.com, Federal Notional Mortgage Association (Fendle Mac): www.famrordinf.chc.com, Federal Notional Mortgage Association (Fendle Mac): www.famrordinf.chc.com, Federal Mortgage Association (Fendle Mac): www.famrordinf.chcc.com, - 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of invastors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the Equidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.



PO BOX 2577 - OMAHA NE 68103-2577

Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC. PO BOX 2209 OMAHA NE 68103-2209

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

# SÎP

#### **Confirmation Notice**

ACCOUNT NO.	498-217333-1		iFO	TRANSACTION NUMBER CAP			CAP CODE	ES 🖟	YOUR RE	PRESENTATIVE
498-217333-1				4092		Α	TD AM	ERITRADE		
ACTIVITY	, Q	UANTITY	CUS	SIP NUMBER	PRICE		PRINCIPAL	AMOUNT?	MISC. FE	ES REGFEES
YOU BOUGHT		300	59	9134N104	1.4786		443	.58		0.00
AS OF TRADE DA	TE,	TRADE DA	ATE .	SETTLEMEN	IT DATE	INT	EREST	COMMISS	ON/FEE	NET AMOUNT
<u> </u>	I	02/24/20	22	02/28/20	022			0.0	0	443.58
TRADE DESCRIPTION	ON	Carrie Marie Marie			Ś	YMBOL			**	ARK ARK
META MATERIAL CU	INIC					40.4AT				

META MATERIALS INC

**MMAT** 

00...

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

ACCOUNT NO.	OTHER I	NFO.	TRANSAC	MUN NOIT	BER+	CAP CODE	S	YOUR REPR	EŠĒNTATIVE 💆 🤌
498-217333-1	i		409	22039173		Α	TD AME	RITRADE	
ACTIVITY	QUANTITY	cus	IP NUMBER	PRICE	A 100 10	PRINCIPAL	AMOUNT	MISC. FEES	REG FEES
YOU BOUGHT	170	170 591		1.528	4	259.83			0.00
AS OF TRADE DATE	TRADE	ATE	SETTLEMEN	IT DATE	INT	EREST	COMMISSIO	M/FEE√	NET AMOUNT
	02/24/2	022	02/28/2	022			0.00		259.83
TRADE DESCRIPTION					SYMBO		* 18 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5		2 m

META MATERIALS INC

**MMAT** 

COM

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



### Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 30 of 144

#### **Confirmation Notice** Account 498-217333

Page 2 of 2

ACCOUNT CODE: Type of Account

Cash 2, Margin Long

Margin Short 4, Income

Withholding 5, Special A= Agent C= Cross Trade P= Principal R= Riskless Principal
Prime Broker if provided (contact the executing broker for further delates)
3= Agent for person other than customer
6= Acts as both principal and agent
7= Acts as principal, agent and agent for enother CAPACITY CODE: Margin Short
 Withholding 5= Principal for some executions and agent for other 8= Crossing as agent 7= Acts as principal, agent and agent for another person 9= Crossing as principal

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

- 1. TD Amenitade Clearing, Inc., member of FINRANSIPC acts as the clearing agent.
  2. This confirmation is a transaction edvice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information.
- This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.

  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

  All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions is not interested, and to set the terms of our general customer's agreements between you and us. Wisconsin customer's agency trades are unsolcited. The securities described are, or may be hypothecated under circumstances which will permit the comminging thereof with securities carried for the executed the other customers. Such comminging, if any, cases upon payment by you for these securities in the net amount due.

  The name of the other party or broker in the transaction, the date, the time of execution, source and emount of remuneration will be furnished upon request. If not siteady displayed.

  If this transaction is a sake and the securities are not already in our possession and do not represent a short safe, please forward them immediately to avoid possible payment of premium an securities borrowed.

  If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting toss.

- If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you label for resulting loss.
   Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Atamative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transactions less applicable to processing, and redemploins. TD Ameritrade will charge a Reg Fee on certain sets (assessed at a rate consistent with Section 3 of the Securities Exchange Act of 1934), the Options Regulatory Fee (applicable to options transactions), and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FINRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and free charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line tiern on your monthly statement.
   Misc. Fees will be explained upon request. For transactions involving certain Index Options, TD Ameritrade may charge an Index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www.cbos.com. Other Misc. Fees may include Prime Broker fees or Special Settlement fees, where applicable upon request. On zero coupons, futerest and multiplier securities in periodic payment of Interest or principal are generally made. These securities may be callable, below maturity value, without notice by mail to bolder unless the securities are held in registered form. On asset backed dails securities, yields are subject to functional depending on prepayment, specific information is available upo

- ives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be
- funished upon request

  13. Leveraged and inverse ETFs entail unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs exists of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require solive monitoring and management, as frequently as daily. They are not autable for all investors.

  14. Bonds and/or fixed-income securibles trade differently than equity securilies and do not trade on a listed exchange. Risther, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sait your position

- Sonds and/or fixed-income securibles trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position prior to makinfy.
   If you request the sale of a nonmarketable or worthless security. TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is inversable and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to this sale.
   To access the Official Statement (e.g., prospectus, prosp
- out-out-year.
  The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors writing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the tiquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

# **Confirmation Notice**

YOU BOUGHT 500 59134N104 2.03 1,015.00	TIVE	OUR REPRESENTAT	, T.	ES	R CAP COE	UMBER	TION NUI	TRANSAC	NFO -	OTHER	THE RESERVE OF THE PROPERTY OF	
YOU BOUGHT 500 59134N104 2.03 1,015.00		rade	TD AMER		А	77	56679077	4109				
The second of th	REG FEES	AISC. FEES R	OUNT	L AM	PRINCIPA	RICE	PRIC	SIP NUMBER	cus	UANTITY	ACTIVITY QUANTITY	
AS OF TRADE DATE   TRADE DATE   SETTLEMENT DATE   INTEREST   COMMISSION/FEE   NET AMO	0.00			5.00	1,0	.03	2.0	9134N104		500	YOU BOUGHT	
	AMOUNT	FEE NET A	OMMISSION	CC	INTEREST	[ ] I	NT DATE	SETTLEMEN	ATE	TRADE D		
03/01/2022 03/03/2022 0.00 1,015.0	)15.00	1,01	0.00				:022	03/03/20	)22	03/01/20		

META MATERIALS INC

**MMAT** 

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 32 of 144

**Confirmation Notice** Account 498-217333

Page 2 of 2

ACCOUNT CODE: Type of Account
Cash 2. Margin Long
Margin Short 4. Income
Withholding 6. Special CAPACITY CODE: A= Agent C= Cross Trade P= Principal R= Riskless Principal Prime Broker if provided (contact the executing broker for further details) 3= Agent for person other than customer 4= Agent (are both principal and agent 7= Acts as both principal and agent Pa Principal Margin Short
 Withholding 4= Agent for both customer and another person 7= Acts as principal, agent and agent for another person 5= Principal for some executions and agent for other 8= Crossing as agent 9= Crossing as principal

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

- 1. TD Ameritade Clearing, inc., member of FINRANSIPC acts as the dearing agent.
  2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information. ne the trade was placed.
- at the time the trade was placed.

  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

  All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to ell the terms of our general customer's agreements between you and us. Wissonsin customer's egency trades are unsolicited. The securities are descarbed are, or may be hypothecated under circumstances which will permit the comminging thereof with securities in the net amount due.

- The name of the other party of troker in the transaction, the date, the time of execution, source and emount of remuneration will be furnished upon request, if not already displayed.

  If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed, if payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.
  Commission/Fee repre-
- liable for resulting loss.

  9. Commission/Fee represents base commission and any options contract, exercise, and essignment fees. For Alternative Investments (AI), also called Not Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital call processing, and redemptions. TO Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions. It may include any of the following: a sales fee on certain self transactions (assessed at a rate consistent with Section 3 of Stefandia Assets), among other charges. Excharge Act of 1934, the Options Regulatory Fee (applicable to options transactions), and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FINA'S By-Laway), among other charges. Transactions in foreign accurities (including foreign company AIDs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item on your monthly statement.

  10. Misc. Fees will be explained upon request. For transactions involving certain Index Options. TO Ameritrade may charge an Index Option Fee in accordance with the Chicago Board Options Excharge (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Excharge Fee Schedule can also be found on www.cboe.com. Other Misc. Fees may include Prime Broker fees or Special Settlement less. where applicable.

  11. If this transaction involves a debt security that is subject to call or redemption before maturity, the debt security may be called to below maturity which could affect its yield. Additional information is evaluable upon request. On zero coupons, interest and multiplier securities, no periodic payment of interest or principal are generally made. Trees excurites may be called the Joekow maturity value, without notice by mast

- adjustments.
  The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution, the source and provided and security of the performance of a turnshed upon request.
  Laveraged and inverse ETFs entail unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Hoth seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require sective monitoring and management, as frequently as daily. They are not suitable for all investors.

  Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a kisted exchange. Rether, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for your to sail your position.

  The first requirement is the exercision in the event a resirect cannot be located. In such a sale, you are deeming the security worthless and TD Ameritande thereby

- Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a kisted exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position prior to mailurity.

  If you request the sele of a nonmarketable or worthless security. To Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade will be the buyer in the transaction is irreversable and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale. To access the Official Statement (e.g., prospectus, program disclosure document, information statementain statement (e.g., prospectus, program disclosure document, thoronation statement (e.g., prospectus, program disclosure document, thoronation statement Sponsored Enterprise Debt, or Federal Aproperty Securities, please visit the prelicable websits and enter the CUSIP; Federal Farm Credit Bank (FFCB); www.farmcredit-ffcb.com, Federal Home Loan Bank (FFLB); www.fitb-of.com, Federal Home Loan Mortgage Corporation (Freddie Mac); www.footdlemac.com, Federal National Mortgage Association (Fernie Mae); www.farmcredit-ffcb.com, Sociation Mortgage Association (Fernie Mae); www.farmcredit-ffcb.com, Sociation Mortgage Association (Fernie Mae); www.farmcredit-ffcb.com, Sociation Mortgage Association (Fernie Mae); www.farmcredit-ffcb.com, Federal Home Loan Bank (FFLB); www.farmcredit-ffcb.com, Federal Home Loan Mortgage Association (Fernie Mae); www.farmcredit-ffcb.com, Federal Home Loan Mortgage Association (Fernie Mae); www.farmcredit-ffcb.com, Federal Home Loan Bank (FFLB); www.farmcredit-ffcb.com, Federal Home Loan Mortgage Association (Fernie Mae); www.farmcredit-ffcb.com, Federal Home Loan Bank (FFLB); www.fa
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of invastors writing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

#### **Confirmation Notice**

ACCOUNT NO.	ACCOUNT NO. OTHER INFO		TRANSAC	CTION NUMBER CAP CODES		S	YOUR REPRESENTATIVE		
498-217333-1			4107	6606078		А	TD AM	ERITRADE	
ACTIVITY	QUANTITY	cus	IP NUMBER	PRIC	Έ	PRINCIPAL	AMOUNT MISC. FEE		REG FEES
YOU SOLD	5,507	59	9134N104	1.9	4	10,68	3.58		0.71
AS OF TRADE DATE TRADE DA		ATE	SETTLEMEN	T DATE	INT	EREST	COMMISSI	ON/FEE	NET AMOUNT
	03/02/20	22	03/04/20	22			0.00	)	10,682.87
TRADE DESCRIPTION					SYMBO				
	<u> </u>			2	- A The plantage of the co		1.0	<u> </u>	The state of the s

META MATERIALS INC

MMAT

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



### Case <u>24-5079</u>2-hlb Doc 628 Entered 12/12/24 14:58:59 Page 34 of 144

**Confirmation Notice** Account 498-217333

Page 2 of 2

ACCOUNT CODE: Type of Account						
1. Cash	2. Margin Long		R= Riskless Principal			
<ol><li>Margin Short</li></ol>	4. Income 6. Special	Prime Broker if provided (contact the executing broke	er for further details)			
5, Withholding		3= Agent for person other than customer	4= Agent for both customer and another person	5= Principal for some executions and agent for other		
		6≠ Acts as both principal and agent	7= Acts as principal, agent and agent for another person	8= Crossing as agent		
		9= Crossing as principal				

- ities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information
- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

  1. TO Ameritrade Clearing, Inc., member of FINRA/SIPC acts as the clearing agent.

  2. This confirmation is a timescalion advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Cartain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.

  3. You will report emy errors immediately and/or notify us if not entirely in accordance with your understanding.

  4. All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and the clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in soid market, and to all the terms of our generate customer's agreements between you and us. Wisconsin customers' agency trades are or may be hypothecated under circumstances which will permit the commingting thereof with securities described in the net amount due.

  The securities in the net amount due.

  The report of the other customers agreement to the transaction to the customers agreement by you for these securities in the net amount due.

- The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not already displayed.

  If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.

  If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you
- If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.
   Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction less applicable to purchases, capital call processing, and redemptions. TO Ameritrade will charge a Reg Fee on certain standard seasons and the following: a sales fee on certain set is transactions. It may include any of the following: a sales fee on certain set is transactions; and the Trading Activity Fee (assessed at a rata consistent with Section 1 of Schedule A of FikiRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line letter on your monthly statement.
   Misc. Fees will be explained upon request. For transactions involving certain Index Options. TD Ameritrade may charge an Index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule, This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www.cboe.com. Other Misc. Fees may include Prime Broker fees or Spocial Sottlement fees, where applicable.
   If this transaction involves a debt security that is subject to call or redemption before maturity, which could affect its yield. Additional information is available upon request. On zero coupons, (uterest and multiplier securities on periodic payment of interest or principal are generally made. These securities may be callable, below maturity value, w

- 12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular trans
- 12. The first receives compensation for directing trace and over-me-counter securities, and options order now to selected market centers for execution. The source and nature or payment received in connection with a particular transaction will be furtished upon request.

  13. Leveraged and inverse ETFs entail unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark over periods as a short as a single day. Results of both startegies can be affected substantially by compounding. Returns over longer puriods will likely differ in amount and even direction. These products require solve monitoring and management, as frequently as deliver for all investors.

  14. Bonds smilter fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Risthar, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position order to make the other than the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position order to make the other than the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position order to make the other than the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position order to make the other than the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position or the other than the OTC (over the counter) marketplace and liquidity may not always exist for your to sell your position or the other than the OTC (over the counter).

- 15. If you request the sale of a nonmarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is irreversable and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the selection of the presentation regarding the present or future value of these securities. The transaction is irreversable and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the selection of the presentation o
- The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors witing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may edversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

# **Confirmation Notice**



ACCOUNT NO.	OTHE	RINFO	TRANSACTION NUMBER		CAP CODES	s .	YOUR REPRESENTATIVE		
498-217333-1		412		08692819		Α	TD AME	ERITRADE	- Committee - Comm
ACTIVITY	QUANTITY	cus	IP NUMBER	PRIC	)E	PRINCIPAL	AMOUNT	MISC. FEE	S REG FEES
YOU BOUGHT	5,000	59	9134N104	1.70	0	8,500.00		0	0.00
AS OF TRADE DAT	TE TRADE	<del>                                     </del>		<b>SETTLEMENT DATE INT</b> 03/10/2022		EREST COMMISSION		NET AMOUNT	
						,	0.00		8,500.00
TRADE DESCRIPTIO	IN .				SYMBO	Ľ			A THE SAME
META MATERIALS IN	NC				TANAA				

MMAT

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



#### Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 36 of 144

#### **Confirmation Notice** Account 498-217333

Page 2 of 2

ACCOUNT CODE: Type of Account

1. Cash
2. Magazin CAPACITY CODE: A= Agent C= Cross Yrade P= Principal R= Riskless Principal Prime Broker if provided (contact the executing broker for further datalla) 3= Agent for poscon other than customer 4= Agent for both c 6= Acts as both principal and agent 7= Acts as principal 3. Margin Short 5. Withholding 4= Agent for both customer and another person 7= Acts as principal, agent and agent for snother person 5= Principal for some executions and agent for other 8= Crossing as agent 9= Crossing as principal

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

1. TD Ameritrade Clearing, Inc., member of FINRA/SIPC acts as the clearing agent.

2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information

at the time the trade was piaced.
You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.
All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Faderal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and the clashing house, if any), where executed, and of any association whose rules and regulations govern trensections in soid market, and to all the terms of our general customer's agreements between you and us. Wisconsin customer's eigency trades are uscilicted.
The securities described are, or may be hypothecated under obcursances which will permit the commingling thereof with securities certed for the account of the other customers. Such commingling, if any, casess upon payment by you for these securities in the net amount due.

The name of the other party of books in the transaction, the date, the time of execution, source and amount of remaineration will be furnished upon request, if not already displayed.

If this transaction is a sale and the securities are not already to un possession and do not represent a short sale, please forward them transaction, or at our option, to sell the securities covered hereby and hold you distill for resulting loss.

islatic for resulting loss.

1. Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction test septicable to purchases, capital call processing, and redemptions. TD Ameritrads will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to purchases, capital call processing, and redemptions. TD Ameritrads will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to options transactions. It may include any of the following: a sales fee on contain self-transactions assessed at a rate consistent with Section 1 of Schedule A of FINRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an Independent fine term on your monthly statement.

In Misc Fees will be explained upon request. For transactions involving certain floate, Options. TD Advised as an Independent fine term on your monthly statement.

It is first intensed upon request. For transactions involving certain floate, Options in transactions are proving the state of the price of the securities of the schedule. This fee will be reflected in the Misc. Fee field. A copy of the CROE Options Exchange Fee Schedule can also be found on www.cbos.com. Other Misc. Fees may include Prime Broker fees or Special Sedtement less, where applicable upon request. On zero coupons, interest and multiplier securities, no periodic payment of interest or principal are generally made. These securities may be callable, below maturity value, without notice by mail to holder unless the securities are held in registered form. On asset backed debt securities, yields are subject to f

ensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be

12. The firm receives compensation for directing listed and over-the-counter securities, and options order now to selected market centers for execution, the source and relative to payment receives compensation for directing listed and over-the-counter securities, and options order now to selected market centers for execution, the source and relative to the payment receives the control of the performance of a benchmark, inverse ETFs seek to deliver the opposite of the performance of a benchmark, inverse ETFs seek to deliver the opposite of the performance of a benchmark, inverse ETFs seek to deliver the opposite of the performance of a benchmark, inverse ETFs seek to deliver the opposite of the performance of a benchmark, inverse ETFs seek to deliver the opposite of the performance of a benchmark, inverse ETFs seek to deliver the opposite of the performance of a benchmark, inverse ETFs seek to deliver the opposite of the performance of a benchmark, inverse ETFs seek to deliver the opposite of the performance of a benchmark, inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark inverse.

13. Leveraged and inverse STFs seek to deliver the opposite of the performance of a benchmark inverse.

14. Bonds and/or fixed histories securities trade differently then equity securities and do not trade on a listed exchange. Risther, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position.

14. Donus snown securines trace mirrently than equity securities and do not trate on a islated exchange. Righer, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sail your position prior to maturity.

15. If you request the sale of a nonmarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation reparding the present or future value of these securities. This transaction is ineversable and you will lave no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale.

16. To access the Official Statement (e.g., prospectus, program discissure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please valid the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB): www.farmcredit.ftcb.com, Federal Home Loan Bank (FHLB): www.fitib-of.com, Federal Home Loan Mortgage Corporation (Fredite Mac): www.farmidemac.com, Federal Notgage Association (Fernie Mac): www.farminemac.com, Government National Mortgage Association (Fernie Mac

17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of invastors witing to purchase the security and, therefore, adversely impact the price that you receive for the zecurity. The quantity of securities you purchased is below the minimum denomination and may adversely affect the fiquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

## **Confirmation Notice**



ACCOUNT NO.	OTHER	INFO	TRANSÁC	TRANSACTION NUMBER			s i	YOUR REPRESENTATIVE		
498-217333-1			4133	2799266		Α	TD AME	RITRADE	1	
ACTIVITY	QUANTITY	cus	IP NUMBER	PRIC	CE	PRINCIPAL	AMOUNT	MISC. FEE	S REG FEES	
YOU BOUGHT	200	59	134N104	1.4	5	290.0	00		0.00	
AS OF TRADE DATE	E TRADE	DATE	SETTLEMEN	T DATE	INT	EREST	COMMISSIO	ON/FEE	NET AMOUNT	
	03/14/2	2022	03/16/20	22			0.00		290.00	
TRADE DESCRIPTION	N		71		SYMBO	Ľ Ž		. j		
META MATERIAL O IN					14147					

META MATERIALS INC COM

**MMAT** 

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

**ACCOUNT CODE: Type of Account** 

Mergin Short
 Withholding

Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 38 of 144

**Confirmation Notice** 

Account 498-217333 Page 2 of 2

CAPACITY CODE:

A= Agent C= Cross Trade (P= Principal R= Riskless Principel Prime Broker if provided (contact the executing broker for further details) 3= Agent for person other than customer 4= Agent for both

4= Agent for both customer and another person 7= Acts as principal, agent and agent for another person 6= Acts as both principal and agent

9= Crossing as principal

5= Principal for some executions and agent for other 8= Crossing as agent

#### IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT;

TD Amenting Clearing, inc., member of FINRASSIPC acts as the clearing agent.

This confirmation is a transaction edvice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information.

at the time the trade was placed.

You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

All transactions are eutiped to the rules, regulations, requirements (including margia requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern tensactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customer's opency trades are unsolicited. The securities described are, or may be hypothecated under circumstances which will permit the comminging thereof with securities certified for the account of the other customers. Such comminging, if any, ceases upon payment by you for these securities in the net amount due.

The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remueration will be furnished upon request, if not drivedy displayed.

If this transaction is a sale and the securities are not already in our possession and do not represent a short sele, please forward them transaction, to a sale and the securities are not already in our possession and do not represent a short sele, please forward them transaction, and premium on securities becomed, if payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.

liable for resulting loss.

lable for resulting loss,

Commission/Fee represents base commission and any options contrart, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital call processing, and redemplians. TO Ameritade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions. It may include any of the following: a sates fee on certain set transactions (assessed at a rate consistent with Section 31 of Schedule A of FilkNA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent fine item on your monthly statement.

In Misc, Fees will be explained upon request. For transactions involving certain Index Options. TO Markets are sufficiently in the subject of the security or charged as an independent fine item on your monthly statement.

It if this (massaction involves a debt security that is subject to call or redemplicin before maturity, the doth security may be called or redemend in whole or in part bofore maturity which could affect its yield; Additional information is available upon request. On zero coupons, interest and multiplier securities, no periodic payment of interest or principal are generally made. These securities may be called or in part bofore maturity which obtained upon request. For inflation indexed securities, which in obtained maturity may very due to inflation adjustments.

- adjustments.

  12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment receives in confiscion with a particular flow to selected market centers for execution. The source and nature of payment receives in confiscion with a particular flow of the performance of a benchmark. However, the proposition of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both stategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and aren direction. These products require sellive monitoring and management, as frequently as delily. They are not suitable for all investors.

  14. Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rether, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to self your position.

- 14. Bornes and/or noted-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position prior to making.

  15. If you request the sale of a nonmarketable or worthless security. TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are dearning the security worthless and TD Ameritrade thereby makes no representation regarding the presents of future value of these securities. The transaction is inversable and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale.

  16. To access the Official Statement (e.g., prospectus, prosp
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors witing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may edversely affect the figuidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.



TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

#### **Confirmation Notice**

ACCOUNT NO.		OTHER IN	<b>VFO</b>	TRANSACTION NUMBER			CAP CODES	S	YOUR REPRESENTATIVE			
498-217333-1				4178	38412662		A	TC	TD AMERITRADE			
ACTIVITY	Ql	UANTITY	cus	SIP NUMBER	PRIC	CE C	PRINCIPAL	AMOUN	IT MIS	C. FEES	REG FEES	
YOU SOLD		5,200	59	9134N104	1.80	01	9,360	).52			0.73	
AS OF TRADE DATE	E	TRADE D	ATE	SETTLEMEN	T DATE	INT	EREST	COMN	IISSION/FE	E	NET AMOUNT	
		03/31/20	J22	04/04/20	)22				0.00		9,359.79	
TRADE DESCRIPTION	N*		17. 11.			SYMBO	L	2,968				
									· · · · · · · · · · · · · · · · · · ·			

META MATERIALS INC

**MMAT** 

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.



#### Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 40 of 144

**Confirmation Notice** Account 498-217333

Page 2 of 2

**ACCOUNT CODE: Type of Account** CAPACITY CODE: Ra Riskiess Princina C= Cross Trade Px Prhyrings A= Agent C= Cross Trade P= Principal R= Riskless Principal
Prime Broker il provided (contact the executing broker for further deteils)
3= Agont for person other than customer
4= Agont for both customer and another person
6= Acts as both principal and agent
7= Acts as principal, agent and agent for another Margin Short
 Withholding 5= Principal for some executions and arout for other 7= Acts as principal, agent and agent for another person 8= Crossing as agent 9= Crossing as principal

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

1. TD Amaritrade Clearing, Inc., member of FINRAVSIPC acts as the clearing agent.

2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed

at the time time was placed.

You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agreeny trades are unsolicited.

The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the account of the other customers. Such commingling, if any, ceases upon payment by you for these securities in the net amount due.

The name of the other party of broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not attendy displayed.

If this trensaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.

If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you

- liable for resulting loss.

  Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Stantard Assets (NSA), Commission/Fee represents transactions transactions. It may include any of the following: a sales fee on certain self transactions. It may include any of the following: a sales fee on certain self transactions (assessed at a rate consistent with Section 1 of Schedule A of FINA'S by-Lawa), among other changes Exchange Act of 1934), the Options Regulatory Fee (applicable to options transactions), and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FINA'S by-Lawa), among other changes. Transactions in foreign assertiates (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent fine item on your monthly statement.

  In Misc. Fees will be explained upon request. For transactions involving certain Index Options. TO Ameritade may charge an Index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www. chos.com. Other Misc. Fees may include Prime Broker fees or Special Softlement fees, where applicable.

  It this transaction involves a debt security that is subject to call or redemption before maturity, the device of the securities may be called to prion before maturity, the device of the securities are placed in the device of the part before maturity value, without notice by mail to holder unless the securities are held in registered form. On asset backed diabl securities, yields are subject to fluctuation depending on prepayment, specific information is available upon request. For inflation indexed securities, yield to maturity may vary due to inflation

- 12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be
- 12. The firm receives compensation to uncount state or an extraction of the firm receives compensation to uncounter setting the firm of th

- 14. Bonds and/or fixed-income securities trade differently than equity securities and do not nature on a ratio examination in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present of future value of these securities. The transaction is inteversible and you will have no further claim to the securities and no claim egainst TD Ameritrade for any losses related to the sale.

  15. To eccess the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB): www.farmcredit-fcb.com, Federal Home Loan Bank (FFHB): www.fibi-of.com, Federal Home Loan Bank (FFHB): www.farmcredit-fcb.com, Federal Mortgage Association (Farnise Mae): www.farnisemae.com, Government National Mortgage Association: www.gnmismee.gov, Municipal Bonds; www.emma.msrb.org. A copy of the Official Statement can be requested by ceiling 800-669-3900.
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of invastors witing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.



TD AMERITRADE, INC. PO BOX 2209 OMAHA NE 68103-2209

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

## **Confirmation Notice**



ACCOUNT NO.	Contract of the Contract of th	OTHER IN	VFO :	TRANSAC	TION NUIT	MBER -	CAP CO	ES 🎾		YOUR REPRES	SENTATIVE PUTE
498-217333-1					07084422		A		TD AME	ERITRADE	
ACTIVITY	Q	UANTITY:	CÜS	IP NUMBER	PRIČ	SE (**)	PRINCIPA	L AM	OUNT	MISC. FEES	REG FEES
YOU BOUGHT		5,100		9134N104	1.589	92	8,1	04.92		0	0.00
AS OF TRADE DAT	ſΕ	TRADE D	ĀŤĒ	SETTLEMEN	IT-DATE	in	TEREST	Co	OMMISSIC	ON/FEE	NET AMOUNT
		04/01/20	)22	04/05/20	022			T .	0.00		8,104.92
TRADE DESCRIPTION	Ν̈́					SYMBO	oL .		part C		
META MATERIAL SIN	NIC					B4846T					Control of the Contro

META MATERIALS INC

MMAT

COM

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.



### Case <u>24-5079</u>2-hlb Doc 628 Entered 12/12/24 14:58:59 Page 42 of 144

**Confirmation Notice** 

Account 498-217333 Page 2 of 2

ACCOUNT COL	3E: Type of Account		CAPACITY CODE:	
Cash     Margin Short     Withholding	2. Margin Long 4. Income 6. Special	A= Agent C= Cross Trade P= Principal Prime Broker if provided (contact the executing b 3= Agent for person other than customer 6= Acts as both principal and agent 9= Crossing as principal	R= Riskless Principal	5= Principal for some executions and agent for other 8= Crossing as agent

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

1. TD Ameritade Clearing, Inc., member of FINRA/SIPC acts as the clearing agent.

2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information.

at the time the trade was placed.

You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to set the terms of our general customer's agreements between you and us. Wisconsin customers' eigency trades are unsolicited. The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the account of the other customers. Such commingling, if any, casses upon payment by you for these securities in the net amount due.

The name of the other party of broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not siteady displayed.

If this trensaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them transclately to avoid possible payment of premium on securities borrowed,
If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you

If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.
 Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Atternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transactions tees applicable to purchases, capital call processing, and redemplores. TO Ameritrade will charge e Reg Fee on certain sets.
 Excharge Act of 1934, the Options Regulatory Fee (applicable to options the objects), and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FINRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, within may be reflected in the prior of the securities of the securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, within may be reflected in the first of the securities of t

- edjustments.

  12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular trensaction will be
- 12. The infinitedness compensation for directing used and over-inequalities extended, and upon request furnished upon request upon request.

  13. Lavaraged and inverse ETFs entail unique risks. Including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives, Leveraged ETFs seek to deliver more than the performance of a benchmark. Both seek results over periods as short as a single day, Results of both strategies can be affected substantially by compounding. Returns over longer periods wall likely differ in amount and aven direction. These products require active monitoring and management, as frequently as daily. They are not suitable for all evegtors.

  14. Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) manketplace and liquidity may not always exist for you to self your position

- 14. Bonds endfor fixed-income securities trade differently then equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the countar) marketplace and liquidity may not always exist for you to sell your position prior to maturity.

  15. If you request the sale of a nonmarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation reparting the present or future value of these securities. The translation is inversable and you will have no future relain to the securities and no claim against TD Ameritrade for any losses related to the sale.

  16. To access the Official Statement (e.g., prospectus, prospe
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of invastors witting to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may edversely affect the figuidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

## **Confirmation Notice**

ACCOUNT NO.	OTHER IN	1FO	TRANSAC	TION NUM	∕IBER	CAP CODES		YOUR REPRESENTATIVE		
498-217333-1			420	74793758	·	Α	TD AMERITRADE			
ACTIVITY	QUANTITY	CUS	SIP NUMBER	PRIC	Œ	PRINCIPAL A	MOUNT	MISC. FE	ES REG FEES	
YOU BOUGHT	200	5!	9134N104	1.50		300.00	,		0.00	
AS OF TRADE DATE	TRADE DA	ATE	SETTLEMEN	IT DATE	INTE	REST	COMMISSI	ON/FEE	NET AMOUNT	
	04/14/20	)22	04/19/20	022			0.00	)	300.00	
TRADE DESCRIPTION	The state of the s				SYMBOL					
META MATERIALS INC	3				MMAT					

COM

MMAT

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.



#### Case <u>24-5079</u>2-hlb Doc 628 Entered 12/12/24 14:58:59 Page 44 of 144

# **Confirmation Notice**

Account 498-217333 Page 2 of 2

ACCOUNT CODE: Type of Account
Cash 2. Margin Long
Margin Short 4. Income
Withholding 6. Special CAPACITY CODE: 1, Cash 3, Margin Short 5, Withholding P= Principal C= Cross Trade A= Agont C= Cross 1rade | T= Francyse | T= F 5= Principal for some executions and agent for other 8= Crossing as agent 9= Crossing as principa

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

  1. TD Amaritrade Clearing, Inc., member of FINRA/SIPC acts as the clearing agent.

  1. This confirmation is a transaction advice, not an invoice. Remilitance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information.
- This confirmation is a transaction source, not an invoke. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.

  You will report any errors intredistrily and/or notify us if not entirely in accordance with your understanding.

  All transactions are subject to the rules, regulations, requirements including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if eny) where executed, and of any association whose rules and regulations govern transactions in said market, and to ell the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are unsclicited.

  The securities described are, or may be hypothecated under circumstances which will permit the comminging thereof with securities carried for the account of the other customers. Such comminging, if any, ceases upon payment by you for

securities in the net amount du The name of the other party or broker in the transaction, the date, the time of execution, source and emount of remuneration will be furnished upon request, if not already displayed

- If this trepsaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.

  If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you
- If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.
   Commission/Fee represents base commission and any options contrart, exercise, and assignment fees. For Atametive Investments (AI), also called Not Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital call processing, and redemplans. TO Ameritrade wait charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to interpolate to options assessed at a rate consistent with Section 1 of Schedule A of FINRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item on your monthly statement.
   Misc. Fees will be explained upon request. For transactions involving certain index Options. To Ameritrade may charge an Index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www.cbos.com. Other Misc. Fees may include Prime Broket fees or Special Settlement fees, where applicable.
   If this fransaction involves a debt security that is subject to call or redemption before maturity, the debt of maturity that our is evaluable upon request. On zero coupons, interest and multiplier securities in periodic payment of interest or principal are generally made. These securities may be callable, below maturity value, without notice by mail to bolder unless the securities are held in registered form. On asset

- adjustments.

  12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular tree.
- 12. The tim receives compensation to discount state and a treatment outside the state of the performance of a furnished upon request 1.

  13. Laveraged and inverse ETFs entail unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Laveraged ETFs seak to deliver most be deliver the opposite of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require active monitoring and management, as frequently as daily. They are not suitable for all investors.

  14. Bonds and/or fixed-income securables trade differently than equity securities and do not trade on a listed exchange. Rether, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to self your position
- 15.
- Bonds end/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position prior to mailurity.

  If you request the safe of a nonmarketable or worthless security. To Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a safe, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present of future value of these securities. The transaction is ineversable and you will have no further claim to the securities and no dalim against TD Ameritrade for any losses related to the safe. To access the Official Stotement (e.g., prospectus, program disclosure document, information statements and you will have no further claim to the securities and no dalim against TD Ameritrade for any losses related to the safe. To access the Official Stotement (e.g., prospectus, program disclosure document, information statement and you will have no further claim to the securities and no dalim against TD Ameritrade for any losses related to the safe. To access the Official Stotement and TD Ameritrade for any losses related to the safe. To access the Official Stotement can be requisited by calling 800-869-3900.
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of invastors witing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may edversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.



TD AMERITRADE, INC. PO BOX 2209
OMAHA NE:68103-2209

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

## **Confirmation Notice**



YOU BOUGHT 200 59134N104 1.4299 285.98	YOUR REPRESENTATIVE			
YOU BOUGHT 200 59134N104 1.4299 285.98	JE			
	. FEES REG FEES			
	0.00			
AS OF TRADE DATE   SETTLEMENT DATE   INTEREST   COMMISSION/FEE	NET AMOUNT			
04/18/2022 04/20/2022 0.00	285.98			

META MATERIALS INC

MMAT

COM

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.



### Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 46 of 144

**Confirmation Notice** 

Account 498-217333 Page 2 of 2

ACCOUNT CODE: Type of Account
Cash 2. Margin Long
Margin Short 4. Income CAPACITY CODE: A= Agent C= Cross Trade P= Principal C= Cross Trade Margin Short
 Withholding 6, Special 5= Principal for some executions and agent for other 8= Crossing as agent 6= Acts as both principal and agent 7= Acts as principal, agent and agent for another person 9= Crossing as principal

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

  1. TD Ameritrade Clearing, Inc., member of FINRAVSIPC acts as the clearing agent.

  1. This confirmation is a transaction advice, not an invoice. Remilitance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information.
- This confirmation is a transaction advice, not an involce. Remilliance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.

  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

  All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in soid market, and to all the terms of our general customer's agreements between you and us. Wisconsin customer's agreemy trades are unsolicited.

  The securities described are, or may be hypothecated under circumstances which will permit the coruminging thereof with securities carried for the account of the other customers. Such comminging, if any, ceases upon payment by you for these securities in the ret arround due.

- The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not already displayed.

  If this trensaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.

  If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you
- If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without turner notice, to cancer this turneaction, or at our opport, to see the contract payment is able for resulting loss.

  Commission/Fee represents base commission and any options contract, exercise, and essignment fees. For Ahemetive Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital call processing, and redemptions. TD Ameritzade will charge a Rep Fee on certain test accordance to 1934), the Options Regulators regulations applicable to principle to the following: a selest fee on certain est it encastions (seasessed at a rate consistent with Section 1 of Schedule A of FilNRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected to the price of the security or charged as interpreted in the more of the securities of the security or charged as interpreted in the more to markets or governments, which may be reflected to the price of the security or charged as interpreted in the Misc. Fees will be explained upon request. For transactions involving certain index Options, TD Ameritrade may charge an Index Option Fee In accordance with the Chicago Board Options Exchange (CBOE) Global Markets, inc. fee schedule. This fees will be replicated in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule an also be found on www. obsect. Misc. Fees my include Prime Broker fees or Spocial Settlement fees, which are applicable.

  11. If this transaction involves a debt security that is subject to call or redemption before maturity, the debt security may be called or redeemed in whole or in part before maturity which could effect its yield. Additional information is available upon request. On zero coupons, interest and or indigence securities, no

- edjustments.

  12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be
- turnished upon request

  13. Laveraged and inverse ETFs entail unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiplies of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Soft seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer pariods will likely differ in amount and average direct require active monitoring and management, es frequently as daily. They are not suitable for all investors.

  14. Bonds and/or fixed-income securities trade differently then equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to self your position

- 14. Bothers allution recommended securities are accommended transfer of the security and required the security and required the security and required the security and required the security and representation of the security and representation of the security and representation reparamentation reparame
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors witing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.



Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674



#### **Confirmation Notice**

ACCOUNT NO.		OTHER IN	1FO	TRANSAC	TION NUM	/IBER	CAP CODES	ĖS	YOUR REPRESENTATIVE			
498-217333-1				4228	32151174		А		TD AMERI	TRADE		
ACTIVITY	QL	JANTITY	cus	IP NUMBER	PRIC	Œ	PRINCIPA	_ AMC	DUNT	MISC. FEES	REG FEES	
YOU BOUGHT		500	59	9134N104	1.16	99	584	.95			0.00	
AS OF TRADE DAT	E	TRADE D	ATE	SETTLEMEN	T DATE	IN	EREST	CC	MMISSION	FEE "	NET AMOUNT	
		04/27/20	22	04/29/20	22				0.00		584.95	
TRADE DESCRIPTION	Ñ	AN LANCE	Maria de la companya		P. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.	SYMBO	)Li		77.	67 - A 1799 - 3	Secretary of the second	
META MATERIALS IN	10					BABAAT			- T - T - T - 2000 200		2 24 24 25 25 25 25 25 25 25 25 25 25 25 25 25	

META MATERIALS INC COM

MMAT

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.



## Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 48 of 144

**Confirmation Notice** 

Account 498-217333 Page 2 of 2

ACCOUNT CODE: Type of Account
Casti 2. Margin Long A= Agent C= Cross Trade P= Principal R= Riskless Principal
Prime Broker if provided (contact the executing broker for further details)
4= Agent for both customer and another person
4= Agent for both customer and another person CAPACITY CODE: 1. Cash 3. Margin Short 5. Withholding 4. Incon 6. Special 5= Principal for some executions and agent for other 8= Crossing as agent 6= Acts as both principal and agent 7= Acts as principal, agent and agent for another person 9= Crossing as principal

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

  1. TD Amentade Classing, inc., member of FINRASSIPC acts as the clearing agent.

  2. This confirmation is a transaction edvice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation in a threat threat the time that place was placed.

  3. You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

  4. All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange or market (and its clearing house, if any) where executed, and of any association whose itses and regulations govern transactions in said market, and to did the terms of our general customer's agreements between you and us. Wiscomsin customers' agreemy trades are unsolicited.

  5. The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the abcount of the other customers. Such commingling, if any, ceases upon payment by you for these securities in the amount due.

- The name of the other party or broker in the transaction, the date, the time of execution, source and emount of remmeration will be furnished upon request, if not gleedy displayed.

  If his trepsaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.

  If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to soil the securities covered hereby and hold you
- If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you labele for resulting loss.

  9. Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital call processing, and redemptions. TD Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions. It may include any of the following: a sales fee on certain set I transactions (assessed at a rate consistent with Section 1 of 1 Schedule A of FINRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent like Item on your monthly statement.

  10. Misc, Fees will be explained upon request. For transactions involving certain Index Options. TO Ameritrade may charge an Index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee tied. A copy of the CBOE Options Exchange Fee Schedule can also be found on www. chos.com. Other Misc. Fees may include Prime Broket fees or Special Schlament less, where applicable. If this transaction involves a debt security that is subject to call or redemption before maturity, the is evidence maturity, which could affect its yield. Additional Information is available upon request. On zero coupons, interest and multiplier securities, no periodic payment of interest or principal are generally made. These securities may be called to maturity value, without notice by

- edjustments.

  12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be furnished upon request
- 13. Laveraged and inverse ETFs entail unique risks, including but not limited to: use of ieverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over tonger periods will keep differ in amount and even direction. These products require sective monitoring and management, as frequently as dealy. They are not suitable for all revestors.

  14. Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a keted exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not atways exist for you to sell your position

- 15. If you request the sale of a nontrankelable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are dearning the security worthless security worthless security, TD Ameritrade thereby makes no representation reparding the present or future value of these securities. The transaction is irreversible and you will have no further claim to the securities and no daim against TD Ameritrade for any losses related to the sate.

  16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB): www.farmcredit-flcb.com, Federal Home Loan Bank (FHLB): www.fith-ot.com, Federal Home Loan Mortgage Association (Frodite Mac): www.farmlemae.com, Government National Mortgage Association (Farnie Mae): www.farniemae.com, Federal Home Loan Mortgage Association (Farnie Mae): www.farniemae.com, Government National Mortgage Association (Farnie Mae): www.farniemae.com, Government Mational Mortgage Association (Farnie Mae): www.farniemae.com, Government Mational Mortgage Association (Farnie Mae): ww
- success-sour.

  To the security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors witing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC. PO BOX 2209 OMAHA NE 68103-2209

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

# **Confirmation Notice**



ACCOUNT NO.		OTHER IN	<b>VFO</b>	TRANSAC	TION NUMB	3ER	CAP CODES		YOUR REPRESENTATIVE		
498-217333-1			`	4241	80270065		Α	TD AME	ERITRADE	<u></u>	
ACTIVITY	C	QUANTITY	cus	SIP NUMBER	PRICE	*	PRINCIPAL A	MOUNT	MISC. FEES	REG FEES	
YOU BOUGHT		1,000	5′	59134N104	1.22		1,220.0	)0	0	0.00	
AS OF TRADE DAT	ΓE	TRADE DA	ATE	SETTLEMEN	IT DATE	INTE	EREST	COMMISSIO	ON/FEE	NET AMOUNT	
	'	05/05/20	J22	05/09/20	)22			0.00		1,220.00	
TRADE DESCRIPTION	N	No.		7 - 7 - 7 - 7 - 7 - 7 - 7 - 7 - 7 - 7 -	s	SYMBOL	7 ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) (	Kr. V	The Control		
TRADE DESCRIPTION	t to pur trying		30 P W	- 1.	3 7 6 7 1 3 7 6 7 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	SYMBOL					

META MATERIALS INC

MMAT

COM

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 50 of 144

Confirmation Notice

Account 498-217333 Page 2 of 2

ACCOUNT CO	DE: Type of Account		CAPACITY CODE:	
1. Cash	2. Margin Long	A= Agent C= Cross Trade P= Principal	R= Riskless Principal	
<ol><li>Margin Short</li></ol>	4. Income	Prime Broker if provided (contact the executing bro		at a second of the second of t
<ol><li>Withholding</li></ol>	6. Special	3= Agent for person other than customer	4= Agent for both customer and another person	5= Principal for some executions and agent for other
		6≖ Acts as both principal and agent	7= Acts as principal, agent and agent for another person	8= Crossing as agent
	···	9= Crossing as principal		

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

1. TD Ameritrade Clearing, Inc., member of FINRAVSIPC acts as the classing agent.

1. Tits confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information.

trits continuation is a transaction earlies, not an invoice. Remittance of turds or securities is due on or foreign settlement date. Centain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.
You will report any errors immediately and/or notify us if not entirely in accordance with your understance. At transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern trensactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsip customer's agreement trensactions are subject in any be hypothecated under circumstances which will permit the comminging thereof with securities carried for the account of the other customers. Such comminging, if any, ceases upon payment by you for these securities in the net amount due.

- inses securities in the net amount due.
  The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remaineration will be furnished upon request, if not already displayed.
  If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed,
  If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you
- fable for resulting loss.

  Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Ahamative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital cast processing, and redemptions. TO Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions, it may include any of the following: a sales fee on certain set transactions (assessed at a rate consistent with Section 31 of the Securities Excharge Act of 1934), the Options Regulatory Fee (applicable to options transactions), and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FINRA'S by-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line them on your monthly statement.

  10. Misc. Fees will be explained upon request. For transactions involving certain Index Options. To Ameritrade may charge an Index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www. choe.com. Other Misc. Fees may include Prime Broket fees or Special Settlement fees, where applicable.

  11. If this transaction involves a debt security that is subject to call or redemption before maturity, the document of the results of the price of the securities are held in registered form. On asset backed debt securities, no periodic payment of riderest or principal are generally made. These securities may be callable, below maturity value, without notice by mail to bolder unless the securities are held in registered form. On asset backed debt securitie

- 12. The furn receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be
- 12. The firm receives compensation for directing irsted and over-ine-counter sequences order have to season market comment to execution. The source after sental unique risks, including but not limited to: use of feverage; aggressive and complex investment techniques; and use of derivatives, Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and seven direction. These products require equive monitoring and management, es frequently as daily. They are not suitable for all divestors.

  14. Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to self your position.

14. Bornas and/or noted-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position prior to maturity.

15. If you request the sale of a nonmarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is inversable and you will have no future claim to the seaunities and no claim against TD Ameritrade for any losses related to the seals.

16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsoced Enterprise Debt, or Federal Agency Securities, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB): www.farmcredit.fcb.com, Federal Home Loan Bank (FHLB): www.fitb-of.com, Federal Home Loan Mongage Corporation (Fredite Mac): www.farmcredit.fcb.com, Federal Mongage Association (Federal Home Loan Mongage Association (

17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors witing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may edversely affect the figuidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

#### **Confirmation Notice**

	05/06/2	022	05/10/20	022			0.0	00	484.00
AS OF TRADE DATE	TRADE	ATE	SETTLEMEN	T DATE	INT	EREST	COMMISS	SION/FEE	<b>NET AMOUNT</b>
YOU BOUGHT	400	59	9134N104	1.21		484	.00		0.00
ACTIVITY	QUANTITY	- cus	IP NUMBER	PRICE		PRINCIPAL	AMOUNT	MISC. FE	ES REG FEES
498-217333-1			425	12960496		Α	TD A	MERITRADE	
ACCOUNT NO.	OTHER I	NFO 🦪	TRANSAC	TION NUME	3ER	CAP CODI	ES: / / /	YOUR REI	PRESENTATIVE

META MATERIALS INC COM

**MMAT** 

THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.



## Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 52 of 144

# **Confirmation Notice**

Account 498-217333 Page 2 of 2

				-
ACCOUNT CO	DE: Type of Account		CAPACITY CODE:	
1, Cash	2. Margin Long	A= Agent C= Cross Trade P= Principal	R= Riskless Principal	
<ol><li>Margin Short</li></ol>	4. Income	Prime Broker if provided (contact the executing	proker for further detella)	
<ol><li>Withholding</li></ol>	6. Special	3= Agent for person other than customer	4= Agent for both customer and another person	5= Principal for some executions and agent for other
1			7= Acts as principal, agent and agent for another person	8= Crossing as agent
		9= Crossing as principal		
		6= Acts as both principal and agent 9= Crossing as principal	7= Acts as principal, agent and agent for another person	8= Crossing as agent

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

  1. TD Amerikade Clearing, Inc., member of FINRAVSIPC acts as the clearing agent.

  2. This confirmation is a transaction advice, not an invoice. Rentitance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information.
- This confirmation is a transaction advice, not an involce. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.
  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.
  At transactions are subject to the rules, requirements including margin requirements and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and the clearing rouse, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agreemy trades are unsolicited.
  The securities described are, or may be hypothecated under circumstances which will permit the comminging thereof with securities certified for the account of the other customers. Such comminging, if any, ceases upon payment by you for these securities in the net amount due.
- 5.

- The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not nitready displayed.

  If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.

  If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you
- 6. If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.

  9. Commission/Fee represents base commission and any options contract, exercise, and essignment fees. For Alternative Investments (AI), also called Not Standard Assets (NSA), Commission/Fee represents transactions in the processing, and redemptions. TD Ameritrade will charge e Reg Fee on certain sets the securities are typically based on fees assessed under various regulations applicable to transactions. It may include any of the following: a sales fee on certain set (transactions (assessed at a rate consistent with Section 1 of Schedule A of FINRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line lem on your monthly statement.

  10. Misc. Fees will be explained upon request. For transactions involving certain Index Options. TD Ameritrade may charge an Index Option Fee In accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www.cbos.com. Other Misc. Fees may include Prime Broker feeu or Special Softment fees, where applicable. If this finance is not provided and multiplier securities to principal are generally made. These securities may be callable, below maturity velue, without notice by mail to holder unless the securities are hold in registered form. On asset backed debt securities, justed as the securities are hold in registered form. On asset backed debt securities would be furnished to provided and provided to maturity may vary due to inflation edju

- 12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular tren
- 12. The first february scompensation for directing issued and over-ine-control structures order how to service unique to request the first february is control and in section for the particular structures of the particular structures over tonger periods will likely differ in amount and area direction. These products require earlier management, as frequently as drifty. They are not suitable for all investors.

  14. Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a fisted exchange. Rether, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to self your position experts the maturity.

- 15. If you request the sele of a nonmarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are desming the security worthless and TD Ameritrade thereby makes no representation reparting the present or future value of these securities. The transaction is irreversable and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the selection of the present or future value of these securities. The transaction is irreversable and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the selection of the present or future value of these securities, program disclosure document, information statement, etc.) related to Musticipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please value to the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB); www.farm.credit-flcb.com, Federal Home Loan Mortgage Corporation (Fraddie Mac); www.feddiemac.com, Federal Notigage Association (Fanole Mac); www.farmlemae.com, Government National Mortgage Association (Fanole Mac); www.farmlemae.com, Government National Mortgage Association; www.gmnlamea.gov, Municipal Bonds; www.emma.msrb.org. A copy of the Official Statement can be requested by calling 800-869-3900.
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of invastors witting to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the Equidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.



TD AMERITRADE, INC. PO BOX 2209 OMAHA NE 68103-2209

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

### **Confirmation Notice**



ACCOUNT NO.	OTHER II	NFO	TRANSAC	TION NUMBE	ER CAP C	ODES	YOUR REPRESENTATIVE			
498-217333-1			4302	29530765	А		TD AME	TD AMERITRADE		
ACTIVITY	QUANTITY	CUS	IP NUMBER	PRICE	PRINCI	PAL AM	OUNT	MISC. FEES	REG FEES	
YOU BOUGHT	1,970	59	9134N104	1.84	3	,624.80			0.00	
AS OF TRADE DATE	TRADE D	ATE	SETTLEMEN	T DATE	INTEREST	C	OMMISSIC	N/FEE	NET AMOUNT	
	06/01/20	)22	06/03/20	122			0.00		3,624.80	

META MATERIALS INC

MMAT

COM

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.



## Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 54 of 144

**Confirmation Notice** 

Account 498-217333 Page 2 of 2

					_
- [	ACCOUNT COD	E: Type of Account	,	CAPACITY CODE:	
- [	1. Cash	2. Margin Long	A= Agent C= Cross Trade P≠ Principal	R= Riskless Principal	
Į	<ol><li>Mergin Short</li></ol>	4. Income	Prime Broker if provided (contact the executing t	roker for further detaŝa)	
- [	<ol><li>Withholding</li></ol>	6. Special	3= Agent for person other than customer	4= Agent for both customer and another person	5= Principal for some executions and agent for other
- 1			6= Acts as both principal and agent	7= Acts as principal, agent and agent for another person	8= Crossing as agent
			9= Crossing as principal		· · · · · · · · · · · · · · · · · · ·

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

  1. TO Ameritade Clearing, Inc., member of FINRASIPC acts as the clearing agent.

  2. This confirmation is a transaction eduice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may very from the information at the time the trade was placed.
- at the time the trade was placed.

  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

  All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in seid market, and to all the terms of our general customer's agreements between you and us. Wisconstin customers' agency trades are unsolicited. The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities certified for the account of the other customers. Such commingling, if any, casess upon payment by you for these securities in the net amount due.

  The name of the other party or broker in the transaction, the date, the time of execution, source and emount of remuneration will be furnished upon request. If not already displayed.

  If by the party or broker in the transaction, the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you listed for resistion less.
- 5.

- liable for resulting loss.
- Idable for resulting loss.

  Commission/Fee represents base commission and any options contract, exercises, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital call processing, and redemptions. TO Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions, it may include any of the following: a sales fee on certain set it transactions (assessed at a rate consistent with Section 3 of the Securities Exchange Act of 1934), the Options Regulatory Fee (applicable to options transactions), and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FIRMA'S by-Laway), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the torsign markets or governments, which may be reflected in the price of the security or charged as an independent line item on your monthly statement.

  In Misc Fees will be explained upon requises. For transactions involving certain Index Options, TO Ameritrade may charge an Index Option Fee in accordance with the Chicago Board Options Exchange (CBCE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www.cbec.com. Other Misc. Fees may include Prime Broker fees or Special Settlement fees, where applicable.

  11. If this transaction involves a debt security that its subject to call or redemption before maturity, the debt security may be activated in whole or in pan before maturity which could affect its yeld; Additional information is available upon request. On zero coupons, titerest and multiplier securities, no periodic payment of inferest or principal are generally made. These securities may be callable, below maturity value, without notice by mail to h

- 12. The first receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be
- 12. The firm receives compensation for directing issted and over-me-counter securities, and operand over-me-counter securities and operand over-me-counter securities to the performance of a function of the performance of a period and inverse ETFs ental unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives, Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Both seek results over periods as short as a single day, Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require solive monitoring and management, as frequently as daily. They are not suitable for all investors.

  14. Bonds end/or fixed-income securibles trade differently than equity securities and do not trade on a kited exchange. Retther, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position.

- 14. Bonds end/or foxed-income securities trade differently than equity securities and do not trade on a issed exchange. History, they trade in the UTO (over the counter) marketiplace and inquality may not enways exist for you to sen your position prior to maturity.

  15. If you request the sale of a nontrianketable or worthless security. TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present of future value of these securities. The transaction is inverseable and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale.

  16. To access the Official Statement (e.g., prospectus, prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Delt, or Federal Agency Securities, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB): www.farmcredit-flot.com, Federal Home Loan Bank (FHLB): www.fith.of.com, Federal Home Loan Mortgage Association (Farnite Mae): www.farmcredit-flot.com, Federal Mortgage Association (Farnite Mae): www.farcidement.com, Federal Mortgage Association (Farnite Mae): www.farcidement.com, Federal Mor
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors witing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may edversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.



Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC. PO BOX 2209 OMAHA NE 68103-2209

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

# **Confirmation Notice**



ACCOUNT NO.	<u> </u>	THER INFO	0 TRA	NSACTION NU	MBER	CAP CODE	S .	YOUR REPRESENTATIVE				
498-217333-1				43928042849	ı	A	TD AME	RITRADE				
ACTIVITY	QUANT	/ITY	CUSIP NUMBI	BER PRIC	CE	PRINCIPAL	AMOUNT	MISC. I	EES REG FEES			
YOU BOUGHT	1,030				99	999.	00		0.00			
AS OF TRADE DAT	TP	RADE DAT	E SETTL	EMENT DATE	IN	TEREST	COMMISSIO	N/FEE	NET AMOUNT			
	С	07/15/2022	2 07	7/19/2022			0.00	-	999.00			
TRADE DESCRIPTIO	'n				SYMBO	OL"	(Total Parks)					
META MATERIALS IN	AIC.				NARAAT				A STATE OF THE STA			

META MATERIALS INC

MMAT

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 56 of 144

Confirmation Notice Account 498-217333 Page 2 of 2

			<u> </u>
ACCOUNT CO	DE: Type of Account		CAPACITY CODE:
1. Cash	2. Margin Long	A= Agent C= Cross Trade P= Principal R= Riskless Princip	
<ol><li>Margin Short</li></ol>	4. income	Prime Broker if provided (contact the executing broker for further details)	)
5, Withholding	6. Special		n customer and another person 5= Principal for some executions and agent for other
}		6= Acts as both principal and agent 7= Acts as principal	pal, agent and agent for another person 8= Crossing as agent
		9= Crossing as principal	
<b>5</b>			

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:
  1. TD Ameritade Clearing, Inc., member of FinRA/SIPC acts as the clearing agent.
  2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information.
- at the time the trade was placed.

  3. You will report eny errors immediately and/or notify us if not entirely in accordance with your understanding.

  4. All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, end of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customer's egency trades are unsolicited.

  The securities are the production of the other customers. Such commingling, if any, ceases upon payment by you for these securities in the net amount due.

- The name of the other party or troker in the transaction, the date, the time of execution, source and emount of remaineration will be furnished upon request, if not attready displayed.

  If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.

  If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.

  If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.

  If this transaction is a sale and the securities are not already in our possession and do not represent a short sale.
- 8. If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.

  9. Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Attemetive Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transactions tee applicable to purchases, capital call processing, and redemplains. TD Ameritrade will charge a Reg Fee on certain sets caused to cartain transactions. It may include any of the following: a sales fee on certain set transactions (assessed at a rate consistent with Section 1 of Schedule A of FINRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and free charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line learn on your monthly statement.

  10. Misc. Fees will be explained upon request. For transactions involving certain Index Options. TD Ameritade may charge an Index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www.cbos.com. Other Misc. Fees may include Prime Broker fees or Special Settlement fees, where applicable upon request. On zero coupons, interest and multiplier securities in evaluation depending on prepayment, specific information is available upon request. For inflation indexed securities, yields are subject to fluctuation depending on prepayment, specific information is available upon request. For inflation indexed securities, yield to maturity may vary due to inflation edjustments.

- edjustments.

  12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be
- furnished upon request

  3. Laveraged and inverse ETFs entail unique tisks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver the opposite of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over tonger periods will likely differ in amount and even direction. These products require ecuive monitoring and management, as frequently as daily. They are not suitable for all investors.

  14. Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rether, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position

- 14. Bonds and/or foad-income securities trade differently than equity securities and out an usua or a nature of a natural natu
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of invastors witing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the tiquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.



Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674



#### **Confirmation Notice**

ACCOUNT NO.		OTHER II	NFO	TRANSAC	TION NUI	MBER	CAP COL	DES	YOUR REPRESENTATIVE		
498-217333-1				5142	1987167		Α		TD AMER	RITRADE	
ACTIVITY	QI	UANTITY	CUS	IP NUMBER	PRIC	CE	PRINCIPA	L AMO	UNT	MISC. FEES	REG FEES
YOU BOUGHT		1,600		9134N104	.2159		345.44				0.00
AS OF TRADE DAT	ΓΕ	TRADE D	ATE	SETTLEMEN	T DATE	INT	EREST	СО	MMISSIO	V/FEE	NET AMOUNT
		07/20/20	23	07/24/20	23				0.00		345.44
TRADE DESCRIPTION	N					SYMBO	L		5. 24		
META MATERIALS II	VIC.					LANALT					

META MATERIALS INC COM

MMAT

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

ACCOUNT NO.	100	OTHER I	VFO	TRANSAC	TION NUI	MBER	CAP COL	ES		YOUR RE	PRES	ENTATIVE
498-217333-1	498-217333-1			5142	8016651		A		TD AMERITRAD			
ACTIVITY		JANTITY	cus	ISIP NUMBER PRI		CE"	PRINCIPA	L AMOUNT		MISC. FEES		REG FEES
YOU BOUGHT		3,400		9134N104	.2153		732.0					0.00
AS OF TRADE DAT	ΓE	TRADE D	ATE	SETTLEMEN	DATE	IN	TEREST	C	OMMISSI	ON/FEE		NET AMOUNT
		07/20/20	23	07/24/20	23				0.00			732.02
TRADE DESCRIPTION	N				¥	SYMBO	DL	1 3 A				
META MATERIALS II	VC					MMAT						

COM

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.



# Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 58 of 144

Confirmation Notice Account 498-217333

Page 2 of 2

ACCOUNT CODE: Type of Account
Cash 2. Margin Long CAPACITY CODE: A≃ Agent C= Cross Trade P= Principal R= Riskless Principal A Agent C 1008 11806 P2 Pracipal R Indices Pin Prime Broker if provided (contact the executing broker for further detail 3= Agent for person other than customer 4= Agent for b 6= Acts as both principal and agent 7= Acts as prin Mergin Short
 Withholding 4= Agent for both customer and enother person 7= Acts as principal, agent and agent for enother person 5= Principal for some executions and agent for other 8= Crossing as agent 9= Crossing as principal

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

  1. TD Ameritrade Clearing, Inc., member of FINRA/SIPC acts as the clearing agent.

  2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information
- at the time the trade was placed.

  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

  All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if eny) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' egency trades are unsolicited. The securities in the net amount due.

- The name of the other party of troker in the transection, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not attendy displayed.

  If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.

  If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.
  Commission/Fee repres
- lable for resulting loss.

  Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction tees applicable to purchases, capital cell processing, and redemptions. TD Ameritade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions. It may include any of the following: a sales fee on certain self transactions (assessed at a rate consistent with Section 1 of Schedule A of FINRA'S By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include axes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an indopendent line item on your monthly statement.

  Of Misc, Fees will be explained upon request. For transactions involving certain Index Options. TD Ameritade may character and in the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www. clos.com. Other Misc. Fees may include Prime Broker fees or Special Settlement fees, where applicable, in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www. clos.com. Other Misc. Fees may include Prime Broker fees or Special Settlement fees, where applicable, in the Misc. Fees in the Misc. Fees may include Prime Broker fees or Special Settlement fees, where applicable, in the Misc. Fees may include Prime Broker fees or Special Settlement fees, where applicable, in the Misc. Fees may include Prime Broker fees or Special Settlement fees, where applicable, in the Misc. Fees may include Prime Broker fees or Special Settlement fees, where applicable, upon request. On zero coupons, interest and multiplier securities, no periodi

- 12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular h furnished upon request
- turnished upon request

  1. Leveraged and inverse ETFs entail unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivetives. Leveraged ETFs seak to deliver multiples of the performance of a benchmark. However, the complex investment is a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require ective monitoring and management, as frequently as daily. They are not suitable for all investors.

  14. Bonds and/for fixed-knoome securibles trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to self your position enfor to maturity.

- 14. Bonds and/or tread-troome securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position prior to maturity.

  15. If you request the sale of a nonmarketable or worthless security. TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is inversable and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale.

  15. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB): www.famcredit.fcb.com, Federal Home Loan Mortgage Corporation (Freddic Mac): www.farddiemac.com, Federal National Mortgage Association (Fannie Mae): www.farniemae.com, Government National Mortgage Association (Fannie Mae): www.farniemae.com, Government National Mortgage Association (Fannie Mae): www.farddiemac.com, Government National Mortgage Association (Fannie Mae): www.farniemae.com, Government Nati
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors witing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the fiquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC. PO BOX 2209 OMAHA NE 68103-2209

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

# Confirmation Notice

	100.000	BER CA	AP CODES		YOUR REPRES	) EN I A I I V E	
	4544	16392867		Α	TD AME	RITRADE	
ANTITY CUS	IP NUMBER	PRIC	E PRI	NCIPAL A	MOUNT	MISC. FEES	REG FEES
529 59	9134N104	.665	1	351.84	4		0.00
TRADE DATE	SETTLEMEN	T DATE	INTERES	π	COMMISSIC	)N/FEE	NET AMOUNT
09/30/2022	10/04/20	)22			0.00		351.84
Ę	529 59	CUSIP NUMBER 529 59134N104 TRADE DATE SETTLEMEN	529         59134N104         .665           TRADE DATE         SETTLEMENT DATE           09/30/2022         10/04/2022	ANTITY CUSIP NUMBER PRICE PRII  529 59134N104 .6651  TRADE DATE SETTLEMENT DATE INTERES  09/30/2022 10/04/2022	ANTITY CUSIP NUMBER PRICE PRINCIPAL A  529 59134N104 .6651 351.84  TRADE DATE SETTLEMENT DATE INTEREST  09/30/2022 10/04/2022	ANTITY CUSIP NUMBER PRICE PRINCIPAL AMOUNT 529 59134N104 .6651 351.84  TRADE DATE SETTLEMENT DATE INTEREST COMMISSION	NATITY

META MATERIALS INC

**MMAT** 

COM

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 60 of 144

**Confirmation Notice** Account 498-217333

Page 2 of 2

ACCOUNT CODE: Type of Account
Cash 2. Margin Long CAPACITY CODE P= Principal R= Riskless Principa C= Cross Trade Cash
 Margin Short
 Withholding A= Agont for person other than customer

8= Agont for person other than customer

8= Agont for person other than customer

8= Agont for both customer and another person

8= Acts as both principal and agent

7= Acts as principal, egent and agent for another person 4. Income 5= Principal for some executions and agent for other 8= Crossing as agent 9= Crossing as principal

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:
   1. TID Ameritrade Clearing, Inc., member of FINRA/SIPC acts as the clearing agent.
   This confirmation is a transaction edvice, not an invoice. Remittance of funds or securities is due on at the time the trade was placed. files is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information
- at the time the trade was placed.

  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

  All transactions are eutiqued to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconstin customers's agreements between you and us. Wisconstin customers agreements between you and us. Wisconstin customers spency trades are unsolicited, the securities descrabed are, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the account of the other customers. Such commingling, if any, cases upon payment by you for these securities in the ret amount due.

  The nature of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not drivedy disciplany.

  If this transaction is a side and the securities are not already in our possession and do not represent a short safe, please forward them immediately to avoid possible payment of premium on securities covered hereby and hold you liable for resulting toss. 5.

- Idable for resulting loss.

  Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Attemptive Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transactions less applicable to purchases, capital call processing, and redemptions. TD Ameritade will charge eries for exercise, and assignment fees. For Attemptive Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transactions less applicable to purchases, capital call processing, and redemptions. TD Ameritade will charge fee on certain set transactions applicable to the following of a standard applicable to transactions applicable to transactions applicable to transactions in the following as a standard applicable to transactions applicable to transactions applicable to transactions in the following as a standard applicable to transactions in the following of the following applicable to transactions in olving certain index Options, TO Ameritade may charge an index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. tee schedule. This tee will be reflected in the Miss. Fees they A copy of the CBOE Options Exchange Fee Schedule can also be found on www.cbos.com. Other Miss. Fees may include Prime Broker fees or Special Settlement fees, where applicable. It this fransaction involves a debt security that is subject to call or redomption before maturity, the dot or a part telefore maturity which could affect its yield. Additional

- adjustments.

  The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be furnished upon request.

  Leveraged and inverse ETFs entail unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require active monitoring and management, as frequently as daily. They are not suitable for all investors.

  He Bonds and/or fixed-income securibes trade differently than equity securities and do not trade on a listed exchange. Rether, they trade in the OTC (over the counter) matketplace and liquidity may not atways exist for you to sell your position

- 14. Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a sased exchange. Histher, they trade in the UTO (over the counter) marketplace and inquory may not always exist for you to set your postuon prior to makinfy.

  15. If you request the sale of a noninarketable or worthless security. TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present of future value of these securities. The transaction is inversable and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale.

  16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Delt), or Federal Agency Securities, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB); www.farmcredit-flob.com, Federal Home Loan Bank (FHLB); www.fhib-of.com, Federal Home Loan Mortgage Corporation (Freddie Mac); www.freddiemac.com, Pederal National Mortgage Association (Farnie Mae); www.farniemae.gov, Municipal Bonds; www.emma.msrb.org. A copy of the Official Statement can be requested by calling stones as a contraction of the contraction of the official Statement can be requested by calling stones.
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors witing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the figuidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.



Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674



#### **Confirmation Notice**

ACCOUNT NO.		OTHER IN	NFO	TRANSAC	TION NUI	лвеr	CAP CODE	s	YOUR REPRESENTATIVE			
498-217333-1				464	48738210		Α	TD /	AMERITRADE		<u> </u>	
ACTIVITY	Q	NUANTITY CÚS		SIP NUMBER PRI		Æ	PRINCIPAL	AMOUNT	MISC. FE	ES	REG FEES	
YOU BOUGHT		81	51	9134N104	1.6299		132.	02			0.00	
AS OF TRADE DA	ιTE	TRADE D	ATE	SETTLÉMEN	IT DATE	IN	TEREST	COMMIS	SSION/FEE	١	NET AMOUNT	
		11/18/20	)22	11/22/20	022			C	0.00		132.02	
TRADE DESCRIPTION	ON					SYMBO	OL.			1000		
META MATERIALS I	INC					TANAAT						

META MATERIALS INC COM

MMAT

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.



### Case <u>24-5079</u>2-hlb Doc 628 Entered 12/12/24 14:58:59 Page 62 of 144

#### Confirmation Notice Account 498-217333

Page 2 of 2

ACCOUNT CODE: Type of Account

1. Cash 2. Margin Long
3. Margin Short 4. Income
5. Wäthholding 6. Special CAPACITY CODE: A= Agent C= Cross Trade P= Principal R= Riskless Principal
Prime Broker if provided (contact the executing broker for further details)
3- Agent for both customer and enother person Mergin Short
 Withholding 5= Principal for some executions and agent for other 8= Crossing as agent 7= Acts as principal, agent and agent for another person 6= Acts as both principal and agent 9= Crossing as principal

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

- 1. This confirmation is a transaction edvice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information.
- This confirmation is a transaction advice, not an invoice. Remiliance of funds or securities is due on or before settlement date. Cartain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.

  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

  All transactions are subject to the rules, regulations, requisiments (including margin equationnes) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if an where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agreements between you and us. Wisconsin customers agreement between you and us. Wisconsin customers agreement between your and us. ning house, if any)

- The name of the other party of broker in the transaction, the date, the time of execution, source and amount of remmeration will be furnished upon request, if not afreedy displayed.

  If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.

  If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you lightly for receive the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you
- 9. If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.
  9. Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital cell processing, and redemptions. TD Ameritrade will charge a Reg Fee on certain sections. It may include any of the following: a sales fee on certain set Identifications. These charges are typically based on fees assessed under various regulations applicable to enter a rate consistent with Section 1 of Schedule A of FINRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and free charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item on your monthly statement.
  10. Misc. Fees will be explained upon request. For transactions involving certain index Options. TD Ameritrade may charge en Index Option Fee In accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www.cbos.com. Other Misc. Fees may include Prime Broket fees or Special Settlement fees, where applicable.
  1. If this transaction involves a dobt security that is subject to call or redemption before maturity, whe called or referenced in whole or in part before maturity, which could affect its yield. Additional information is available upon request. On zero coupons, interest and multiplier securities, po periodic payment of interest or principal are generally made. These securities may be cal
- In registered form. On asset backed deal securines, yields are subject to indicate on representative adjustments.

  12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular trans
- turnished upon request

  15. Leveraged and knyerse ETFs entail unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even discolor. These products require active monitoring and management, as frequently as deliy. They are not suitable for all evestors.

  14. Bonds and/or freed-income securibles trade differently then equity securities and do not trade on a listed exchange. Rether, they trade in the OTC (over the counter) manketplace and liquidity may not always exist for you to self your position

- Bonds and/or frood-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position prior to makinty.
   If you request the sale of a nonmarketable or worthless security. TD Ameritrade will be the buyer in the transaction in the event a market comnot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is inversable and you will have no further claim to the security against TD Ameritrade for any losses related to the sale.
   To access the Official Statement (e.g., prospectus, prospectu
- scut-des-secut.
  The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investore witting to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may edversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

# **Confirmation Notice**

ACCOUNT NO.	OTHER	INFO	TRANSAC	TION NUM	/IBER	CAP CODE	S	YOUR REPRE	SENTATIVE
498-217333-1			4648	37805599		Α	TD AM	ERITRADE	
ACTIVITY	QUANTITY	CUS	IP NUMBER	PRIC	Œ	PRINCIPAL	AMOUNT	MISC. FEES	REG FEES
YOU BOUGHT	1,000	1,000 59		2.0	1	2,010	.00	0	0.00
AS OF TRADE DATE	TRADE	DATE	SETTLEMEN	T DATE	INTE	REST	COMMISSI	ON/FEE	NET AMOUNT
	11/22/	2022	11/25/20	)22			0.00	1	2,010.00

META MATERIALS INC COM

**MMAT** 

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.



### Case <u>24-5079</u>2-hlb Doc 628 Entered 12/12/24 14:58:59 Page 64 of 144

Confirmation Notice Account 498-217333 Page 2 of 2

ACCOUNT CODE: Type of Account

1. Cash 2. Margin Long
3. Margin Short 4. Income
5. Withholding 6. Special CAPACITY CODE: A= Agent C= Cross Trade P= Principal R= Riskless Principal
Prime Broker if provided (contact the executing broker for further details)
3= Agent for person other than customer
4= Agent for both customer and another person 5= Principal for some executions and agent for other 6= Acts as both principal and agent 8= Crossing as agent 7= Acts as principal, agent and agent for another person 9= Crossing as principal

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

- 1. TD Americade Greating, Inc., member of FINRA/SIPC acts as the clearing agent.
  2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information.
- This commination is a transaction advice, not an invoice. Remilliance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.
  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.
  All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern trensactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' against treatment of the securities are customer and the customers. Such commingting, if any, ceases upon payment by you for these accurities in the net amount due.

- The name of the other party or troker in the transection, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not present in the transection, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not present in the transection is a sake and the securities are not already in our possession end do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed. If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to soil the securities covered hereby and hold you liable for resulting loss.
- 8. If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to soil the securities covered hereby and hold you liable for resulting loss.

  9. Commission/Fee represents base commission and any options contract, exercise, and exeignment fees. For Attemetive Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transactions fees applicable to purchases, capital call processing, and redemptions. TD Ameritrade will charge a Reg Fee or no certain sets it transactions are typically based on fees assessed under various regulations applicable to transactions. It may include any of the following: a sales fee on certain set it transactions at rate consistent with Section 1 of Schedule A of FINRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets of governments, which may be reflected in the prior of the security or charged as an independent line item on your monthly statement.

  10. Misc. Fees will be explained upon request. For transactions involving certain index Options, TD Ameritade may charge an index Option Fee in accordance with the Chicago Board Options Exchange (CBDE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBDE Options Exchange Fee Schedule can also be found on www.cbos.com. Other Mac. Fees may include Prime Broker fees or Special Settlement fees, where applicable.

  1 If this transaction involves a debt security that is subject to call or redemption before maturity, whe called or redement of which could affect its yield. Additional Information is available upon request. On zero coupons, interest and multiplier securities, yields are subject to fluctuation depending on prepayment, specific informalloin is available upon request. For inflation indexed securities, yi

- edjustments.

  12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular trail
- turished upon request

  13. Leveraged and inverse ETFs entail unique tisks, including but not limited to; use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver more the performance of a benchmark, inverse ETFs seek to deliver the opposite of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer puriods will likely differ in amount and even direction. These products require active monitoring and management, es frequently as deily. They are not suitable for all revestors.

  14. Bonds and/or fixed-income securibles trade differently then equity securities and do not trade on a listed exchange. Rether, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position

- 14. Bonds and/or fixed-throome securities trade differently then equity securities and do not trade on a fisted exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position prior to make it is not a sale, you are deeming the security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present of rituine value of these securities. The transaction is invervatible and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to this sale.

  15. To access the Official Stotement (e.g., prospectus, prosp
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of invastors witing to purchase the security end, therefore, adversely inject the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may edversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

TD AMERITRADE, INC. PO BOX 2209 OMAHA NE 68103-2209

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

## **Confirmation Notice**



ACCOUNT NO.		OTHER IN	NFO	TRANSAC	TION NUME	3ER	CAP CODES	3	YOUR REP	RESENTATIVE
498-217333-1				467€	69253120		А	TD AME	ERITRADE	
ACTIVITY	Ql	QUANTITY CUS		JSIP NUMBER PRICE			PRINCIPAL A	AMOUNT	MISC. FEE	S REGFEES
YOU BOUGHT		1,717		9134N104	2.30		3,949.	.10		0.00
AS OF TRADE DAT	Æ	TRADE DATE		SETTLEMEN	T DATE	" INT	EREST	COMMISSIO	ON/FEE	NET AMOUNT
		12/07/20	)22	12/09/20	)22			0.00	)	3,949.10
TRADE DESCRIPTION	N		\ isos			SYMBO	L Z	Y97 Y98 * #1		
META MATERIAL CIN	NO.					111 4 A T				

META MATERIALS INC

MMAT

COM

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.



# **Confirmation Notice**

Account 498-217333 Page 2 of 2

ACCOUNT CODE: Type of Account

1. Cash

2. Marris 1. CAPACITY CODE: 2. Margin Long 4. Income C= Cross Trade P= Principal R= Riskless Principal Casn
 Margin Short
 Withholding A= Agent C= Cross 11ade P= Principal R= Risktess Principal Prime Broker in provided (contact the exacuting broker for further details) 3= Agent for person other than customer 4= Agent for both 6= Acts as both principal and agent 7= Acts as princip 4= Agent for both customer and another person 7= Acts as principal, agent and agent for another person 5= Principal for some executions and agent for other 8= Crossing as agent 9= Crossing as principal

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

1. TD Amaritrade Clearing, Inc., member of FINRA/SIPC acts as the clearing agent.

2. This confirmation is a transaction advice, not an invoice. Remilliance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may very from the information

at the time the trade was placed.

You will report any errors immediately and/or notify us if not entirely in accordance with your understending.

All transactions are subject to the rules, requisitions, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers's opency trades are unsolicited. The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the extension of the other party or troker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not prevail displayed.

If this transaction is a sale and the securities are not already in our possession and do not represent a short sele, please forward them immediately to avoid possible payment of premium on securities borrowed.

If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resultino loss.

- If payment is not made on or prior to the date required by Regulation T of the Fodoral Reserve Board, we reserve the right without turther notice, to cancer this transaction, or an our opport, 
- 12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular trans
- 12. The fifth receives compensation as unaccurg setted and continue-continue setted and continues and upon request the standard upon request the sta

- Bonds and/or forcet-income securities trade differently than equity securities and do not trade on a kated exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position prior to maken?
   If you request the sale of a nonmarketable or worthless security. TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation reparting the present or future value of these securities. The translation is inverselable and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the selle.
   To access the Official Stotement (e.g., prospectus, prosp
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of invastors witing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.



Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC. PO BOX 2209 OMAHA NE 68103-2209

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

#### **Confirmation Notice**



498-217333-1	1			BER .	CAP CODES	YOUR REPRESENTATIVE			
		468	325150437		A	TD AME	RITRADE		
ACTIVITY Q	QUANTITY C	CUSIP NUMBER	PRICE		PRINCIPAL	AMOUNT	MISC. FEES	REG FEES	
YOU SOLD	13,727	59134N104	1.4601		20,042	:.79		2.24	
AS OF TRADE DATE	TRADE DATE	SETTLEMEN	ETTLEMENT DATE		REST	COMMISSIO	in/FEE	NET AMOUNT	
	12/09/2022	12/13/20	:022		i ·	0.00		20,040.55	
TRADE DESCRIPTION			7 5 7 7 7 7 7 7 7 7	SYMBOL	Cu .				

META MATERIALS INC

MMAT

COM

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

4. Inco

Cash

Mergin Short
 Withholding

## Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 68 of 144

# **Confirmation Notice**

Account 498-217333

Page 2 of 2 ACCOUNT CODE: Type of Account
Cash 2, Margin Long CAPACITY CODE: A= Agent C= Cross Trade P= Principal R= Riskless Principal Prime Broker if provided (contact the executing broker for further details).
3= Agent for person other than customer 4= Agent for both for Acts as both principal and agent 7= Acts es principal. Ra Riekless Princips 4= Agent for both customer and another person 5= Principal for some executions and agent for other 8= Crossing as agent

7= Acts as principal, agent and agent for another person

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

  1. TD Ameritrade Clearing, inc., member of FINRA/SIPC acts as the clearing agent.

  2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information. at the time the trade was placed.

9= Crossing as principal

- at the time the trade was placed.

  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

  All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any where executed, and of any association whose rules and regulations govern (rensactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agency tredes ere unsolicited. The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the account of the other pany or troker in the transaction. The date, the time of execution, source and emount of remomentation will be furnished upon request, if not already displayed, if this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities beforewed, if payment is not anade on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.

- lable for resulting loss.

  1. Commission Fee appresents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital cell processing, and redemptions. TD Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions. It may include any of the following: a sales fee on certain self transactions. It may include any of the following: a sales fee on certain self transactions. It may include any of the following: a sales fee on certain self transactions. It may include any of the following: a sales fee on certain self transactions in the sales are sales (SSA), the Options Regulatory Fee (applicable to options transactions), and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FIRMA'S SyL-away, among other charges. Transactions in foreign associations foreign resolution foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent fine item on your monthly statement.

  10. Misc, Fees will be explicated upon request. For transactions invoking certain Index Options. TD Ameritrade may charge an Index Option Fee in accordance with the Chicage Board Options Exchange (G8OE) Global Morites. Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the C8OE Options Exchange Fee Schedule can also be found on www.cbos.com, Other Misc. Fees may include Prime Broker fees or Special Sediment fees, where applicable. It if this fransaction invokinges a debt security that is subject to call or redemption before maturity, the december of which could affect its yield. Additional information is available upon request. For including sequrities, yields are subject to fluctuation dependin

- adjustments.

  12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular trans
- 12. The firm receives compensation for directing listed and over-the-counter securities, and opnons order now to selected manker contents to execution. The score and native to payment the securities of the performance of a fundament to the performance of a perf

- Bonds and/or food-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position prior to maturity.

  If you request the sale of a nonministerable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deaming the security worthless and TD Ameritrade thereby makes no representation regarding the present of ulture value of these securities. The transaction is irreversible and you will have no further claim to the securities and no claim against TD Ameritrade for early losses related to the sale.

  To access the Official Statement (e.g., prospectus, program disclosure obcurrent, information statement Sponsored Enterprise Debt, or Federal Apend Securities, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCS); www.farmcredit.fdc.com. Federal Home Loan Bank (FHLB); www.fitb-of.com. Federal Home Loan Mortgage Corporation (Fraddie Mac); www.freddiemac.com, Federal Bonds; www.freddiem
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of invastors witing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the tiquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

#### **Confirmation Notice**

ACCOUNT NO.	. 1	OTHER I	NFO	TRANSAC	TION NUI	<b>MBER</b>	CAP CO	DES	YOUR REPRESENTATIVE			
498-217333-1				5142	1987167		. А		TD AMERITRAD			
ACTIVITY		UANTITY CUS		SIP NUMBER PRIC		CE PRINCIPAL		AL AMO	LAMOUNT MISC.		REG FEES	
YOU BOUGHT		1,600 59		9134N104 .215		59 345		45.44			0.00	
AS OF TRADE DA	ГЕ ,	TRADE D	ATE	SETTLEMEN	T DATE	IN	EREST	* cc	MMISSION/FEE		NET AMOUNT	
		07/20/2	023	07/24/20	23				0.00		345.44	
TRADE DESCRIPTION	)N				* 79	SYMBO	)Ľ					
META MATERIALS II	NC			-	-	MMAT						

COM

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

ACCOUNT NO.		OTHER I	√FO	TRANSACT	TIÓN NÚI	MBER	CAP CODE	ES	YOUR REPRESENTATIVE				
498-217333-1				5142	8016651		А	T	) AMERIT	RADE			
ACTIVITY	QUA	<b>ITITY</b>	cus	IP NUMBER	PRIC	CE	PRINCIPAL	AMOUN	IT M	ISC. FEES	REG FEES		
YOU BOUGHT	3,4	100	59	9134N104	.21	53	732	.02			0.00		
AS OF TRADE DAT	ΓE 1	TRADE DATE		TE SETTLEMENT		IN	TEREST	COMM	COMMISSION/FEE		NET AMOUNT		
,		07/20/20	23	07/24/20:	23				0.00		732.02		
TRADE DESCRIPTIO	N					SYMBO	)L	ar T					
META MATERIAL OU													

META MATERIALS INC

**MMAT** 

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

**Confirmation Notice** 

Account 498-217333

Page 2 of 2

ACCOUNT CODE: Type of Account CAPACITY CODE: Marain Long A= Agent C= Cross Trade P= Principal Ra Bloklose Deinefral Mergin Short
 Withholding A Agent Co-Cross trace (** Principal ** Insures - rinages

3= Agent for ported contect the executing broker for further details)

3= Agent for both customer and enother person

5= Acts as both principal and agent (** Acts as principal, agent and agent for another person 4. Incom 5= Principal for some executions and agent for other 8= Crossing as agent 9= Crossing as principal

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

  1. TD Ameritrade Clearing, Inc., member of FINRA/SIPC acts as the clearing agent.

  1. This confirmation is a transaction edvice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information.
- This confirmation is a transaction eductor, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is callected at the time the confirmation is generated and may vary from the information at the time the trade was placed.

  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

  All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern (transactions in set) market, and to ell the terms of our general customers' agreements between you and us. Wisconsin customers' agreements b

- 8. If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve that right without nature mounts, or cancer to the control of the date required by Regulation T of the Federal Reserve Board, we reserve that right is a process of the Commission Tree represents base commission and any options contract, exercise, and assignment fees. For Atemative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transactions fees applicable to principles and the process of the contract of the Commission of the Commiss

- a.

  ceives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular trensaction will be
- The tim receives compensation to directing listed and over-time-counting sequences, and opposite crists and a complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiplies of the performance of a benchmark. Inverse ETFs each to deliver the opposite of the performance of a benchmark. Inverse ETFs each to deliver the opposite of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will keep differ in amount and even direction. These products require active monitoring and management, as frequently as daily. They are not suitable for all investors.

  Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a kisted exchange. Rether, they trade in the OTC (over the counter) marketplace and ilquidity may not always exist for you to sail your position.

- 14. Bonds and/or forcet income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and iquidity may not always exist for you to sell your position prior to maturity.

  15. If you request the safe of a nonmarketable or worthless security. TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is inversable and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale.

  16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) retained to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please visit the applicable website and onler the CUSIP: Federal Farm Credit Bank (FFCB): www.famcredit-fcb.com, Federal Home Loan Bank (FFLB): www.fitb-of.com, Federal Home Loan Mortgage Corporation (Freddie Mac): www.feddiemac.com, Federal National Mortgage Association (Fendle Mac): www.fendiemac.com, Government National Mortgage Association: www.gmntames.gov, Municipal Bonds; www.emma.msrb.org. A copy of the Official Statement can be requisited by calling 80-669-3900.
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of invastors witing to purchase the security end, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

TD AMERITRADE, INC. PO BOX 2209 OMAHA NE 68103-2209

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

# **Confirmation Notice**



ACCOUNT NO.	OTHER I	INFO	TRANSACTION NUMBER		CAP CODE	s	YOUR REPRESENTATIVE		
498-217333-1			53873468565			Α	TD AMI	TD AMERITRADE	
ACTIVITY	QUANTITY	CUSIP	PNUMBER	PRIC	;E .	PRINCIPAL	AMOUNT	MISC. FE	ES REG FEES
YOU SOLD	5,000	591	134N104	.212	2	1,060	0.00		0.74
AS OF TRADE DATE	E TRADE	DATE	SETTLEMEN	T DATE	INT	TEREST	COMMISSI	ON/FEE	NET AMOUNT
	07/26/2	.023	07/28/2023				0.00		1,059.26
TRADE DESCRIPTION	N	1.14		¥. )	SYMBO	)L		2 2	The state of the s
META MATERIAL CUM					1414AT				

META MATERIALS INC

MMAT

COM

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.



**Confirmation Notice** Account 498-217333 Page 2 of 2

	E: Type of Account		CAPACITY CODE:	
Cesh     Mergin Short     Witholding	2. Margin Long 4. Income 6. Special	A= Agent C= Cross Trade P= Principal Prime Broker if provided (contact the executing b 3= Agent for person other than customer 6= Acts as both principal and agent 9= Crossing as principal	R= Risklest Principal rocker for further details) 4= Agent for both customer and another person 7= Acts as principal, agent and agent for another person	5= Principal for some executions and agent for other 8= Crossing as agent
l				

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

  1. TO Ameritrade Clearing, Inc., member of FINRAVSIPC acts as the clearing agent.

  2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.

  3. You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

  4. All transactions are subject to the rudget of the rudget, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customer's agreement between you and us. Wisconsin customers' agency trades are unsolicited.

  5. The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the account of the other customers. Such commingling, if any, casses upon payment by you for these securities in the net amount due.

- tness securities in the net amount oute.

  The name of the other party of blocks in the transection, the date, the time of execution, source and emount of remaineration will be furnished upon request, if not atteady displayed.

  If this trensaction is a sale and the securities are not already in our possession end do not represent a short sale, please forward them immediately to evoid possible payment of premium on securities borrowed.

  If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you
- liable for resulting loss.

  9. Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transactions. It may include any of the following: a sales fee or certain self transactions essensed at a rate consistent with Section 31 of the Securities Exchange Act of 1934), the Options Regulatory Fee (applicable to patient transactions), and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FIRMA'S By-Laws), among other charges. Transactions in foreign securities (including foreign company ADIS that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item on your monthly statement.

  10. Misc. Fees will be explained upon request. For transactions dividing certain (notes, Options, TD Algorithm Company) and the following certain index Options. To Algorithm Company ADIS that trade in the U.S.) may include taxes and fees checked. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www. close.com. Other Misc. Fees may include Prime Broker fees or Special Settlement fees, where applicable.

  1. If this (market) in the Misc. Fees field, A copy of the CBOE Options Exchange Fee Schedule can also be found on www. close.com. Other Misc. Fees may include Prime Broker fees or Special Settlement fees, where applicable.

  1. If this fransaction involves a debt Security that is subject to call or redemption before maturity, the devil price of the security of the subject to could or redemption before maturity, the devil price of the securities and the price of the price of the price of the subject to fluctuation depending on prepayment, specifi

- in registered form, or asset particular provided and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be
- 12. The firm receives compensation for directing tisted and over-tire-counter sequence, and operations and complex investment techniques; and use of derivatives. Leveraged ETF9 seek to deliver multiples of the performance of a benchmark, inverse ETFs seek to deliver the opposite of the performance of a benchmark, inverse ETFs seek to deliver the opposite of the performance of a benchmark, inverse ETFs seek to deliver the opposite of the performance of a benchmark, inverse ETFs seek to deliver the opposite of the performance of a benchmark, inverse ETFs seek to deliver the opposite of the performance of a benchmark, inverse ETFs seek to deliver the opposite of the performance of a benchmark, inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver multiples of the performance of a benchmark investors. Investors a simple degree of the performance of a benchmark investors.

  14. Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a kisted exchange. Rather, they trade in the OTC (over the counter) marketipes and liquidity may not always exist for you to self-your performance of a benchmark investors.

- to to maturity.

  Our request the sale of a nonmarkstable or worthless security. TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby over request the sale of a nonmarkstable or worthless securities. The transaction is ineversible and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale, or access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please visit the applicable ebste and enter the CUSIF: Federal Farm Credit Bank (FFCB); www.farmerotil-fito.com. Federal House Loan Bank (FFLB); www.farthlement Montgage Corporation (Ferdeld Mac); www.farmerotil-fito.com. Federal House Loan Bank (FFLB); www.farthlement.com. Federal House Loan Bank (FFLB);
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors witing to purchase the security end, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may edversely affect the significant the significant that can be combined to reach the minimum denomination.

PO BOX 2577 - OMAHA NE 68103-2577 Please do not send deposits or correspondence to this address, TD AMERITRADE, INC. PO BOX 2209 OMAHA NE 68103-2209

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

### **Confirmation Notice**



498-217333-1				5524	43153475	A	TD AME	ERITRADE		
ACTIVITY	Ql	JANTITY	cus	IP NUMBER	* PRICE	PRINCIPAL	AMOUNT	MISC. FEE	S REG FEES	
YOU BOUGHT		10,000 59		9134N104	.268	2,68	0.00		0.00	
AS OF TRADE DATE		TRADE D	ATE	SETTLEMEN	IT DATÉ	INTEREST	COMMISSIO	ON/FEE	NET AMOUNT	
		08/08/20	23	08/10/20	023		0.00		2,680.00	

META MATERIALS INC

MMAT

COM

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



**Confirmation Notice** Account 498-217333

Page 2 of 2

ACCOUNT CODE: Type of Account

1, Cash 2, Margin Long
3, Margin Stort 4, Income
5, Withholding 6, Special CAPACITY CODE P= Principal R= Riskless Principal C= Cross Trade Prime Broker if provided (contact the executing broker for further details)

3= Agont for person other than customer
6= Acts as both principal and agent
7= Acts as both principal and agent 5= Principal for some executions and agent for other 8= Crossing as agent 9= Crossing as principal

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

  1. TD Ameritande Clearing, Inc., member of FINRA/SIPC acts as the clearing agent.

  2. This confirmation is transaction edvice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.
- at me time the trade was placed.
  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.
  All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Faderal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and the clearing house, if any) where executed, and of any association whose rules and regulations govern tensections in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are unsolicited.
  The securities described are, or may be hypothecated under circumstances which will permit the comminging thereof with securities carried for the account of the other customers. Such comminging, if any, ceases upon payment by you for these securities in the net amount due. 5.

- these securities in the net amount one.
  The name of the other pany of broker in the transaction, the date, the time of execution, source and emount of remaineration will be furnished upon request, if not already displayed.
  If this trensaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed,
  If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.
- liable for resulting loss.

  9. Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Atternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transactions less applicable to purchases, capital call processing, and redemptions. TD Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions. It may include any of the following: a sales fee on certain set it transactions (assessed at a rate consistent with Section 1 of Schedule A of FINA's Sy-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include faxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent fine Item on your monthly statement.

  10. Misc Fees will be explained upon request. For transactions involving certain Index Options. TD Ameritrade may charge an Index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. (see schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www. chos.com. Other Misc. Fees may include Prime Broker fees or Special Settlement fees, where applicable.

  11. If this transaction involves a debt security that is subject to call or redemption before maturity, the device or process or coupons, interest and multiplier securities, no periodic payment of interest or principal are generally made. These securities may be callable, below maturity veitue, without notice by mail to holder unless becurities are held in registered form. On asset backed dabt securities are subject to fluctuation depending on prepayment, specific information is available upon request. For inflation indexed securities yield to maturity may vary due to inflation

- 12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be
- The firm receives compensation for directing risted and over-me-counter securities, and upwars over now to secure now to secure out the country of the first properties.

  Leveraged and knyerise ETFs entail unique risks, including but not limited to: use of leverage, aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Both seek results over periods as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require active monitoring and management, as frequently as daily. They are not suitable for all investors.

  Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a kited exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to settly our position

- prior to maturity.

  5. If you request the sale of a nonmarketable or worthless security, TD Ameritrade will be the buyer in the trensaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is inversable and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sele.

  16. To access the Official Statement (e.g., prospectus, program disclosured document, information statement, etc.) related to Municipal Bonds, Government Sponsoroed Enterprise Debt, or Federal Agency Securities, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB); www.farmcredit-ffcb.com, Federal Home Loan Bank (FHLB); www.fitib-of.com, Federal Home Loan Mortgage Corporation (Fredite Mac); www.ferodiemac.com, Federal Mortgage Association (Fanile Mac); www.ferodiemac.com, Government National Mortgage Association (Fanile Mac); www.ferodiemac.com, Government National Mortgage Association (Fanile Mac); www.ferodiemac.com, Covernment National Mortgage Association (Fanile Mac); www.ferodiemac.com, Federal Home Loan Mortgage Association (Fanile Mac); www.ferodiemac.com, Feder
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of invastors witing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the figuidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

PO BOX 2577 - OMAHA NE 68103-2577 Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

### **Confirmation Notice**



ACCOUNT NO.		OTHER I	NFO	TRANSAC	TION NUMB	ER	CAP CODES		YOUR REI	PRESENTATIVE
498-217333-1					56448214		Α	TD AME	RITRADE	
ACTIVITY	Q	UANTITY	cus	IP NUMBER	PRICE		PRINCIPAL A	MOUNT	MISC. FE	ES REG FEES
YOU BOUGHT		1,966	. 59	9134N104	.2187		429.9			0.00
AS OF TRADE DAT	ΓĒ	TRÂDE D	ÀTÉ	SETTLEMEN	T DATE	INTE	REST 4	COMMISSIO	ON/FEE	NET AMOUNT
<u> </u>		09/29/20	)23	10/03/20	)23			0.00		429.96
TRADE DESCRIPTIO	)N		Tylen <b>e</b> js		Š	YMBOL		A STATE OF THE STA		
META MATERIAL O.IA										

META MATERIALS INC

MMAT

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



**Confirmation Notice** Account 498-217333

Page 2 of 2

ACCOUNT CODE: Type of Account CAPACITY CODE 2. Margin Long 4. Income 6. Special A= Agent C= Cross Trade P= Principal R= Riskless Prin Prime Broker if provided (contact the executing broker for further dat 3= Agent for person other than customer 4= Agent for E Ra Rickless Principal 3. Margin Short 5. Withholding 4= Agent for both customer and another person 7= Acts as principal, agent and agent for another person 5= Principal for some executions and agent for other 6= Acts as both principal and agent 8= Crossing as agent 9= Crossing as principal

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

  1. TO Ameritade Geating, inc., member of FINRAVSIPC acts as the clasting agent.

  2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information.
- at the time the trade was placed.

  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

  All transactions are subject to the rules, requisitions, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any where executed, and of any association whose cutes and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Visconsin customers' agency trades are unsolicited. The securities described are, or may be hypothecated under circumstances which will permit the commingting thereof with securities carried for the account of the other part, or take to interest the other customers. Such commingting, if any, classes upon payment by you for these securities in the net amount due.

  The name of the other party or troker in the transaction, the date, the time of execution, source and emount of remuneration will be furnished upon request, if not afteredy displayed.

  If this transaction is a sale and the securities are not already in our possession and to not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed, if payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resultine loss. erve Board, the Securities and Exchange Commission, the Exchange or market (and its cleaning house, if any)

- liable for resulting loss.
- Islable for resulting loss.

  9. Commission/Fee represents base commission and any options contract, exercises, and assignment fees. For Attentitive Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transactions fees applicable to purchases, capital call processing, and redemplans. TO Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to purchases, capital call processing, and redemplans. TO Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to options transactions, and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FilNA'S by-Lawa), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent fine them on your monthly statement.

  10. Misc, Fees will be explained upon request. For transactions involving certain Index Options. TO Ameritrade may charge an Index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fies Schedule can also be found on www. choe com. Other Misc. Fees may include Prime Broker fees or Special Settlement fees, where applicable.

  11. If this transaction involves a debt security that is subject to call or redemption before maturity, the document of the price of the securities may be called to redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request. On zero coupons, interest and multiplier securities, no periodic payment of interest or principal are generally made. These securities may be called to maturity which could affect

- adjustments.

  12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be
- turnished upon request

  13. Laveraged and inverse ETFs entail unique risks. Including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivetives. Laveraged ETFs seek to deliver the opposite of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods wall likely differ in amount and even direction. These products require active monitoring and management, as frequently as daily. They are not exitable for all investors.

  14. Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Risther, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to self your position

- 14. Bonds and/or food-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position prior to make the proof of an advance of a nonmarketable or worthless security. TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is inversable and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale. To access the Official Statement (e.g., prospectus, prospectus, prospectus, prospectus, prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Delt), or Federal Agency Securities, please visit the applicable website and enter the CUSIP; Federal Farm Credit Bank (FFCB); www.famcredit-ffcb.com, Federal Home Loan Bank (FHLB); www.fitb-of.com, Federal Home Loan Mortgage Corporation (Freddie Mac); www.farddiemac.com, Federal Nottonal Mortgage Association (Fennie Mae); www.farntiemae.com, Government National Mortgage Association (Fennie Mae); www.farntiemae.com, Federal Home Loan Mortgage Association (Fennie Mae); www.farntiemae.com, Government National Mortgage Association (Fennie Mae); www.farntiemae.com,
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors witing to purchase the security end, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the Equidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

PO BOX 2577 - OMAHA NE 68103-2577
Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC. PO BOX 2209 OMAHA NE 68103-2209

JOHNATHON D WALLACE 222 Rockwood Drive Tuscumbia AL 35674

## Confirmation Notice



ACCOUNT NO.	OTHE	R INFO	TRANSAC	NUN NOITS	/IBER	CAP CODE	S	YOUR REPRES	SENTATIVE
498-217333-1			564	158291172		Α	TD AM	ERITRADE	
ACTIVITY	QUANTITY	CU	SIP NUMBER	PRIC	Æ	PRINCIPAL	AMOUNT	MISC. FEES	REG FEES
YOU SOLD	11,966	5	59134N104	.19	6	2,345.34		0	1.76
AS OF TRADE DATE	E TRADE	DATE	SETTLEMEN	NT DATE	INT	EREST	COMMISSI	ON/FEE**	NET AMOUNT
	10/19	/2023	10/23/2	2023			0.0		2,343.58
TRADE DESCRIPTION	1				SYMBO	Ĺ			
	_		•						

META MATERIALS INC

MMAT

COM

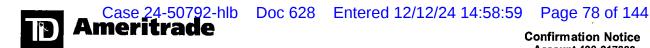
CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



**Confirmation Notice** 

Account 498-217333

Page 2 of 2 ACCOUNT CODE: Type of Account

1. Cash 2. Margin Long CAPACITY CODE 2. Margin Long 4. Income Co Cross Trade Propring Re Riskless Principal A= Agont C= Cross trace += Principal Fee Histelss Principal
Prince Broker if provided (contact the executing broker for further details)
3= Agont for porson other than customer
4= Agont for both
6= Acts as both principal and agent
7= Acts as princip 3. Margin Short 5, Withholding 4= Agent for both customer and another person 7= Acts as principal, agent and agent for another person 5= Principal for some executions and agent for other 9= Crossing as principal

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

- The confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information. at the time the trade was placed.
- at the time fine trace was placed.

  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

  All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to ell the terms of our general customer's agreements between you and us. Wisconsin customers' agreemy trades are unsolicited. The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities are recount of the other customers. Such commingling, if any, ceases upon payment by you for these securities in the net amount due.

- The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not attendy displayed.

  If this trensaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.

  If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you
- If payment is not made on or prior to the date required by Rogulation T of the Federal Reserve Board, we reserva the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.

  9. Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Attemstive Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transactions less applicable to purchases, capital call processing, and redemptions. TO Ameritrade will charge e Reg Fee on certain transactions. It may include any of the following: a sales fee on certain set Identifications (assessed at a rate consistent with Section 1 of Schedule A of FINRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line from your monthly statement.

  10. Misc. Fees will be explained upon request. For transactions involving extent index Cyptons. TO Ameritrade may charge an Index Oython Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule, This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www.cbos.com. Other Misc. Fees may include Prime Broker feeu or Special Settlement fees, where applicable. If this transaction involves a doble security that is subject to call or redemption before maturity, whe called ment of the real maturity value, without notice by mail to holder unless the securities are held in registered form. On asset backed debt securities, yields are subject to fluctuation depending on prepayment, specific information is available upon request. For inflation indexed securities, yields are subject to fluctuation depending on prepayment, specific information is available upon

- furnished upon request
- turished upon request

  1. Leveraged and inverse ETFs entail unique risks. Including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will kind little in amount and even direction. These products require active monitoring and management, as frequently as daily. They are not suitable for all investors.

  14. Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rether, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to self your position.

- 14. Bonds and/or frood-income securibles trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sail your position prior to makinfy.

  15. If you request the sale of a nonmarketable or worthless security. TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The trade is a securities and no claim against TD Ameritrade for any losses related to this sale.

  16. To access the Official Statement (e.g., prospectus, prospectus, program discovering document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Delt, or Federal Agency Securities, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB); www.farmcredit/fcb.com, Federal Home Loan Bank (FFLB); www.fitb-of.com, Federal Home Loan Mortgage Corporation (Freddie Mac); www.farddiemac.com, Federal Nortgage Association (Farnie Mae); www.farniemae.com, Government National Mortgage Association (Farnie Mae); www.farniemae.com, Government National
- 17. The security that you purchased in selection the minimum denomination set by the issuer, which may reduce the number of invastors witing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the figuidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

### Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 79 of 144



Schwab One® Account of

JOHNATHON D WALLACE DESIGNATED BENE PLAN/TOD

Account Number

Trade Confirmation

7141-8114

November 29, 2023

Total Trades

Total Purchases (\$1,500.00)

Total Sales \$0.00

Net Charges and/or Interest

\$0.00

Net Amount

(\$1,500.00)

Settle Date	Action	Symbol/ CUSIP	Description	Quantity	Price(\$)	Charges/ Principal(\$) Interest(\$)	Amount(\$) Disclosure
12/01	Purchase	MMAT 59134N104	META MATLS INC	12,931	0.116	1,500.00 -	<b>1,500.00</b> , F2, A1
		*					

#### Disclosures

A1 Schwab acted as your agent.

F2 Payment Type: Cash.

### Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 80 of 144



### Schwab One® Account of

JOHNATHON D WALLACE
DESIGNATED BENE PLAN/TOD

Account Number

Trade Confirmation

7141-8114

November 16, 2023

Total Trades		Total Purchases	•	Total Sales		Net Charges and/or Intere	est	Net Amount
1	5.	(\$9.63)	•	\$0.00	4	\$0.00	· • •	(\$9.63)
	-	- •				•		

Settle Date	Action	Symbol/ CUSIP	Description	Quantity	Price(\$)	Principal(\$)	Charges/ Interest(\$)	Amount(\$) Disclosure
11/20	Purchase	MMAT 59134N104	META MATLS INC	100	0.0963	9.63		<b>9.63</b> F2, A1

#### Disclosures

A1 Schwab acted as your agent.	F2 Payment Type: Cash.
districts of the second control of the secon	AND PROCESS OF THE PR

## Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 81 of 144

DateSorted Descending	Action	Symbol	Symbol / Description	Quantity	Price	Fees & Comm	Amount
1/29/2024	Reverse Split	MMAT	MMAT META MATLS INC ***REVERSE 100:1	81			
11/6/2023	Journaled Shares	MMAT	MMAT TDA TRAN - TRANSFER OF SECURITY OR OPTION OUT (MMAT)	-8,081			
11/6/2023	Internal Transfer	MMAT	MMAT META MATLS INC	8,081			~
1/30/2023	Buy	MMAT	MMAT TDA TRAN - Bought 8081 (MMAT) @1.0000	8,081	\$1.00		(\$8,081.00)
12/20/2022	Sell	MMAT	MMAT TDA TRAN - Sold 6514 (MMAT) @1.2402	-6,514	\$1.24	\$1.04	\$8,077.62
12/14/2022	Buy	MMAT	MMAT TDA TRAN - Bought 6514 (MMAT) @1.3900	6,514	\$1.39		(\$9,054.46)
12/9/2022	Sell	MMAT	MMAT TDA TRAN - Sold 5850 (MMAT) @1.4100	-5,850	\$1.41	\$0.95	
12/9/2022	Sell	MMAT	MMAT TDA TRAN - Sold472 (MMAT) @1.4101	-472	\$1.41	\$0.08	\$665.49
12/9/2022	Sell	MMAT	MMAT TDA TRAN - Sold100 (MMAT) @1.4150	-100	\$1.42	\$0.01	\$141.49
5/26/2022	Buy	MMAT	MMAT TDA TRAN - Bought 2914 (MMAT) @1.6600	2,914	\$1.66		(\$4,837.24)
11/16/2021	Buy	MMAT	MMAT TDA TRAN - Bought 1206 (MMAT) @4.4900	1,206	\$4.49		(\$5,414.94)
11/16/2021	Buy	MMAT	MMAT TDA TRAN - Bought 2302 (MMAT) @4.5000	2,302	\$4.50		(\$10,359.00)
11/16/2021	Sell	MMAT	MMAT TDA TRAN - Sold 3661 (MMAT) @4.3100	-3,661	\$4.31	\$0.52	\$15,778.39
6/30/2021		MMAT	MMAT TDA TRAN - Sold 4 (MMAT) @6.8000	-4	\$6.80		\$27.20
6/28/2021	Sell	MMAT	MMAT TDA TRAN - Sold 1 (MMAT) @7.9500	-1	\$7.95		\$7.95
6/28/2021	Journaled Shares	MMAT	MMAT TDA TRAN - MANDATORY REVERSE SPLIT (MMAT)	3,666			
	·		, '				
6/28/2021	Journaled Shares	TRCH	TDA TRAN - MANDATORY REVERSE SPLIT (TRCH) 2:1	-7,332			
6/25/2021	Buy	TRCH	TDA TRAN - Bought 32 (TRCH) @4.9700	32	\$4.97		(\$159.04)
6/21/2021	Buy	TRCH	TDA TRAN - Bought 3300 (TRCH) @9.8000	3,300	\$9.80		(\$32,340.00)
6/21/2021	Buy	TRCH	TDA TRAN - Bought 4000 (TRCH) @8.5800	4,000	\$8.58		(\$34,320.00)
6/14/2021	Sell	TRCH	TDA TRAN - Sold 7000 (TRCH) @3.5200	-7,000	\$3.52	\$0.96	\$24,639.04
6/14/2021	Buy	TRCH	TDA TRAN - Bought963 (TRCH) @3.3800	963	\$3.38		(\$3,254.94)
6/14/2021	Buy	TRCH	TDA TRAN - Bought 2037 (TRCH) @3.4100	2,037	\$3.41		(\$6,946.17)
6/14/2021	Buy	TRCH	TDA TRAN - Bought 1853 (TRCH) @3.4100	1,853	\$3.41		(\$6,318.73)
6/14/2021	Buy	TRCH	TDA TRAN - Bought 2147 (TRCH) @3.4200	2,147	\$3.42		(\$7,342.74)
6/9/2021	Sell	TRCH	TDA TRAN - Sold700 (TRCH) @3.1100	-700	\$3.11	\$0.09	\$2,176.91
6/7/2021	Buy	TRCH	TDA TRAN - Bought700 (TRCH) @3.1898	700	\$3.19		(\$2,232.86)

^{(70.899.48} 

PO BOX 2577 - OMAHA NE 68103-2577 Please do not send deposits or correspondence to this address. TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

JOHNATHON D WALLACE ROTH IRA TD AMERITRADE CLEARING CUSTODIAN 102 W PURITAN AVE MUSCLE SHOALS AL 35661-1442

#### **Confirmation Notice**

ACCOUNT NO.	. Visco	OTHER IN	1FO	TRANSAC1	TION NUME	ER	CAP CODES		YOUR REPR	ESENTATIVE
427-965033-1				. 3576	6085142		Α	TD AME	RITRADE	
ACTIVITY	QI	UANTITY	cus	IP NUMBER	PRICE		PRINCIPAL A	MOUNT	MISC. FEES	REG FEES
YOU SOLD		1	59	9134N104	7.95		7.95			0.00
AS OF TRADE DAT	Έ	TRADE D	ATE	SETTLEMENT	Γ DATE	INTE	REST	COMMISSIO	ON/FEE	NET AMOUNT
		06/28/20	)21	06/30/20	21			0.00		7.95

META MATERIALS INC COM

**MMAT** 

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



#### Doc 628 Entered 12/12/24 14:58:59 Page 83 of 144

**Confirmation Notice** Account 427-965033

Page 2 of 2

ACCOUNT COL	E: Type of Account		CAPACITY CODE:		
1. Cash 3. Margin Short 5. Withholding	2. Margin Long 4. Income 6. Special	A= Agent C= Cross Trade P= Princips Prime Broker if provided (contact the executing 3= Agent for person other than customer 6= Ads as both principal and agent 9= Crossing as principal		5= Principal for some executions and agent for other 8° Crossing as agent	

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

  1. TO Ameritrade Cloaring, Inc., member of FINPA/SIPC acts as the dearing agent.

  2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information. at the time the trade was placed
- You will report any errors immediately and/or notify us if not entirely in accordance with your understantling.

- at the time the trade was placed.

  3. You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

  4. All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in sand market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are unsolicited.

  5. The securities obsected are, or may be hypothecated under circumstances which will permit the commingting thereof with securities of the net amount due.

  6. The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request. If not already displayed.

  7. If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.

  8. If payment is not made on or prior to the date required by Regulation 1 or the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you like for resulting toss.

  9. Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative (investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital call processing, and receivables. The analysis of the discontract is a fee on certain self-intensical with Section 3 of the Securities Exchange Act of 1930, the Coptions Regulations applicable to options intensicated under various regulations applicable to applicable to applicable to applicable to applicable to applicable and activity

- 12. The firm receives compensation for extend size of to were reconstructed as a second of the firm feed was complex investment techniques; and use of derivatives. Leveraged ETFs seak to deliver multiples of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require active monitoring and management, as frequently as daily. They are not suitable for all investors.

  14. Bonds another Section on a securities trade differently then equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and equity may not always exist for you to sell your position.

- 14. Bords and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the countier) marketplace and equicity may not always exist for you to sell your position prior to makinity.

  15. If you request the sale of a normarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is interventable and you will have no further dain to the securities and no claim against TD Ameritrade for any tosses related to the sale.

  16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to in Municipal Bonds, Government Sponsored Enterprise Dott, or Federal Agency Securities, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB); www.farmcredit-ffcb.com, Federal Home Loan Bank (FHLB); www.falb-of.com, Federal Home Loan Mortgage Corporation (Fredits Mac), www.frediennac.com, Federal Anne Loan Bank (FHLB); www.falb-of.com, Federal Home Loan Mortgage Corporation (Fredits Mac), www.frediennac.com, Federal Home Loan Bank (FHLB); www.falb-of.com, Federal Home Loan Mortgage Corporation (Fredits Mac), www.frediennac.com, Federal Home Loan Bank (FHLB); www.falb-of.com, Federal Home Loan Mortgage Corporation (Fredits Mac), www.frediennac.com, Federal Home Loan Bank (FHLB); www.frediennac.com, Federal Home Loan Mortgage Association (Farnie Mae); www.frediennac.com, Federal Home Loan Bank (FHLB); www.frediennac.com, Federal Home Loan Mortgage Association (Farnie Mae); www.frediennac.com, Federal Home Loan Bank (FHLB); www.frediennac.com, Federal Home Loan Mortgage Association (Farnie Mae); www.frediennac.com, Federal Home Loan Bank (FHLB); www.frediennac.com, Federal Home Loan Mortgage Association (Farnie Mae); www. 860-669-3300
- 17. The security that you purchased in ay have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

Doc 628 Entered 12/12/24 14:58:59 Page 84 of 144

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

PO BOX 2577 - OMAHA NE 68103-2577 Please do not send deposits or correspondence to this address.

> Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

JOHNATHON D WALLACE ROTH IRA TD AMERITRADE CLEARING CUSTODIAN 102 W PURITAN AVE MUSCLE SHOALS AL 35661-1442

#### **Confirmation Notice**

ACCOUNT NO.	OTHER	<b>ĮFO</b>	TRANSAC	TION NUM	/iBER	CAP CODE	:S   * *	YOUR REPRES	SENTATIVÉ -
427-965033-1			3584	40976951		A	TD AM	ERITRADE	
ACTIVITY	QUANTITY	CUSI	IP NUMBER	PRIC	Æ ,	PRINCIPAL	AMOUNT	MISC, FEES	REG FEES
YOU SOLD	4	59	9134N104	6.80	0	27.	20		0.00
AS OF TRADE DATE	TRADE D	ATE *	SETTLEMEN	T DATE	INT	TEREST	COMMISSI	ON/FEE	NET AMOUNT
	06/30/20	21	07/02/20	)21			0.00	)	27.20
TRADE DESCRIPTION	20 T. Y.	AND AND			SYMBO	)L		F. 144. 1944 11 7	(4.17. 13.17.14)

META MATERIALS INC

MMAT

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



**Confirmation Notice** Account 427-965033

Page 2 of 2

ACCOUNT CODE: Type of Account
Cash 2. Margin Long
Margin Short 4. Income CAPACITY CODE: A= Agent C= Cross Trade P= Principal R= Riskless Principal Prime Broker if provided (contact the executing broker for further details) R# Risidess Principal 3. Margin Short 5. Withholding 6 Specia 3= Agent for person other than customer 4= Arent for both customer and another nerson 5= Principal for some executions and agent for other 8= Crossing as agent 6* Acts as both principal and agent 9° Crossing as principal 7≈ Acts as principal, agent and agent for another person

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

1. TO Ameritrade Clearing, Inc., member of FinRAVSIPC acts as the clearing agent.

2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the Information.

at the time the trace was placed.
You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.
All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where exceeding a subject to the rules, regulations, requirements (including margin requirements) and considerable and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are unsolicited. The securities described are, or may be hypothecated under circumstances which will permit the comminging thereof with securities carried for the other customers. Such comminging, if any, ceases upon payment by you for

these securities in the net amount due.

The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not already displayed.

If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities or more continued by the payment of premium on securities over the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you

1. If this transaction is a sale and the securities are not arready in our possessistic and our increased as a following the interest of the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and lord you liable for resulting loss.

9. Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transactions. It may include any of the following: a sales fee on certain bransactions. These charges are typically based on fees assessed under various regulations applicable to purchases, capitat call processing, and redemptions. To Ameninade will charge a Reg Pee on certain bransactions. These charges are typically based on fees assessed under various regulations applicable to price the following: a sales fee on certain self transactions is (assessed at a rate consistent with Section 31 of the Securities Securities (Including foreign company ADRs that trade in the U.S.) may include taxes and fees charges. Transactions in foreign executives (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an interperence line item on your morthly statement.

10. Misc, Fees will be explained upon request. For transactions (Aprilon Debta and Capitons in Capitons and Capitons a

14. Borns among recembers craige differently into equity securines and do not trade on a listed exchange. Rather, they trade in they trade in they for (over the counter) marketpace and found have severity for you to sell your position prior to making.

15. If you request the sale of a normarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located, in such a sale, you are deeming the security worthless and TD Ameritrade for any losses related to the sale.

16. To access the Official Statement (e.g., prospectus, program of sclosure document, information extensive, etc.) related to Musicipal Bonds, Government Sponsored Enterprise Dett, or Federal Agency Securities, please visit the applicable website and enter the CUSIP: Pederal Farm Credit Bank (FFCB): www.framenditricto.com, Federal Malen, without.com, without.com, without.com, without.com, without.com, because it can Mutigage Carporation (Federal Malen): www.framenditricto.com, Federal Malen, and enter the CUSIP: Pederal Credit Bank (FFLB): www.framenditricto.com, Federal Malen, and enter the CUSIP: Pederal Credit Bank (FFLB): www.framenditricto.com, Federal Malen, and enter the CUSIP: Pederal Credit Bank (FFLB): www.framenditricto.com, Federal Malen, and enter the CUSIP: Pederal Malen.

National Mortgage Association (Famile Male): www.framenditrictor.com, Federal Malen, and the federal Malen and the federal Malen.

National Mortgage Association (Famile Male): www.framenditrictor.com, Federal Malen and the federal Malen.

National Mortgage Association (Famile Male): www.framenditrictor.com, Federal Malen and the federal Malen.

National Mortgage Association (Famile Male): www.framenditrictor.com, Federal Malen.

National Mortgage Association (Famile Male): www.framenditrictor.com, Federal Malen.

National Mortgage Association (Famile Male): www.framenditrictor.com, Federal Malen.

National Mortgage Association (Famile Malen): www.framenditrictor.com, Federal Malen.

National Mortgage Association (

17. The security that you purchased in a y have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the pice that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the injuriously of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.



PO BOX 2577 - OMAHA NE 68103-2577 Please do not send deposits or correspondence to this address. TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

JOHNATHON D WALLACE ROTH IRA TD AMERITRADE CLEARING CUSTODIAN 222 Rockwood Drive Tuscumbia AL 35674

#### **Confirmation Notice**

ACCOUNT NO	** 	OTHER I	NFO	TRANSAC	TION NUM	IBER	CAP CODES YOUR REPRESE		SENTATIVE	
427-965033-1				3875	6943197		А	TD AM	ERITRADE	
ACTIVITY	Q	UANTITY	CUS	SIP NUMBER	PRIC	E	PRINCIPAL	AMOUNT	MISC. FEES	REG FEES
YOU SOLD		3,661	5	9134N104	4.3	1	15,778	3.91	0	0.52
AS OF TRADE DA	TE	TRADE D	ATE	SETTLEMEN	T DATE	IŃT	EREST	COMMISSI	ON/FEE	NET AMOUNT
		11/16/2	021	11/18/20	21			0.00	)	15,778.39
TRADE DESCRIPTI	ON	14 july 24 july 1			3	SYMBO	L			
META MATERIALS	INIC					TANANA	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			

META MATERIALS INC COM

MMAT

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

ACCOUNT NO.		OTHER II	VFO	TRANSAC	TION NUI	MBER	CAP CODE	S	YOUR REPRESENTATIVE	
427-965033-1				3876	1234310		А	TD AM	ERITRADE	
ACTIVITY	QU	ANTITY	cus	IP NUMBER	PRIC	CE	PRINCIPAL	AMOUNT	MISC. FEES	REG FEES
YOU BOUGHT	:	2,302	59	9134N104	4.5	0	10,35	9.00	0	0.00
AS OF TRADE DAT	E	TRADE D	ATE	SETTLEMEN	T DATE	INT	EREST	COMMISSI	ON/FEE	NET AMOUNT
		11/16/20	)21	11/18/20	21			0.0	0	10,359.00
RADE DESCRIPTION	N			E . d.		SYMBO	L			
META MATERIALS IN	IC	<u> </u>				MMAT				

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



# Confirmation Notice

Account 427-965033

Page 2 of 2

									1 490 2	. 01 2
ACCOUNT NO.		OTHER IN	IFO \	TRANSAC	TION NUM	IBER-	CAP COD	ĒŠ :	YOUR REPR	RESENTATIVE
427-965033-1				3876	65416241		Α	TD AME	RITRADE	
ACTIVITY	Q	DANTITY	cus	IP NUMBER	PRIC	E	PRINCIPAL	AMOUNT	MISC. FEE	S REG FEES
YOU BOUGHT		1,206	59	9134N104	4.49	9	5,41	4.94	0	0.00
AS OF TRADE DAT	ΤĒ	TRADE D	ATE .	SETTLEMEN	IT DATE	IN T	EREST"	COMMISSIO	ON/FEE	NET AMOUNT
		11/16/20	21	11/18/20	021			0.00	1	5,414.94
TRADE DESCRIPTIO	)N			4.7		SYMBO	)L *	7	**	
META MATERIAL O IN						1414AT		3		

META MATERIALS INC COM

MMAT

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

ACCOUNT CO	DE: Type of Account		CAPACITY CODE:	
1. Cash	2. Margin Long	A= Agent C= Cross Trade P= Principal	R= Riskless Principal	
3. Margin Short	4. Income	Prime Broker if provided (contact the executing b	roker for further details)	
5. Withholding	5. Special	3= Agent for person other than customer	4= Agent for both customer and another person	5= Principal for some executions and agent for other
· ·		6= Acts as both principal and agent	7= Acts as principal, agent and agent for another person	8= Crossing as agent
		9= Crossing as principal		

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

1. TD Ameritade Clearing, Inc., member of FINRA/SIPC acts as the clearing agent.

2. This confirmation is a transaction edvice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information.

This confirmation is a transaction actives, not an invoice. Remittance of funds of securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.

You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Faderal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to off the terms of our general customor's agreements between you and us. Wisconsin customers' agency trades are unsolicited.

The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the account of the other customers. Such commingling, if any, ceases upon payment by you for 5.

- these securities in the net amount due.

  6. The name of the other party or broker in the transection, the date, the time of execution, source and amount of remuneration wild be furnished upon request, if not briesdy displayed.

  7. If this transect in the other party or broker in the transection, the date, the time of execution, source and amount of remuneration wild be furnished upon request, if not briesdy displayed.

  7. If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.

  8. If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we receive the right without further notice, to cancel this transaction, or at our option, to self the securities covered hereby and hold you liable for resulting loss.

  9. Commission/Fer represents base commission and any options contract, exercise, and assignment fees. For Alternetive Invostments (AI), also called Non Standard Assets (NSA), Commission/Fer represents transactions. It may include any of the following; a sales (see on certain self transactions in charges are typically based on fees assessed under various regulations applicable to transactions. It may include any of the following; a sales (see on certain self transactions (assessed at a rate consistent with Section 31 of the Securities Exchange Act of 1934), the Options Regulatory Fee (applicable to options transactions), and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FIRMA'S By-Lawa), among other charges. Transactions in foreign accurities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item on your monthly stalement.

  10. Misc. Fees will be explained upon request. For transactions involving a catalin index

- retests.

  In deceives compensation for directing fisted and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular true.
- 12. The first interests compensation is uncluding but not little to use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs entail unique risks, including but not little benchmance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require sollive monitoring and management, as frequently as daily. They are not suitable for all investors.

  14. Bonds and/or fixed-income securities trade differently their equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to self your position

- 14. Bonds and/or fixed-income securities trade unintensity than equity securities and to the case of a nontrainty.

  15. If you request the sale of a nontraix leads or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation reparding the present or future value of these securities. The transaction is irreversible and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the case.

  16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB): www.farmcradit-ltch.com, Federal Home Loan Bank (FHLB): www.fittb-dt.com, Federal Home Loan Montgage Cuproration (Fruddie Mac): www.farmcradit-ltch.com, Federal Home Loan Bank (FHLB): www.fittb-dt.com, Federal Home Loan Montgage Cuproration (Fruddie Mac): www.farmlemae.com, Government National Montgage Association (Farmle Mae): www.farmlemae.com, Government National Montgage Association: www.grantiemee.gov, Municipal Bonds: www.emma.nstr.org, A copy of the Official Statement can be required by calling 800-869-3900.
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the figuidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

Doc 628

Entered 12/12/24 14:58:59 Page 88 of 144

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

PO BOX 2577 - OMAHA NE 68103-2577 Please do not send deposits or correspondence to this address.

> Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

JOHNATHON D WALLACE ROTH IRA TD AMERITRADE CLEARING CUSTODIAN 222 Rockwood Drive Tuscumbia AL 35674

## **Confirmation Notice**



427-965033-1				. 429	04468323	Α	TD AME	RITRADE	30 July 190
ACTIVITY	Ql	UANTITY"	CUS	IP NUMBER	PRICE	PRINCIPAL AN	51 TO 150 THE RESERVE THE PARTY	MISC. FEES	REG FEES
YOU BOUGHT	1	2,914	59	9134N104	1.66	4,837.24		0	0.00
AS OF TRADE DAT	ΓE	TRADE D	<b>ATE</b>	SETTLEMEN	IT DATE IN	TEREST C	OMMISSIC	N/FEE	NET AMOUNT
		05/26/20	22	05/31/20	022		0.00		4,837.24

**META MATERIALS INC** COM

**MMAT** 

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



**Confirmation Notice** 

Account 427-965033

Page 2 of 2 ACCOUNT CODE: Type of Account

1. Cash 2. Margin Long
3. Margin Short 4. Income
5. Withholding 6. Special CAPACITY CODE A= Agent C= Cross Trade P= Principal R= Riskless Principal Prime Broker if provided (contact the executing broker for further details) 3= Agent for person other than customer 4= Agent for both R= Riskless Principa 4= Agent for both customer and another person 7= Acts as principal, agent and agent for another person 5= Principal for some executions and agent for other 6= Acts as both principal and agent 8= Crossing as agent 9= Crossing as principal

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

- TD Ameritrade Clearing, Inc., member of FINRA/SIPC acts as the clearing egent.
   This confirmation is a transaction eduice, not an invoice. Remittance of funds or se
- due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information

- This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is a transaction advice, not an invoice. Remittance of funds or securities is at the time the trade was placed.
  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.
  At transactions are sulfect to the rules, requirements including margin requirements and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customer's agreement when you are useful or the account of the other customers. Such comminging, if any, ceases upon payment by you for these executies in the net amount due.

- hese securities in the net amount due.

  The name of the other party or blotter in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not attendy displayed.

  The name of the other party or blotter in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not attendy displayed.

  If this transaction is a sele and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of permism on securities borrowed.

  If this transaction is a sele and the securities covered hereby and hold you liable for resulting loss.

  Commission/Fee represents bees commission and any options contract, exercise, and essignment fees. For Alternative Investments (AI), also called Not Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital cell processing, and trademplans. TD Ameritrade will charge e Rep Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to purchases, capital cell processing, and trademplans. TD Ameritrade will charge e Rep Fee on cartain tensactions. These charges are typically based on fees assessed under various regulations applicable to transactions transactions. It may include any of the following: a sales fee on cortains set transactions transactions in the securities of 1934, the Options Regulatory Fee (applicable to options transactions), and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FinRA's Byt-Jaws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign americal provides and the securities of the securities are independent in the liter of the securities of the securities are independent in the liter of the securities of the Capital and the liter of

- 12. The firm receives compensation for priceting tisted and ever-ine-counter securities, and options order tow to selected market centers for execution. The source and nature of payment received in connection with a particular trensaction will be furtished upon request.

  13. Leveraged and inverse ETFs entitl unique tisks, including but not limited to use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver to opposite of the performance of a benchmark. Inverse ETFs seek to deliver to opposite of the performance of a benchmark. Inverse ETFs seek to deliver on the opposition of the performance of a benchmark. Soft seek to deliver to opposite of the performance of a benchmark. Soft seek to deliver to opposite of the performance of a benchmark. Soft seek to deliver to opposite of the performance of a benchmark. Soft seek to deliver to opposite of the performance of a benchmark. Soft seek to deliver to opposite of the performance of a benchmark seek to deliver to opposite of the performance of a benchmark. Inverse ETFs seek to deliver multiples of the performance of a benchmark. Soft seek to deliver to opposite of the performance of a benchmark seek to deliver multiples of the performance of a benchmark seek to deliver to opposite of the performance of a benchmark seek to deliver to opposite of the performance of a benchmark seek to deliver to obtain the performance of a benchmark seek to deliver to obtain the performance of a benchmark seek to deliver to obtain the performance of a benchmark seek to deliver to deliver multiples of the performance of a benchmark seek to deliver to obtain the performance of a benchmark seek to deliver t

- 14. Borns short hear-thome security and continued to the security worthless and TD Ameritrade thereby prior to maturity.

  15. If you request the sale of a normarketable or worthless security. TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present of thore value of these securities. The transaction is inveverable and you will have no further claim to the securities and no claim eqainst TD Ameritrade for any losses related to the sale.

  16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, phase visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFGB): www.farmcredit.ftcb.com, Federal Home Loan Bank (FFLB): www.fitb-of.com, Federal Home Loan Montgage Corporation (Freddie Mac): www.farmcredit.ftcb.com, Federal Montgage Association (Fennie Mae): www.farmlemae.com, Government National Montgage Association (
- sourcesses.
  The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of invastors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the tiquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.



PO BOX 2577 - OMAHA NE 68103-2577

Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

JOHNATHON D WALLACE ROTH IRA TD AMERITRADE CLEARING CUSTODIAN 222 Rockwood Drive Tuscumbia AL 35674



#### **Confirmation Notice**

ACCOUNT NO.		OTHER IN	IFO	TRANSAC	TION NUM	/BER	CAP COD	ES	YOUR REPRESENTATI		SENTATIVE	
427-965033-1				4682	25336200		Α		TD AME	TD AMERITRADE		
ACTIVITY	QI	JANTITY	cus	IP NUMBER	PRIC	Æ	PRINCIPAL	L AMO	TAUC	MISC. FEES	REG FEES	
YOU SOLD		100	59	9134N104	1.41	15	141	1.50			0.01	
AS OF TRADE DA	TE	TRADE DA	ATE	SETTLEMEN	T DATE	IN	EREST	CC	MMISSIO	N/FEE	NET AMOUNT	
		12/09/20	22	12/13/20	22				0.00		141.49	
TRADE DESCRIPTION	ON					SYMBO	)L					
META MATERIALS I	INIC					NARAAT						

META MATERIALS INC COM

MMAT

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

ACCOUNT NO.	OTHER IN	IFO TRANSAC	TION NUM	<b>IBER</b>	CAP CODE	S	YOUR REPRESENTATIVE		
427-965033-1		4682	25336212		A	TD AME	TD AMERITRADE		
ACTIVITY	QUANTITY	CUSIP NUMBER	PRIC	<b>)</b> E	PRINCIPAL	AMOUNT	MISC. FEES	REG FEES	
YOU SOLD	472	59134N104	1.41	01	665.	57	0	0.08	
AS OF TRADE DATE	TRADE DA	ATE SETTLEMEN	IT DATE	in	TEREST	COMMISSIO	ON/FEE	NET AMOUNT	
	12/09/20	22 12/13/20	022			0.00		665.49	
TRADE DESCRIPTION				SYMB	OL .				
META MATERIAL O INC	`			1414AT					

META MATERIALS INC COM

**MMAT** 

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

#### **Confirmation Notice** Account 427-965033

								Page 2 01	
ACCOUNT NO.	OTHER	INFO	TRANSACT	TION NUMB	BER	CAP CODE	ES 💮 🗀	YOUR REPRESENTATIVE	
427-965033-1			4682	25336219		Α	TD AM	ERITRADE	
ACTIVITY	QUANTITY	Cus	SIP NUMBER	PRICE	180	PRINCIPAL	. AMOUNT	MISC. FEES	REG FEES
YOU SOLD	5,850	5	9134N104	1.41		8,24	8.50	0	0.95
AS OF TRADE DAT	E TRADE	DATÉ	SETTLEMENT	T DATE	INT	EREST'	COMMISS	ON/FEE	NET AMOUNT
	12/09/	2022	12/13/20	22			0.0	0	8,247.55
TRADE DESCRIPTION	N ·	y - ix - ix		<i>ं,</i> . s	УМВО				
META MATERIALS IN	IC			N	MAT				

COM

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE. THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

ACCOUNT CO	DE: Type of Account		CAPACITY CODE:
Cash     Margin Short	2: Margin Long	A= Agent C= Cross Trade P= Principal R= Riskless Principal	
5. Withholding	4, income 6. Special	Prime Broker if provided (contact the executing broker for further details)  3= Agent for person other than customer  4= Agent for both ci	stomer and another person 5= Principal for some executions and agent for other
			agent and agent for another person 8= Crossing as agent
	······································	9= Crossing as principal	

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

1. TO Amaritrade Clearing, Inc., member of FINRA/SIPC acts as the clearing agent.

1. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information.

at the time the trade was placed.
You wait report any errors immediately and/or notify us if not entirely in accordance with your understanding.
All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are unsolicited.
The securities described are, or may be hypothecated under circumstances which will permit the comminging thereof with securities carried for the account of the other customers. Such comminging, if any, ceases upon payment by you for these securities in the net amount due.

these securities in the net amount one.
The name of the other party of block in this transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not already displayed.
If this transaction is a sele and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed,
If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you

- if payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without turner notice, to cancel this transaction, or at our option, to seit the securities covered nereby and not you liable for resulting loss.
   Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Not Standard Assets (NBA), Commission/Fee represents transactions fees applicable to purchases, capital cell processing, and redemplons. TD Ameritrade will charge a Reg Fee on certain sets (and the processing, and redemplons. TD Ameritrade will charge a Reg Fee on certain sets (and the processing and redemplons.) TD Ameritrade will charge a Reg Fee on certain sets (and the processing and redemplons.) TD Ameritrade will charge a Reg Fee on certain sets (and the processing and redemplons.) TD Ameritrade will charge a Reg Fee on certain sets (and the processing and redemplons.) TD Ameritrade will be set on the processing and redemplons. The redemplon set of 1934, the Options Regulatory Fee (applicable to options transactions, and the Trading Activity Fee (applicable to options transactions in the trading Activity Fee (applicable to options transactions in the trading Activity Fee (applicable to options transactions in the trading Activity Fee (applicable to the security of 1934), the Options Regulatory Fee (applicable to the security of 1934), the Options Regulatory Fee (applicable to the trade in the U.S.) may include taxes and fees charged by the foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the content of the trade of the t

- 12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be
- furtished upon request
  Leveraged and inverse ETFs entail unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark, Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require active monitoring and management, as frequently as daily. They are not suitable for all investors.

  Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to self your position note.

- prior to maturity.

  If you request the sale of a nonmarketable or worthless security. TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation reparting the present of future value of these securities. The transaction is inversible and you will have no further claim to the securities and no claim egainst TD Ameritrade for any losses related to the sale.

  16. To access the Official Statement (e.g., prospectus, prosp
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of invastore witing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.



JOHNATHON D WALLACE CHARLES SCHWAB & CO INC CUST ROTH CONTRIBUTORY IRA

Account Number

Statement Period

3700-0635

November 1-30, 2024

### **Account Summary**

Ending Account Value as of 11/30	Beginning Account Value as of 11/01
\$5.24	\$6.05
	\$586
	\$469
	\$351
	\$234
	\$117
	\$0
'23 Jan'24 Feb Mar Apr May	Jun Jul Aug Sep Oct Nov

	This Statement	YIU
Beginning Value	\$6.05	\$533.65
Deposits	0.00	0.00
Withdrawals	0.00	0.00
Dividends and Interest	0.00	0.00
Transfer of Securities	0.00	0.00
Market Appreciation/(Depreciation)	(0.81)	(528.41)
Expenses	0.00	0.00
Ending Value ^w	\$5.24	\$5.24

This Ctatement

Account Ending Value reflects the market value of your cash and investments. It does not include pending transactions, unpriced securities or assets held outside Schwab's custody.

#### **Manage Your Account**

#### **Customer Service and Trading:**

Call your Schwab Representative 1-800-435-4000 24/7 Customer Service

For the most current records on your account visit schwab.com/login. Statements are archived up to 10 years online.

#### **Commitment to Transparency**

Client Relationship Summaries and Best Interest disclosures are at schwab.com/transparency. Charles Schwab & Co., Inc. Member SIPC.

#### **Online Assistance**



Visit us online at schwab.com

Visit schwab,com/stmt to explore the features and benefits of this statement.

JOHNATHON D WALLACE CHARLES SCHWAB & CO INC CUST ROTH CONTRIBUTORY IRA 222 ROCKWOOD DRIVE TUSCUMBIA AL 35674



JOHNATHON D WALLACE CHARLES SCHWAB & CO INC CUST ROTH CONTRIBUTORY IRA

#### Asset Allocation

		This Period	Allocation
	Cash and Cash Investments	0.30	6%
\$5.24	Equities	4.94	94%
Tota	al	\$5.24	100%

Top Account Holdings This Period

SYMBOL CUSIP	Description	Market Value	% of Accounts
MMATQ	META MATLS INC	4.94	94%
	TD BANK NA	0.30	6%

### Gain or (Loss) Summary

	All Positions					
•	Gain	(Loss)	Net			
This Period	0.00	0.00	0.00			
YTD	0.00	0.00	0.00			
Unrealized			(\$8,076.06)			

Values may not reflect all of your gains/losses; Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis may be incomplete or unavailable for some of your holdings and may change or be adjusted in certain cases. Please login to your account at Schwab.com for real-time gain/loss information. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional information refer to Terms and Conditions.

Statement Period November 1-30, 2024

0.00
2024
_



JOHNATHON D WALLACE CHARLES SCHWAB & CO INC CUST ROTH CONTRIBUTORY IRA

Statement Period

November 1-30, 2024

### Positions - Summary

Beginning Value as of 11/01 Transfer of Securities(In/Out)

Dividends Reinvested

Cash Activity +

Change in Market Value Ending Value as of 11/30

Cost Basis

Unrealized Gain/(Loss)

\$6.05

\$0.00

\$0.00 \$0.00

(\$0.81)

\$5.24

\$8,081.00 i

(\$8,076.06)

Values may not reflect all of your gains/losses; Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis may be incomplete or unavailable for some of your holdings and may change or be adjusted in certain cases. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional information refer to Terms and Conditions.

### Cash and Cash Investments

Total Casi	h ánd Cash	Investments			, \$0.30	\$0.30	\$0.00			6%
Bank Sweet	<b>D</b>	TD BANK NAX,Z			0.30	0.30	0.00		0.10%	6%
Туре	Symbol	Description	Quantity	Price(\$)	Beginning Balance(\$)	Ending Balance(\$)	Change in Period Balance(\$)	Pending/Unsettled Cash(\$)	Interest/ Yield Rate	% of Acct

### Positions - Equities

Total Equities			\$4.94	\$8,081.00	(\$8,076.06)	\$0.00	94%
MMATQ META MATLS INC	81.0000	0.06100	4.94	8,081,00	(8,076.06) N/A	0.00	94%
Symbol Description	Quantity	Price(\$)	Market Value(\$)	Cost Basis(\$)	Unrealized Gain/(Loss)(\$) Est. Yield	Est. Annual Income(\$)	% of Acct

#### Positions - Unpriced Securities

Symbol	Description	Quantity	Price(\$)	Market Value(\$)	Cost Basis(\$)	Unrealized Gain/(Loss)(\$) Est. Yield	Est. Annual Income(\$)
	NEXT BRIDGE HYDROCARBONS	7,300.0000			N/A i	N/A i	
Total L	Inpriced Securities			\$0.00	\$0.00	\$0.00	\$0.00

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.



JOHNATHON D WALLACE CHARLES SCHWAB & CO INC CUST ROTH CONTRIBUTORY IRA

Statement Period November 1-30, 2024

### Transactions - Summary

Beginning Cash* as of 11/01 +	Deposits	+	Withdrawals	+	Purchases	+	Sales/Redemptions	+ Di	vidends/Interest +	Expenses	= Ending Cash* as of 11/30
\$0.30	\$0.00		\$0.00		\$0.00	-	\$0.00	•	\$0.00	\$0.00	\$0.30

Other Activity \$0.00

Other activity includes transactions which don't affect the cash balance such as stock transfers, splits, etc.

### **Bank Sweep Activity**

Date Description	Amount	Date Description	Amount	Date Description	Amount
11/01 Beginning Balance ^{x,z}	\$0.30	11/30 Ending Balance x,z	\$0.30	11/29 Interest Rate *Z	0.10%

^{*} Your interest period was 10/16/24 - 11/15/24. Z

### **Endnotes For Your Account**

- W Excluding unpriced securities (see Investment Detail).
- Value includes incomplete, missing or cost basis that is not tracked due to the security type. If cost basis is not available for an investment, you may be able to provide updates. For questions, please refer to the contact information on the first page of this statement.
- X Bank Sweep deposits are held at FDIC-insured Program Banks, which are listed in the Cash Features Disclosure Statement.
- Z For the Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its Program Banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.

#### Terms and Conditions

GENERAL INFORMATION AND KEY TERMS: This Account statement is furnished solely by Charles Schwab & Co., Inc. ("Schwab") for your Account at Schwab ("Account"). Unless otherwise defined herein, capitalized terms have the same meanings as in your Account Agreement. If you receive any other communication from any source other than Schwab which purports to represent your holdings at Schwab (including balances held at a Depository Institution) you should verify its content with this statement. Accrued Income: Accrued Income is the sum of the total accrued interest and/or accrued dividends on positions held in your Account, but the interest and/or dividends have not been received into your Account. Schwab makes no representation that the amounts shown (or any other amount) will be received. Accrued (Automatic Investment Plan) Customers: Schwab receives remuneration in connection with certain transactions effected through Schwab. If you participate in a systematic investment program through Schwab, the additional information normally detailed on a trade confirmation will be provided upon request. Average Daily Balance: Average daily composite of all cash balances that earn interest and all loans from Schwab that are charged interest. Bank Sweep and Bank Sweep for Benefit Plans Features: Schwab

acts as your agent and custodian in establishing and maintaining your Deposit Account(s) as a feature of your brokerage Account(s). Deposit accounts held through these bank sweep features constitute direct obligations of one or more FDIC insured banks ("Program Banks") that are not obligations of Schwab. Funds swept to Program Banks are eligible for deposit insurance from the FDIC up to the applicable limits for each bank for funds held in the same insurable capacity. The balance in the Deposit Accounts can be withdrawn on your order and the proceeds returned to your brokerage Account or remitted to you as provided in your Account Agreement. For information on FDIC insurance and its limits, as well as other important disclosures about the bank sweep feature(s) in your Account(s), please refer to the Cash Features Disclosure Statement available online or from a Schwab representative. Cash: Any Free Credit Balance owed by us to you payable upon demand which, although accounted for on our books of record, is not segregated and may be used in the conduct of this firm's business. Dividend Reinvestment Customers: Dividend reinvestment transactions were effected by Schwab acting as a principal for its own account, except for the reinvestment of Schwab dividends, for which an independent broker-dealer acted as the buying agent. Further information on these transactions will be furnished upon written request, Gain (or

^{*}Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.



JOHNATHON D WALLACE CHARLES SCHWAB & CO INC CUST ROTH CONTRIBUTORY IRA

Statement Period

November 1-30, 2024

### Terms and Conditions (continued)

Loss): Unrealized Gain or (Loss) and Realized Gain or (Loss) sections ("Gain/Loss Section(s)") contain a gain or a loss summary of your Account. This information has been provided on this statement at the request of your Advisor, if applicable. This information is not a solicitation or a recommendation to buy or sell. Schwab does not provide tax advice and encourages you to consult with your tax professional. Please view the Cost Basis Disclosure Statement for additional information on how gain (or loss) is calculated and how Schwab reports adjusted cost basis information to the IRS. Interest: For the Schwab One Interest, Bank Sweep, and Bank Sweep for Benefit Plans features, interest is paid for a period that may differ from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its Program Banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period. For the Schwab One Interest feature, interest accrues daily from the second-to-last business day of the prior month and is posted on the second-to-last business day of the current month. For the Bank Sweep and Bank Sweep for Benefit Plans features, interest accrues daily from the 16th day of the prior month and is credited/posted on the first business day after the 15th of the current month. If, on any given day, the interest that Schwab calculates for the Free Credit Balances in the Schwab One Interest feature in your brokerage Account is less than \$.005, you will not accrue any interest on that day. For balances held at banks affiliated with Schwab in the Bank Sweep and Bank Sweep for Benefit Plans features, interest will accrue even if the amount is less than \$.005. Margin Account Customers: This is a combined statement of your margin account and special memorandum account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your inspection. Securities purchased on margin are Schwab's collateral for the loan to you. It is important that you fully understand the risks involved in trading securities on margin. These risks include: 1) You can lose more funds than you deposit in the margin account; 2) Schwab can force the sale of securities or other assets in any of your account(s) to maintain the required account equity without contacting you; 3) You are not entitled to choose which assets are liquidated nor are you entitled to an extension of time on a margin call: 4) Schwab can increase its "house" maintenance margin requirements at any time without advance written notice to you. Market Price: The most recent price evaluation available to Schwab on the last business day of the report period, normally the last trade price or bid as of market close. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. Schwab shall have no responsibility for the accuracy or timeliness of any such valuations. Assets Not Held at Schwab are not held in your Account or covered by the Account's SIPC account protection and are not otherwise in Schwab's custody and are being provided as a courtesy to you. Information on Assets Not Held at Schwab, including but not limited to valuations, is reported solely based on information you provide to Schwab. Schwab can neither validate nor certify the existence of Assets Not Held at Schwab or the accuracy, completeness or timeliness of the information about Assets Not Held at Schwab, whether provided by you or otherwise. Descriptions of Assets Not Held at Schwab may be abbreviated or truncated. Some securities, especially thinly traded equities in the OTC market or foreign markets, may not report the most current price and are indicated as Stale Priced. Certain Limited Partnerships (direct participation programs) and unlisted Real Estate Investment Trust (REIT) securities, for which you may see a value on your monthly Account statement that reflects the issuer's appraised estimated value, are not listed on a national securities exchange, and are generally illiquid. Even if you are able to sell such securities, the price received may be less than the per share appraised estimated value provided in the account statement. Market Value: The Market Value is computed by multiplying the Market Price by the Quantity of Shares. This is the dollar value of your present holdings in your specified Schwab Account or a summary of the Market Value summed over multiple accounts. Non-Publicly Traded Securities: All assets shown on this

statement, other than certain direct investments which may be held by a third party, are held in your Account. Values of certain Non-Publicly Traded Securities may be furnished by a third party as provided by Schwab's Account Agreement. Schwab shall have no responsibility for the accuracy or timeliness of such valuations. The Securities Investor Protection Corporation (SIPC) does not cover many limited partnership interests. Schwab Sweep Money Funds: Includes the primary money market funds into which Free Credit Balances may be automatically invested pursuant to your Account Agreement. Schwab or an affiliate acts and receives compensation as the Investment Advisor, Shareholder Service Agent and Distributor for the Schwab Sweep Money Funds. The amount of such compensation is disclosed in the prospectus. The yield information for Schwab Sweep Money Funds is the current 7-day yield as of the statement period. Yields vary, If on any given day, the accrued daily dividend for your selected sweep money fund as calculated for your account is less than ½ of 1 cent (\$0.005), your account will not earn a dividend for that day. In addition, if you do not accrue at least 1 daily dividend of \$0.01 during a pay period, you will not receive a money market dividend for that period. Schwab and the Schwab Sweep Money Funds investment advisor may be voluntarily reducing a portion of a Schwab Sweep Money Fund's expenses. Without these reductions, yields would have been lower. Securities Products and Services: Securities products and services are offered by Charles Schwab & Co., Inc., Member SIPC, Securities products and services, including unswept intraday funds and net credit balances held in brokerage accounts are not deposits or other obligations of, or guaranteed by, any bank, are not FDIC insured, and are subject to investment risk and may lose value, SIPC does not cover balances held at Program Banks in the Bank Sweep and Bank Sweep for Benefit Plans features. Please see your Cash Feature Disclosure Statement for more information on insurance coverage. Yield to Maturity: This is the actual average annual return on a note if held to maturity. IN CASE OF ERRORS OR DISCREPANCIES: If you find an error or discrepancy relating to your brokerage activity (other than an electronic fund transfer) you must notify us promptly, but no later than 10 days after this statement is sent or made available to you. If this statement shows that we have mailed or delivered security certificate(s) that you have not received, notify Schwab immediately. You may call us at 800-435-4000. (Outside the U.S., call +1-415-667-8400.) If you're a client of an independent investment advisor, call us at 800-515-2157. Any oral communications should be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). If you do not so notify us, you agree that the statement activity and Account balance are correct for all purposes with respect to those brokerage transactions. IN CASE OF COMPLAINTS: If you have a complaint regarding your Schwab statement, products or services, please write to Client Service & Support at Charles Schwab & Co., Inc., P.O. Box 982603 El Paso. TX 79998-2603, or call customer service at 800-435-4000. (Outside the U.S., call +1-415-667-8400.) If you're a client of an independent investment advisor, call us at 800-515-2157. Address Changes: If you fail to notify Schwab in writing of any change of address or phone number, you may not receive important notifications about your Account, and trading or other restrictions might be placed on your Account. Additional Information: We are required by law to report to the Internal Revenue Service adjusted cost basis information (if applicable), certain payments to you and credits to your Account during the calendar year. Retain this statement for income tax purposes. A financial statement for your inspection is available at Schwab's offices or a copy will be mailed to you upon written request. Any third-party trademarks appearing herein are the property of their respective owners. Charles Schwab & Co., Inc., Charles Schwab Bank, Charles Schwab Premier Bank, and Charles Schwab Trust Bank are separate but affiliated companies and subsidiaries of the Charles Schwab Corporation. © 2024 Charles Schwab & Co., Inc. ("Schwab"), All rights reserved, Member SIPC, (O1CUSTNC) (0822-20UL)

This page intentionally left blank.

PO BOX 2577 - OMAHA NE 68103-2577 Please do not send deposits or correspondence to this address. TD AMERITRADE, INC. PO BOX 2209 OMAHA NE 68103-2209

Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

JOHNATHON D WALLACE ROTH IRA TD AMERITRADE CLEARING CUSTODIAN 222 Rockwood Drive Tuscumbia AL 35674

## SPC

#### **Confirmation Notice**

ACCOUNT NO.	OTHER II	JFO	TRANSAC	TION NUN	IBER	CAP COD	ES 🔭	YOUR REPRE	SENTATIVE *
427-965033-1			4690	09489143		Α	TD AME	RITRADE	
ACTIVITY	QUANTITY	cus	IP NUMBER	PRIC	Ë	PRINCIPAL	AMOUNT	MISC. FEES	REG FÉES
YOU BOUGHT	6,514	59	9134N104	1.39	9	9,05	4.46		0.00
AS OF TRADE DATE	E TRADE D	ATE	SETTLEMEN	T DATE	INT	EREST	COMMISSIO	ON/FEE	NET AMOUNT
	12/14/20	22	12/16/20	)22			0.00		9,054.46
RADE DESCRIPTION				11.5	SYMBO	L.		779. V 7 V 1	

META MATERIALS INC

**MMAT** 

COM

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



#### Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 99 of 144

**Confirmation Notice** 

Account 427-965033 Page 2 of 2

CAPACITY CODE: ACCOUNT CODE: Type of Account P= Principal R= Riskless Principal 1, Cash C= Cross Trade ng broker for further deteils)

4= Agent for both customer and another person

7= Acts as principal, agent and agent for another person Prime Broker if provided (contact the executi 3= Agent for person other than customer 6= Acts as both principal and agent 3. Margin Short 5. Withholding 4. Incor 5= Principal for some executions and agent for other 8= Crossing as agent 9= Crossing as principal

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:
  1. TD Ameritrade Clearing, Inc., member of FINRA/SIPC acts as the clearing egent.
  2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information
- at the time the trade was placed.

  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

  All transactions are subject to this rules, requisitions, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconstin customer's agreemy trades are unsolicited. The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the secount of the other customers. Such commingling, if any, cases upon payment by you for these securities in the net amount due.

  The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remaineration will be furnished upon request, if not circledy displayed. If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed, if payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to self the securities covered hereby and hold you liable for restribution loss. 5.

- liable for resulting loss.
- lable for resulting loss.

  9. Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Not Standard Assets (NSA), Commission/Fee represents transactions fees applicable to purchases, capital call processing, and redemptions. TD Ameritade will charge a Reg Fee on certain instructions. These charges are typically based on fees assessed under verticus regulations applicable to explicable to transactions. It may include any of the following; a sales fee on certain self transactions, accessed at a rate consistent with Section 1 of Schedule A of FINRA's By-Lawe), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the creign markets or governments, which may be reflected in the price of the security or charged as an independent line item on your monthly statement.

  10. Misc. Fees will be explained upon requises. For transactions involving certain Index Options. TD Ameritade may charge an Index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www.cboe.com. Other Misc. Fees may include Prime Broket fees or Special Sottement fees, where applicable.

  11. If this fransaction involves a doth security that is subject to call or tedemption before maturity, whe called recording the order maturity, the description of a available upon request. On zero coupons, unterest and multiplier securities, no periodic payment of Interest or principal are generally made. These securities may be callable, below maturity value, without notice by mail to bolder unless the securities are held in registered form. On asset bacted dable securities, yields are subject to fluctuation depending on prepayment, specific information.

- beginning.

  The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be
- The firm receives compensation for directing listed and over-ine-counter securities, and uponer securities to the firm inched upon request
   Leveraged and inverse ETFs entail unique risks, including but not limited to: use of leverage; appressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiplies of the performance of a benchmark. Both seek results over perfords see thort as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require solive monitoring and management, as frequently as daily. They are not suitable for all investors.
   Bonds and/or fixed-knowne securities trade differently than equity securities and do not trade on a listed exchange. Rether, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to self your position

- prior to maturity.

  If you request the sale of a nonmarkstable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deaming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is interestable and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sele. To access the Official Statement (e.g., prospectus, program disclosure document, information statement etc.) related to Municipal Bonds, Genorement Sponsored Enterprise Debt, or Federal Agency Securities, please visit the applicable website and enter the CUSIF: Federal Farm Credit Bank (FFCB); www.farmcredit.dc.com, Federal Home Loan Mortgage Association (Farnis Mae); www.farmiemae.com, Government National Mortgage Association (Farnis Mae); www.farmiemae.com, Government National Mortgage Association (White Program Company Comp
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors witting to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 100 of 144

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

PO BOX 2577 - OMAHA NE 68103-2577 Please do not send deposits or correspondence to this address.

> Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

JOHNATHON D WALLACE ROTH IRA TD AMERITRADE CLEARING CUSTODIAN 222 Rockwood Drive Tuscumbia AL 35674

#### **Confirmation Notice**

ACCOUNT NO.		OTHER INFO		TRANSACT	TRANSACTION NUMBER			S	YOUR REPRESENTATIVE			
427-965033-1				47047475713			A TD AMERITRADE					
ACTIVITY	Q	UANTITY	cus	SIP NUMBER	PRIC	;Е /	PRINCIPAL AMOUNT MISC		MISC. FEI	ES REG FEES		
YOU SOLD		6,514 59134N104 1.2402		02	8,078.66			1.04				
AS OF TRADE DA	\TE	TRADE D	)ATE	SETTLEMENT	T DATE	INTE	EREST	COMMISSIO	)N/FEE	NET AMOUNT		
		12/20/2	022	12/22/20:	122			0.00		8,077.62		
TRADE DESCRIPTION	ON .					SYMBOL						
										- 10 1000		

META MATERIALS INC

**MMAT** 

COM

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 101 of 144

#### **Confirmation Notice** Account 427-965033

Page 2 of 2

ACCOUNT CODE: Type of Account CAPACITY CODE: P= Principal R= Riskless Principal C= Cross Trade A= Agent A region to a construction of the context the executing broker for truther details)

3= Agent for person other than customer

4= Agent for both customer and another person

7= Acts as both principal and agent

7= Acts as principal, agent and agent for another person Margin Short
 Withholding 5= Principal for some executions and agent for other 8= Crossing as agent 9= Crossing as principal

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

  1. TO Amentinade Clearing, Inc., member of FinANSIPC acts as the clearing apent.

  2. This confirmation is ransaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information. at the time the trade was placed.
- at the time the trade was placed.

  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

  All transactions are subject to the rules, requisitors, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Visconsin customers' agency trades are unsplicited. The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the account of the other customers. Such commingling, if any, ceases upon payment by you for these securities in the net amount due.

  The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not intendy displayed. If this transaction is a sale and the securities are not already in our possession and do not represent a short sele, please forward them transaction to avoid possible payment of premium on securities borrowed, if payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this fransaction, or at our option, to sell the securities covered hereby and hold your liable for reserve. 5.

- liable for resulting loss.
- liable for resulting loss.

  9. Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Atternative Investments (AI), also called Non Standard Assets (NISA), Commission/Fee represents transaction fees applicable to purchases, capital call processing, and redemptions, TD Ameritade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to manactions. It may include any of the following: a sales fee on certain self transactions (accessed at a rate consistent with Section 1 of Sciedule A of FINRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADIRs that trade in the transactions which may be reflected in the price of the security or charged as an independent line them on your monthly statement.

  10. Misc. Fees will be explained upon request. For transactions structing certain index Options. To Ameritade may charge an index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule, This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www.cbos.com. Other Misc. Fees may include Prime Broket fees or Special Settlement fees, where applicable.

  11. If this fransaction involves a dot security that is subject to call or redemption before maturity, whe called recommend which could differ its yield. Additional information is available upon request. On zero coupons, interest and multiplier securities, no periodic payment of interest or principal are generally made. These securities may be callable, below maturity value, without notice by mail to bolder unless the securities are held in registered form. On asset backed debt securities, yields are subject to fluctuation depending on prepayment, specific information in available upon request. For i

- representation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transport of the firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transport of the firm received for the
- 12. The tim receives compensation for precuring issets and pre-trans-curities setumbes, and upon request furnished upon request for process of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will takely differ in amount and aven direction. These products require sculve monitoring and management, as frequently as daily. They are not suitable for all investors.

  14. Bonds and/or freed-income securities trade differently than equity securities and do not trade on a listed exchange. Rether, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position.

- 14. Bonds and/or fixed-income securiuss trade orinerently trian equity securiles and do not trade on a sisted exchange, Kether, they trade in the UTU (over the counter) market/bace and liquidity may not always exist for you to sell your position prior to makery no requires the sele of a nontranketable or worthless security. TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a safe, you are deeming the security worthless and TD Ameritrade for any insakes no representable in regarding the present or future value of these securities. The transaction is irreversible and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the safe.

  16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.), related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please visit the applicable website and enter the CUSIF: Federal Farm Credit Back (FFGB); www.farmcredit-fact.com, Federal Notional Mortgage Association (Fenrile Mae); www.farmcredit-fact.com, Federal Mortgage Association (Fenrile Mae); www.farmcredit-fact.com, Federal Notional Mortgage Association (Fenrile Mae); www.farmcredit-fact.com, Federal Mortgage Association (Fenrile Mae); www.farmcredit-fac
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the tiquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

1



PO BOX 2577 - OMAHA NE 68103-2577 Please do not send deposits or correspondence to this address. TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

JOHNATHON D WALLACE ROTH IRA TD AMERITRADE CLEARING CUSTODIAN 222 Rockwood Drive Tuscumbia AL 35674

#### **Confirmation Notice**

ACCOUNT NO.	OTHERII	VFO :	TRANSAC	TION NUMBER	CAP CODE	.S 📗 📜	YOUR REPRES	SENTATIVE
427-965033-1			478	10829267	A	TD AME	RITRADE	
ACTIVITY	QUANTITY	CUS	IP NUMBER	PRICE	PRINCIPAL	AMOUNT 4	MISC. FEES	REG FEES
YOU BOUGHT	8,081	59	9134N104	1.00	8,08	1.00	0	0.00
AS OF TRADE DATE	TRADE D	ATE	SETTLEMEN	IT DATE   I	NTEREST	COMMISSIO	ON/FEE	NET AMOUNT
	01/30/20	23	02/01/20	023		0.00		8,081.00

META MATERIALS INC

MMAT

COM

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



**Confirmation Notice** Account 427-965033

Page 2 of 2

ACCOUNT CO	DE: Type of Account		CAPACITY CODE:	
1. Cash 3. Maroin Short	2, Margin Long 4, Income	A= Agent C= Cross Trade P= Principal Prime Broker if provided (contact the executing)		
5. Withholding	6. Special	3= Agent for person other than customer	4= Agent for both customer and enother person	5= Principal for some executions and agent for other
		6≖ Acts as both principal and agent 9= Crossing as principal	7= Acts as principal, agent and agent for another person	8= Crossing as agent

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

  1. TO Ameritade Clearing, Inc., member of FINRAISIPC acts as the clearing agent.

  2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on ties is due on or before settlement date. Certain security information is culiected at the time the confirmation is generated and may vary from the information
- This collimination is a definition in effect, not all invoice. Remaination of recurrings at the time the trade was placed.

  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

  All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its claering house, if any where executed, end of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are unsolicited.

  The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the account of the other customers. Such commingling, if any, ceases upon payment by you for these securities in the real amount due.

- these securities in the net amount due.

  The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remaineration will be furnished upon request, if not greatly displayed.

  If this transaction is a sale and the securities are not stready in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed, if payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.
- liable for resulting loss.

  Commission/Fee represents base commission and any options contract, exercites, and assignment fees. For Alamative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to processing, and redemptions. TD Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to processing, and redemptions. TD Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to postore transactions, it may include any of the following: a sales fee on certain self transactions assessed at a rate consistent with Section 1 of Schedule A of FINRA's By-Lawa), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the period of the security or charged as an index options. The results are processed at a rate consistent with Section 1 of Schedule A of FINRA's By-Lawa), among other charges. Transactions is noteign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the period of the security or charged as an index options. The new tills be reflected upon request. For transactions involving certain Index Options, TD Ameritrade may charge an Index Option Fee In accordance with the Chicago Board Options Exchange (CBCE) Global Markets, Inc. fee schedule. This few ribs be reflected in the Misc. Fee feet Ref. A copy of the CBCE Options Exchange Fee Schedule can also be found on www.cboe.com. Other Misc. Fees may include Prime Broker fees or Special Settlement fees, when applicable upon request. On zero coupons, interest and multiplier securities procedule to manufity the object on the scurities of the securities of principal a

- 12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be
- The firm receives compensation for directing listed and over-the-counter securities, and options order now to serected market centers for execution. The source and nature of payment receives compensation for directing listed and over-the-counter securities, and options order now to serected market centers for execution. The source and nature of payment receives compensation for directing listed and inverse ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Solid seek results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and seven direction. These products require octive monitoring and management, as frequently as dealy. They are not suitable for all investors.
   Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rether, they trade in the OTC (over the counter) marketplace and iquidity may not always exist for you to sell your position.
- prior to maturity.
- prior to maturity.

  If you request the sale of a nonmarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade the transaction is interversible and you will have no further claim to this securities and no claim against TD Ameritrade for any losses related to the sale.

  16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please with the applicable website and enter the CUSIF: Federal Farm Credit Bank (FFGB): www.famcredit.fdc.com, Federal Home Loan Bank (FHLB): www.fithbof.com, Federal Home Loan Mortgage Corporation (Federal Home Loan Mortgage Corporation (Federal Home Loan Mortgage Association (Famile Mae): www.famcredit.fdc.com, Federal Home Loan Bank (FHLB): www.fithbof.com, Federal Home Loan Mortgage Association (Famile Mae): www.famcredit.fdc.com, Federal Home Loan Bank (FHLB): www.fithbof.com, Federal Home Loan Mortgage Association (Famile Mae): www.famcredit.fdc.com, Federal Home Loan Bank (FHLB): www.fithbof.com, Federal Home Loan Mortgage Association (Famile Mae): www.famcredit.fdc.com, Federal Home Loan Bank (FHLB): www.fithbof.com, Federal Home Loan Mortgage Association (Famile Mae): www.famcredit.fdc.com, Federal Home Loan Bank (FHLB): www.fithbof.com, Federal Home Loan Mortgage Association (Famile Mae): www.famcredit.fdc.com, Federal Home Loan Bank (FHLB): www.fithbof.com, Federal Home Loan Mortgage Association (Famile Mae): www.famcredit.fdc.com, Federal Home Loan Bank (FHLB): www.fithbof.com, Federal Home Loan Mortgage Association (Famile Mae): www.famcredit.fdc.com, Federal Home Loan Bank (FHLB): www.fithbof.com, Federal Home Loan Mortgage Association (Federal Home Loan Bank (FHLB): www.fithbof.com, Federal Home Loan Bank (FHLB): www.fithbof.com, Federal Home Loan Bank (FHLB): www.fithbof.com, Federal Ho
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of invastors witting to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may edversely affect the figuidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 104 of 144

PO BOX 2577 - OMAHA NE 68103-2577 Please do not send deposits or correspondence to this address. TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

JOHNATHON D WALLACE ROTH IRA TD AMERITRADE CLEARING CUSTODIAN 102 W PURITAN AVE MUSCLE SHOALS AL 35661-1442

#### **Confirmation Notice**



ACCOUNT NO.	OTHER IN	NFO	TRANSACTION NUMBER			CAP COD	ES	YOUR RI	EPRESENTATIVE:	
427-965033-1				35726816771			TD	AMERITRADE		
ACTIVITY	QUANTITY			PRIC	Æ	PRINCIPAL	L AMOUNT	MISC. F	EES REG FEES	
YOU BOUGHT	32			4.97		159.04			0.00	
AS OF TRADE DATE	E TRADE DA	ATE	SETTLEMEN	T DATE	IN	TEREST	ÇOMMI	ISSION/FEE	NET AMOUNT	
	06/25/20	06/25/2021		06/29/2021			0.00		159.04	

TORCHLIGHT ENERGY RESOURCES IN 1:2 R/S 6/28/21 59134N104

**TRCH** 

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59

Page 105 of 144

**Confirmation Notice** 

Account 427-965033 Page 2 of 2

ACCOUNT CO	E: Type of Account		CAPACITY CODE:	
1. Cash 3. Margin Short	2. Margin Long 4. Income	A= Agent C= Cross Trade P= Principal Prime Broker if provided (contact the executing brok	R= Risidess Principal (er for further details)	
5. Withholding	6. Special	3= Agent for person other than customer	4= Agent for both customer and another person	5= Principal for some executions and agent for other
		6* Acts as both principal and agent 9= Crossing as principal	7× Acts as principal, agent and agent for another person	8. Crossing as agent

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

- S AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HERRIN THAT:

  TO Amenimate Clearing, Inc., member of FinRASIPC acts as the dearing agent. This confinemation is consected at the time the confirmation is generated and may vary from the Information at the time the trade was placed.

  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

  All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or imarket (and as clearing house, if any) where executed, and of any association whose rules and regulations gowen transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are unsolicited. The securities described are, or may be hypothecisted under circumstances which will permit the comminging thereof with securities carried for the account of the other custom comminging, if any, ceases upon payment by you for these securities in the net amount doe.

  The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remineration will be furnished upon request, if not already displayed.

  If this transaction is a safe and the securities are not already in our possession and do not represent a short sale, please forward fruither notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for restributing loss.

- If this transaction is a sake and the securities are not aheady in our possession and do not represent a short sale, please roward men immeritately to would pussible the provided on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sail the securities covered hereby and hold you likely for resulting foos.
   Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transactions. It may include any of the following: a sales fee on certain sell transactions. These charges are typically based on fees assessed under various regulations applicable to prioris transactions, and the Trading Activity Fee (assessed at a rate consistent with Section 3 of the Section 4 of Schodule A of FINA's By-Laws), among other charges. Transactions in foreign executies (including foreign company ADRs that trade in the U.S.) any include axes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent fee item on your morthly statement.
   Misc, Fees will be explained upon request. For transactions involving certain index Options, TO April or Petrol accordance with the Chicago Broard Options Exchange (CBCE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBCE Options Exchange Fee Schedule can also be found on www. close com. Other Misc. Fees may include Prime Broker fees or Special Settlement fees, where applicable.
   For Infection Indexed securities, yelds are subject to fluctuation depending on prepayment specific information involves calable spectralities, below maintry value, without include prime Broker fees or Special Settlement fees, where applicable.
   The film receives compensation for directi

- 14. Bords and/or fixed-income securities trade differently from equity securities and do not trade and a listed exchange. Rather, they trade in the OTC (over the counter) market place and equity may not always exist for you to sell your position prior to maturity.

  15. If you request the sale of a normarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade this meetby makes not expressed in the present or fundable value of these securities. The transaction is inversible and you will have no further dolin to the securities and no claim against TD Ameritrade for any losses related to the sale.

  16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Dest, or Federa Agency Securities, please visit the applicable website and erise the CUSIP: Federal Frame Credit Bank (FFC-B) wew farmored-Hick.com, Federal Home Loan Mortgage Association (Frame Make), www.treditien ac.com, Federal Home Loan Mortgage Association (Frame Make), www.treditien ac.com, Federal Home Loan Mortgage Association (Frame Make), www.treditien ac.com, Federal Home Loan Mortgage Association (Frame Make), www.treditien ac.com, Federal Home Loan Mortgage Association (Frame Make), www.treditien ac.com, Federal Home Loan Mortgage Association (Frame Make), www.treditien ac.com, Federal Home Loan Mortgage Association (Frame Make), www.treditien ac.com, Federal Home Loan Mortgage Association (Frame Make), www.treditien ac.com, Federal Home Loan Mortgage Corporation (Frame Make), www.treditien ac.com, Federal Home Loan Mortgage Corporation (Frame Make), www.treditien ac.com, Federal Home Loan Mortgage Corporation (Frame Make), www.treditien ac.com, Federal Home Loan Mortgage Corporation (Frame Make), www.treditien ac.com, Federal Home Loan Mortgage Corporation (Frame Make), www.treditien ac.com, Federa



PO BOX 2577 - OMAHA NE 68103-2577

Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC. PO BOX 2209 OMAHA NE 68103-2209

Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

JOHNATHON D WALLACE ROTH IRA TD AMERITRADE CLEARING CUSTODIAN 102 W PURITAN AVE MUSCLE SHOALS AL 35661-1442

# Confirmation Notice

#### ACCOUNT NO. OTHER INFO TRANSACTION NUMBER CAP CODES YOUR REPRESENTATIVE 427-965033-1 35613244150 TD AMERITRADE Α ACTIVITY QUANTITY CUSIP NUMBER PRICE PRINCIPAL AMOUNT MISC. FEES REGFEES YOU BOUGHT 4,000 89102U103 34,320.00 0.00 AS OF TRADE DATE TRADE DATE SETTLEMENT DATE INTEREST COMMISSION/FEE **NET AMOUNT** 34,320.00 06/21/2021 06/23/2021 0.00 TRADE DESCRIPTION SYMBOL

TORCHLIGHT ENERGY RESOURCES IN COM

TRCH

T1 110 10 441 1 11

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

ACCOUNT NO. OTHER INFO		IFO TRÂNSA	CTION NUME	BER 🦸 **CAP COI	DES"	YOUR REPRESENTATIVE			
427-965033-1		356	17975298	Α	TD AME	ERITRADE			
ACTIVITY	QUANTITY	CUSIP, NUMBER	PRICE	PRINCIPA	ÀL AMOUNT	MISC: FEES REG F	EES		
YOU BOUGHT	3,300	89102U103	9.80	32,	340.00	0.0	0.00		
AS OF TRADE DATE	TRADE DA	ATE ** SETTLEME	NT DATE	INTEREST	COMMISSIO	ON/FEE NET AMOU	NT		
	06/21/20	21 06/23/2	.021		0.00	32,340.00	)		
TRADE DESCRIPTION			,	SYMBOL :	Acceptance				

TORCHLIGHT ENERGY RESOURCES IN COM

TRCH

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 107 of 144

Confirmation Notice

Page 2 of 2

Account 427-965033

Account cobe: Type of Account
Cach 2. Margin Long
Margin Short 4. Income
Withholding 6. Special CAPACITY CODE: Ps Principal Cs Cross Trade R# Risidess Principa 3. Margin Short 5. Withholding Prime Broker if provided (contact the executing broker for further details) Agent for person other than customer 5= Principal for some executions and agent for other 8- Crossing as agent 4= Agent for both customer and another nerson 7. Acts as principal, agent and agent for another person 6* Acts as both principal and agent 9= Crossing as principal

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

1. TO Amentrade Clearing, Inc., member of FINRA/SIPC acts as the desiring agent.

2. This confirmation is a transaction advice, not an invoice. Remittance of Aura's or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information.

This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is conscious at the time the trade was placed.

You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern bransactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are unsolicited.

The securities described are, or may be hypothecisted under circumstances which will permit the comminging thereof with securities cestoride and or any the hypothecisted under circumstances which will permit the comminging thereof with securities carried for the account of the other customers. Such comminging, if any, ceases upon payment by you for these securities in this not are any or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not already displayed.

It this transaction is a sade and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities covered hereby and hold you liable for resulting loss.

liable for resulting loss.

9. Commission/Fee presents base commission and any options contract, exercise, and assignment fees. For Alternative (nivestments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transactions fees applicable to purchases, capital call processing, and redemptions. TD Amentizade will charge a fixely fee on certain by the contract of the Clouding fees assessed under various regulations applicable to principle and processing, and redemptions. TD Amentizade will charge a fixely because on the contract of the contract of the Clouding fees assessed under various regulations applicable to points require to principle and the principle of the Securities of the Securities (including foreign company ADRs that trade in the U.S.) may include any of the contract of the

12. The firm receives compensation for decorpting state and over-the-counted securities, and options proper invalvable dupon request and inverse ETFs entail unique risks, including but not limited to: use of leverage, aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs entail unique risks, including but not limited to: use of leverage, aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver the opposition of the performance of a benchmark. But seek exists over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require active monitaring and management, as frequently as daily. They are not suitable for all investors.

14. Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over-the counter) marketprace and squidty may not always exist for your to sell your position methods.

14. Hords amon't receive the counter trace differently than equity securities and do not trade on a listed exchange. Rather, they trade in the CTC (ever the counter) marketplace and equity may not always exist for you to sell your position prior to maturity.

15. If you request the sale of a normarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is inversable and you will have no turner dollin to the securities and no claim against TD Ameritrade for any tosses related to the sale.

16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) retailed to Municipal Donds, Government Sponsored Enterprise Dott, or Feberal Agency Securities, pleases the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB); www.farmicredit(tob.com, Federal Home Loan Bank (FFLB); www.finb-of.com, Federal Home Loan Martgage Corporation (Fredits Mac); www.freddien.ac.com, Federal Bonds www.emma.msrb.org. A copy of the Official Statement can be requested by calling 800-669-3900.

accessed that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the inquisity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.



PO BOX 2577 - OMAHA NE 68103-2577

Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC. PO BOX 2209 OMAHA NE 68103-2209

Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

JOHNATHON D WALLACE ROTH IRA TD AMERITRADE CLEARING CUSTODIAN 102 W PURITAN AVE MUSCLE SHOALS AL 35661-1442

### Confirmation Notice



ACCOUNT NO.	OTH	IER INFO	TRANSACTION NUMBER			CAP CODES		YOUR REPRESENTATIVE			
427-965033-1			35444897938			Α		TD AMERITRADE			
ACTIVITY	QUANTIT	Y CUS	IP NUMBER	3.42		PRINCIPAL AMO 7,342.74		AMOUNT MISC.		REG FEES	
YOU BOUGHT	2,147	89	9102U103							0.00	
AS OF TRADE DATE	TRA	DE DATE	SETTLEMENT DATE		INTEREST		CC	COMMISSION/FEE		NET AMOUNT 7,342.74	
	06/	06/14/2021 06/16/2		021				0.00			
TRADE DESCRIPTION				(P)4	SYMBO			12 × 44 E		7	

TORCHLIGHT ENERGY RESOURCES IN COM

TRCH

_...

THIS IS AN UNSOLICITED TRADE FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

ACCOUNT NO.	OTHER I	NFO .	TRANSAC	TION NUMBER 44897942		A PRINCIPAL A		YOUR REPRESENTATIVE TD AMERITRADE			ENTATIVE
427-965033-1			3544								
ACTIVITY (	YTITMAU	<b>UANTITY CUS</b> 1,853 89		PRIC	E .			DUNT	MISC. FEES		REG FEES
YOU BOUGHT	1,853			3.41		6,318.7			0		0.00
AS OF TRADE DATE	TRADE D	<b>TRADE DATE</b> 06/14/2021		<b>SETTLEMENT DATE</b> 06/16/2021		INTEREST		COMMISSION/FEE		NET AMOUNT	
	06/14/20						0.00			6,318.73	
TRADE DESCRIPTION		ALC:			SYMBO	L	Tues.				

TORCHLIGHT ENERGY RESOURCES IN COM

TRCH

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 109 of 144

Confirmation Notice Account 427-965033

Page 2 of 4

										raye z	01 4	
ACCOUNT NO. OTHER INFO		NFO	TRANSACTION NUMBER			CAP CODI	ES	YOUR REPRESENTATIVE				
427-965033-1				3544	14904929		А		TD AME	RITRADE		
ACTIVITY	QI	JANTITY	cus	IP NUMBER	PRIC	Œ	PRINCIPAL	- AMO	UNT	MISC. FEES	REG FEE	S
YOU BOUGHT		2,037	8	9102U103	3.4	1	6,94	6.17		, 0	0.00	
AS OF TRADE DAT	Έ	TRADE D	ATE	SETTLEMEN	T DATE	iN	TEREST	COI	MMISSIC	N/FEE	NET AMOUNT	
		06/14/20	)21	06/16/20	)21				0.00		6,946.17	
TRADE DESCRIPTIO	N	e de la companya de La companya de la companya de l	40	4 / 1		SYMBO	DL.					1.46
TORCHLIGHT ENERG	GY R	ESOURCES	IN			TRCH						

COM

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

ACCOUNT NO.	OTHER	INFO	TRANSACT	TON NUI	/IBER	CAP CODES YOUR REPR			PRESENTATIVE	
427-965033-1			3544	5514995		Α	TD AMERITRADE			
ACTIVITY	QUANTITY	cus	SIP NUMBER	PRIC	Œ	PRINCIPAL A	MOUNT	MISC. FEES	REG FEES	- (c) (d)
YOU BOUGHT	963	8	91020103	3.3	8	3,254.9	94	0	0.00	
AS OF TRADE DATE	E TRADE	DATE	SETTLEMENT	DATE	INTI	EREST	COMMISSIO	ON/FEE	NET AMOUNT	
	06/14/	2021	06/16/202	21			0.00		3,254.94	
TRADE DESCRIPTION	1			7.75	SYMBOL					**

TORCHLIGHT ENERGY RESOURCES IN COM

TRCH

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

ACCOUNT NO.	800000000	OTHER IN	OTHER INFO TRANSAC		CTION NUMBER CAP C		ES	YOUR REPRE	SENTATIVE
427-965033-1				3545	54295197	Α	TD AME	RITRADE	
ACTIVITY	ฉั	ANTITY	cus	IP NUMBER	PRICE	PRINCIPAL	. AMOUNT	MISC. FEES	REG FEES
YOU SỌLD		7,000	8	9102U103	3.52	24,64	10.00	0	0.96
AS OF TRADE DAT	E	TRADE DATE		SETTLEMENT DATE		INTEREST	COMMISSIO	ON/FEE	NET AMOUNT
		06/14/20:	21	06/16/20	021		0.00		24,639.04
TRADE DESCRIPTIO	N					SYMBOL	1.4		
TOPCHI IGHT ENED	CV DE	SOUDCES	INI			TDCU			

TORCHLIGHT ENERGY RESOURCES IN

TRCH

COM

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 110 of 144

**Confirmation Notice** 

Account 427-965033 Page 3 of 4

ACCOUNT CODE: Type of Account
Costs 2. Margin Long
Margin Short 4. Income CAPACITY CODE: A= Agent C≃ Cross Trade P= Principal R= Risidess Principa 3. Margin Short 5. Withholding A= Agent C= Cross (race P= Principal R= Nikeles Principal Prime Broker if provided (contact the executing broker for further details) 3= Acent for person other than customer 4= Agent for both 6. Special 4= Agent for both customer and another nerson 5= Principal for some executions and agent for other 8- Crossing as agent 6= Ads as both principal and agent 7= Acts as principal, agent and agent for another person

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT;
1. TO Ameritade clearing, Inc., member of FINRA/SIPC acts as the clearing agent.
2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information

2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is conscised at the time the trade was placed.

3. You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

4. Alt transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange ormarket (and its clearing house, if any) where executed, and of any associations in vivour rules and regulations govern transactions in said market, and to all the terms of our general customers's agreements between you and us. Wisconsin customers' agency trades are unsolicited.

5. The securities described are, or may be hypothecated under circumstances which will permit the comminging thereof with securities carried for the account of the other customers. Such comminging, if any, ceases upon payment by you for these securities in the net amount doe.

6. The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not already displayed.

7. If this transaction is a sade and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.

8. If payment is not made on or prior to the date required by Regulation T or the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sail the securities covered hereby and hold you liable for resulting loss.

It payment is not made on or prior to the acts required by Regulation 1 of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or a pur origion, to sail the securities covered hereby and hald you liable for resulting loss.

Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative (investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capitat call processing, and redemptions. To Amentrade will charge a Reg Fee on certain bransactions. It may include any of the Official Reserve Board, and redemptions. To Amentrade will interpreted in the Stockhole programments, which may be retireted in the price of the securities Exchange Act of 1930, the Official Reserve Board, and of FINRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the tradig markets or governments, which may be retireted in the price of the security or charged as interpreted in the Price of the securities of the securities in Interpreted in the Price of the securities of the

14. Borns among recommon secumes trace ownersmy than equity securities and on not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketpase and equity may not always exist for you to sell your position prior to making.

15. If you request the sale of a normarketable or worthless security, TD Ameritrade while be the buyer in the bransaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The bransaction is ineversible and you will have no further dalin to the securities and on claim against TD Ameritrade for any tosses related to the sale.

16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Dott, or Federal Agency Securities, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB), www.farmored#ffcb.com, Federal Home Loan Bank (FFLB), www.fitb-of.com, Federal Home Loan Mortgage Corporation (Fredite Mac), www.freddien.ac.com, Federal National Mortgage Association (Farmie Mae); www.freddien.ac.com, Government National Mortgage Assoc

800-689-3900. The security that you purchased in ay have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may extersely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

Page 4 of 4

THIS PAGE IS INTENTIONALLY LEFT BLANK



PO BOX 2577 - OMAHA NE 68103-2577

Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

JOHNATHON D WALLACE ROTH IRA TD AMERITRADE CLEARING CUSTODIAN 102 W PURITAN AVE MUSCLE SHOALS AL 35661-1442

### **Confirmation Notice**



ACCOUNT NO.		OTHER IN	1FO	TRANSAC	TION NUM	<b>IBER</b>	CAP CODE	ES 📗	YOUR REPRE	ESENTATIVE
427-965033-1				3535	58225155		Α	TD AME	ERITRADE	
ACTIVITY	, QU	ANTITY	CUS	IP NUMBER	PRIC	E"	PRINCIPAL	AMOUNT	MISC. FEES	REG FEES
YOU SOLD		700	89	9102U103	3.1	· L .	2,177	7.00		0.09
AS OF TRADE DATE	Ě	TRADE D	ATÉ	SETTLÉMEN	T DATE	INT	EREST.	COMMISSIO	ON/FEE	NET AMOUNT
		06/09/20	)21	06/11/20	)21			0.00		2,176.91
RADE DESCRIPTION	<b>V</b> -4		79.249	200	7.00	SYMBO	L'agrantina			

TORCHLIGHT ENERGY RESOURCES IN

**TRCH** 

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

ACCOUNT NO.	OTHER IN	NFO TRANSA	ACTION NUM	IBER	CAP COD	ES 💮	YOUR REPR	RESENTATIVE	
427-965033-1		35	358387837		Α	TD AM	ERITRADE		
ACTIVITY	QUANTITY	CUSIP NUMBER	PRIC	E	PRINCIPAL	. AMOUNT	MISC. FEE	S REG FEES	
YOU SOLD	1,000	30231G102	62.9	3	62,93	30.00	0	0.44	
AS OF TRADE DATE	TRADE D	ATE SETTLEM	ENT DATE	INT	EREST	COMMISSI	ON/FEE	NET AMOUNT	
	06/09/20	06/11/	/2021			0.00		62,929.56	
TRADE DESCRIPTION				SYMBO	L 🐫 🗼				
EVVON MODIL CODDODATION					VOM				

EXXON MOBIL CORPORATION

XOM

COM

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59

Page 113 of 144

Confirmation Notice

Account 427-965033 Page 2 of 2

				<u> </u>
ACCOUNT COL	E: Type of Account		CAPACITY CODE:	
1. C3sh	2. Margin Long	A= Agent C= Cross Trade P= Principal	R= Risidess Principal	
3. Margin Short	4, Income	Prime Broker if provided (contact the executing i	proker for further details)	
5. Withholding	6. Special	3= Agent for person other than customer	4= Agent for both customer and another person	5= Principal for some executions and agent for other
_		6= Acts as both principal and agent	7ª Acts as principal, agent and agent for another person	8. Crossing as agent
ı		9= Crossing as principal	, , , , , , , , , , , , , , , , , , , ,	:

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

This confirmation is a transaction address not an invideor. Acts as not extend and may vary from the Information is a transaction address not an invideor. Remittance of fund may vary from the Information is a transaction address not an invideor. Remittance of fund may vary from the Information is consistent and invideor and invideor. The information is consistent and invideor and invited an

1. Til Ameritrade Clearing, Inc., member of FINEACSIPC acts as the desiring agent.
2. This comfination is a transaction advice, not an invoice, Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the trade was placed.
3. You will report any errors immediately anator notify us if not entirely in accordance with your understanding.
4. All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing rouse, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between the value of the commission, whose rules and regulations govern transaction is not all market, and to all the terms of our general customers agreements between you and us. Wisconsin customers' agreements between you and us. Wisconsin customers' agreements between you and us. Wisconsin customers' agreements between your and us. Wisconsin customers' agreements between your and us. Wisconsin customers' agreements between your properties and the securities described are, or may be hypothecated under circumstances which will premit the occurrence of the other party or brake in the transaction, and the properties agreement and the securities described and the properties of the other party or brake in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not already displayed.

5. The name of the other party or brake in the transaction of the party or party or brake in the securities and the party or brake of the

furth sted upon request

1. Leveraged and inverse ETFs entail unique risks, including but not limited to: use of leverage, aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require active monitoring and management, as frequently as daily. They are not suitable for all investors.

14. Bonds and/or fixed-income securities trade differently then equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and figurity may not always exist for you to sell your position

16. Or consist and or mechanise securities and an extensive for you to sell your position prior to make the country manifer an active, the present or future value of these securities and DA Ameritade will be the buyer in the cransaction in the event a market cannot be located. In such a sale, you are deeming the securities and TD Ameritade will be the buyer in the cransaction in the event a market cannot be located. In such a sale, you are deeming the securities and TD Ameritade will be the buyer in the cransaction in the event a market cannot be located. In such a sale, you are deeming the securities and TD Ameritade will be sale.

16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Brods, Government Sponsored Enterprise Dett, or Federal Agency Securities, please visit the applicable website and enter the CUSRY: Federal Farm Credit Bank (FFCB); www.farmiced#fcb.com, Federal Home Loan Bank (FHLB); www.fitb-of.com, Federal Home Loan Mortgage Corporation (Frodde Mac); www.frediten.ac.com, Federal Mortgage Association (Farnice Mae); www.farmiceac.com, Government National Mortgage Association, www.granienae.gov, Municipal Bonds www.emma.misto.org. A copy of the Official Statement can be requested by calling 800-669-3910.

17. The security that you purchased in ay have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may enversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach may internal enversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach may internal enversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach may internal enversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach may internal enversely affect the liquidity of the position unless you have other securities.



PO BOX 2577 - OMAHA NE 68103-2577 Please do not send deposits or correspondence to this address. TD AMERITRADE, INC. PO BOX 2209 OMAHA NE 68103-2209

Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

JOHNATHON D WALLACE ROTH IRA TD AMERITRADE CLEARING CUSTODIAN 102 W PURITAN AVE MUSCLE SHOALS AL 35661-1442

## **Confirmation Notice**



ACCOUNT NO.	が (できない) ・ なんが (できない)	OTHER IN	IFO !	TRANSACT	TION NUM	ABER 🤾	CAP COD	ES 🖔	3. 4	YOUR RE	PRESE	NTATIVE .
427-965033-1				3528	88824017		Α		TD AME	RITRADE		
ACTIVITY	∥″ Q	UANTITY	CUS	SIP NUMBER	PRIC	CE "	PRINCIPA	L AMO	TNUC	MISC. FE	ES 🖟	REG FEES
YOU BOUGHT		700	85	9102U103	3.18	98	2,23	32.86				0.00
AS OF TRADE DAT	TE	TRADE DA	ATE.	SETTLEMEN	T DATE	INT	EREST	√ cc	MMISSIO	N/FEE	١	NET AMOUNT
		06/07/202	21	06/09/20	)21				0.00			2,232.86
TRADE DESCRIPTIO	אכ	10.00	7 7 7 9		T. Francis	SYMBOL						
TODOLULICUT ENED	201/ 5	TOOLIDOEO	2000 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	ESSENCE A PROPERTY OF THE PROP	198 B) (21 B) (210H)	TDALL	Name of the contract of the co	COSTA	Control of Section Control	management of the plant of the	Ti degen ku er predon	ASSESSMENT OF THE PROPERTY OF

TORCHLIGHT ENERGY RESOURCES IN COM

TRCH

CON

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



### Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 115 of 144

#### **Confirmation Notice** Account 427-965033

Page 2 of 2

ACCOUNT CODE: Type of Account	CAPACITY CODE:	
Cash     Cash     Margin Long     Margin Short     Mithholding     Cash     Cas	A= Agent C= Cross Trade P= Pincipal R= Riskless Principal Prine Broker f provided (contact the executing broker for further details) 3= Agent for person other than customer 4= Agent for both customer and another person 6= Acts as both principal and agent 7= Acts as principal, agent and agent for another person 9= Crossing as principal	5= Principal for some executions and agent for other 8- Crossing as agent

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

1. TD Ameritrade Clearing, Inc., member of FinRA/SIPC acts as the clearing agent.

2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the Information. at the time the trade was placed.

al the time the trade was placed.
You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.
All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern bransactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' eigency trades are unsolicited. The securities described are, or may be imported ead under circumstances which will permit the comminging there of with securities carried for the other customers. Such comminging, if any, ceases upon payment by you for trades securities in the net amount due.

The name of the other party or broker in the bransaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not already displayed.

If this bransaction is a safe and the securities are not already in our possession and do not represent a short safe, please forward them immediately to avoid possible payment of premium on securities borrowed.

If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you

If payment is not made liable for resulting loss.

liable for resulting loss.

Commission fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital call processing, and redemptions. TO Amentrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to purchases, capital call processing, and redemptions. TO Amentrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to options transactions, and the following: a sales fee on certain sell transactions (assessed at a rate consistent with Section 1 of Schedule Aof FinNA's GyLaws), among other charges. Transactions in foreign accuration (assessed at a rate consistent with Section 1 of Schedule Aof FinNA's GyLaws), among other charges. Transactions in foreign accurating (including toneign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line liter on your morthly statement.

Misc. Fees will be explained upon request. For bransactions involving exertial index Options, TO Amenrade may charge and index Options Pickharge (CBOE) Clotal Markets, Inc. fee schedule. This fee will be explained upon request. For bransactions involving exertain index Options, TO Amenrade may charge and index Options bechange (CBOE) Clotal Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee may include Prime Broker fees or Special Settlement fees, where applicable.

The first form indexed securities yield to maturity may vary due to inflation adjustment's if the transaction involves catable securities, between source of maturity value, without notice by mail to indeer unless the securities are held in registered from. On a seet backed debt securiti

12. The firm receives compensation for directing fisted and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be furnished upon request

13. Leveraged and inverse ETFs entail unique risks, including but not limited to: use of leverage, aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. So have seen decident in amount and even direction. These products require active monitaring any management, as frequently as day. They are not suitable to mail investors.

14. Bords and/or fixed-income securities trade differently (nan equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and equity may not always exist for you to sell your position prior to maturity.

15. If you request the sale of a normarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby markets no representation regarding the present or future value of these securities. The transaction is ineversible and you will have no further dain to the securities and no claim against TD Ameritrade to the case.

16. To access the Official Statement (e.g., prospectus, program disclosure document, internation statement, etc.) related to Municipal Brods, Government's Sprospect program descouser, internation statement and the options of the program descouser, explicated to the case.

18. To access the Official Statement (e.g., prospectus, program descouser, etc.) related to Municipal Brods, Government Sprospect of Emprise Declay of Teedral Americal and enter the CUSIP: Federal Farm Cre

17. The security that you purchased in ay have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

# Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 116 of 144

Date	Action	Symbol	Description	Quantity	Price	Fees & Comm	Amount
1/29/2024	Reverse Split	MMAT	META MATLS INC	20			
11/6/2023	Journaled Shares	MMAT	TDA TRAN - TRANSFER OF SECURITY OR OPTION OUT (MMAT) 100:1 REVERSE	-2,000		,	
11/6/2023	Internal Transfer	MMAT	META MATLS INC	2,000			-
3/6/2023	Buy	MMAT	TDA TRAN - Bought 2000 (MMAT) @0.5930	2,000	\$0.59		(\$1,186.00)
	Sell	MMAT	TDA TRAN - Sold 27163 (MMAT) @1.2400	-27,163	\$1.24	\$4.30	\$33,677.82
12/14/2022	Buy	MMAT	TDA TRAN - Bought 27163 (MMAT) @1.3900	27,163	\$1.39		(\$37,756.57)
12/9/2022	Sell	MMAT	TDA TRAN - Sold 26591 (MMAT) @1.4200	-26,591	\$1.42	\$4.32	\$37,754.90
5/23/2022	Buy	MMAT	TDA TRAN - Bought399 (MMAT) @1.9500	399	\$1.95		(\$778.05)
5/23/2022	Buy	MMAT	TDA TRAN - Bought 19000 (MMAT) @1.9800	19,000	\$1.98		(\$37,620.00)
11/16/2021	Buy	MMAT	TDA TRAN - Bought 7192 (MMAT) @4.4999	7,192	\$4.50		(\$32,363.28)
11/16/2021	Sell	MMAT	TDA TRAN - Sold 7226 (MMAT) @4.3001	-7,226	\$4.30	\$1.02	\$31,071.50
	Sell	MMAT	TDA TRAN - Sold300 (MMAT) @4.3050	-300	\$4.31	\$0.05	\$1,291.45
6/30/2021	Sell	MMAT	TDA TRAN - Sold 5 (MMAT) @6.9900	-5	\$6.99		\$34.95
6/28/2021	Journaled Shares	MMAT	TDA TRAN - MANDATORY REVERSE SPLIT (MMAT) 2:1	7,531			
						,	
6/25/2021	BUY		BOUGHT	62	\$ 4.99000		(\$309.38)
	BUY		BOUGHT	10000	\$ 8.05000	·	(\$80,500.00)
	BUY		BOUGHT	2000	\$10.38000		(\$20,760.00)
6/22/2021	BUY	TRCH	BOUGHT	3000	\$10.80000		(\$32,400.00)
6/21/2021	SOLD	TRCH	SOLD	-7572	\$ 9.54000	1.28	\$72,235.60
6/21/2021	SOLD	TRCH	SOLD	-6328	\$ 9.55000	1.06	\$60,431.34
	SOLD	TRCH	SOLD	-100	\$ 9.55500	0.01	\$955.49
	BUY	<del></del>	BOUGHT	4000	\$ 4.81000		(\$19,240.00)
6/15/2021	BUY	TRCH	BOUGHT	10000	\$ 5.00000		(\$50,000.00)

Total \$ (75,460.23)



### Contributory IRA of

JOHNATHON D WALLACE CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY

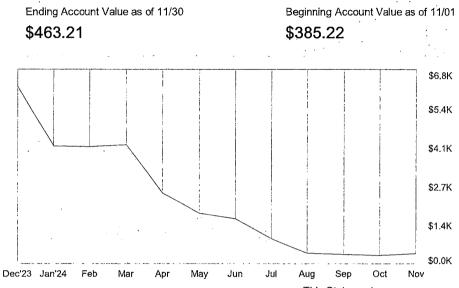
Account Number

Statement Period

8866-2550

November 1-30, 2024

# **Account Summary**



<b>V</b>	This Statement	YTD
Beginning Value	\$385.22	\$6,264.14
Deposits	0.00	0.00
Withdrawals	0.00	0.00
Dividends and Interest	0.00	0.00
Transfer of Securities	0.00	0.00
Market Appreciation/(Depreciation)	77.99	(5,800.93)
Expenses	0.00	0.00
Ending Value ^w	\$463.21	\$463.21

Account Ending Value reflects the market value of your cash and investments. It does not include pending transactions, unpriced securities or assets held outside Schwab's custody.

#### Manage Your Account

### **Customer Service and Trading:**

Call your Schwab Representative 1-800-435-4000 24/7 Customer Service

For the most current records on your account visit schwab.com/login. Statements are archived up to 10 years online.

#### **Commitment to Transparency**

Client Relationship Summaries and Best Interest disclosures are at schwab.com/transparency. Charles Schwab & Co., Inc. Member SIPC.

#### **Online Assistance**

₩ Visit us online at schwab.com

Visit schwab.com/stmt to explore the features and benefits of this statement.

JOHNATHON D WALLACE
CHARLES SCHWAB & CO INC CUST
IRA CONTRIBUTORY
222 ROCKWOOD DRIVE
TUSCUMBIA AL 35674



## Contributory IRA of

JOHNATHON D WALLACE CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY

Asset Allocation		
	This Period	Current Allocation
Cash and Cash Investments	0.47	<1%
Equities	462.74	100%
Total	\$463.21	100%

Top Accou	ınt Holdings This Period		
SYMBOL CUSIP	Description	Market Value	% of Accounts
GTII	GLOBAL TECH INDS GROUP I	419.68	91%
	GLOBAL TECH INDS GP	41.84	9%
MMATQ	META MATLS INC	1.22	<1%
	TD BANK NA	0.47	<1%

Gain or (Loss) Summar	y		
	A	II Positions	
	Gain	(Loss)	Net
This Period	0.00	0.00	0.00
YTD	0.00	0.00	0.00
Unrealized		, - ,	(\$32,153.46)

Values may not reflect all of your gains/losses; Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis may be incomplete or unavailable for some of your holdings and may change or be adjusted in certain cases. Please login to your account at Schwab.com for real-time gain/loss information. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional information refer to Terms and Conditions.

Statement Period
November 1-30, 2024

Total YTD (\$)	0.00	0.00
Contributions	2023	2024
Retirement Details		

## Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 119 of 144



### Contributory IRA of

JOHNATHON D WALLACE CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY.

Statement Period

November 1-30, 2024

# Positions - Summary

Beginning Value Transfer of Change in Dividends **Ending Value** Unrealized as of 11/01 Securities(In/Out) Reinvested Cash Activity Market Value as of 11/30 Cost Basis Gain/(Loss) \$385.22 \$0.00 \$0.00 \$77.99 \$0.00 \$463.21 \$32,574.36 i (\$32,153,46)

Values may not reflect all of your gains/losses; Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis may be incomplete or unavailable for some of your holdings and may change or be adjusted in certain cases. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional information refer to Terms and Conditions.

## Cash and Cash Investments

Total Cash	and Cash	Investments			\$0.47	\$0.47	\$0.00			<1%
Bank Sweep		TD BANK NA ^{X,Z}			0.47	0.47	0.00		0.10%	<1%
Туре	Symbol	Description	Quantity	Price(\$)	Beginning Balance(\$)	Ending Balance(\$)	Change in Period Balance(\$)	Pending/Unsettled Cash(\$)	Interest/ Yield Rate	% of Acct

## Positions - Equities

Symbol	Description	Quantity	Price(\$)	Market Value(\$)	Cost Basis(\$)	Unrealized Gain/(Loss)(\$) E	st. Yield	Est. Annual Income(\$)	% of Acct
	GLOBAL TECH INDS GP	1,390.0000	0.03010	41.84	N/A i	N/A i	N/A	0.00	9%
GTII	GLOBAL TECH INDS GROUP I	13,943.0000	0.03010	419.68	31,388.36 ^t	(30,968.68)	N/A	0.00	91%
MMATQ	META MATLS INC	20.0000	0.06100	1.22	1,186.00	(1,184.78)	N/A	0.00	<1%
Total E	quities			\$462.74	\$32,574.36	(\$32,153.46)	, .	\$0.00	100%

# Positions - Unpriced Securities

Symbol	Description	Quantity	Price(\$)	Market Value(\$)	Cost Basis(\$)	Unrealized Gain/(Loss)(\$) Est. Yield	Est. Annual Income(\$)
	NEXT BRIDGE HYDROCARBONS	15,000.0000			N/A i	N/A i	
Total U	Inpriced Securities		,	\$0.00	\$0.00	\$0.00	\$0.00

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.



### Contributory IRA of

JOHNATHON D WALLACE CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY

Statement Period November 1-30, 2024

# Transactions - Summary

Beginning Cash* as of 11/01 +	D.eposits	+ Withdrawals	+	Purchases	+	Sales/Redemptions	+ Dividends/Interest +	Expenses	= Ending Cash* as of 11/30
\$0.47	\$0.00	\$0.00		\$0.00		\$0.00	\$0.00	\$0.00	\$0.47

Other Activity \$0.00

Other activity includes transactions which don't affect the cash balance such as stock transfers, splits, etc.

# **Bank Sweep Activity**

Date Description	Amount	Date Description	Amount	Date Description	Amount
11/01 Beginning Balance X,Z	\$0.47	11/30 Ending Balance ^{x,z}	\$0.47	11/29 Interest Rate *Z	0.10%

^{*} Your interest period was 10/16/24 - 11/15/24. Z

### **Endnotes For Your Account**

- W Excluding unpriced securities (see Investment Detail).
- Value includes incomplete, missing or cost basis that is not tracked due to the security type. If cost basis is not available for an investment, you may be able to provide updates. For questions, please refer to the contact information on the first page of this statement.
- t Data for this holding has been edited or provided by a third party.

- X Bank Sweep deposits are held at FDIC-insured Program Banks, which are listed in the Cash Features Disclosure Statement.
- Z For the Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its Program Banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.

## Terms and Conditions

GENERAL INFORMATION AND KEY TERMS: This Account statement is furnished solely by Charles Schwab & Co., Inc. ("Schwab") for your Account at Schwab ("Account"). Unless otherwise defined herein, capitalized terms have the same meanings as in your Account Agreement. If you receive any other communication from any source other than Schwab which purports to represent your holdings at Schwab (including balances held at a Depository Institution) you should verify its content with this statement. Accrued Income: Accrued Income is the sum of the total accrued interest and/or accrued dividends on positions held in your Account, but the interest and/or dividends have not been received into your Account. Schwab makes no representation that the amounts shown (or any other amount) will be received. Accrued amounts are not covered by SIPC account protection until actually received and held in the Account. AIP (Automatic Investment Plan) Customers: Schwab receives remuneration in connection with certain transactions effected through Schwab. If you participate in a systematic investment program through Schwab, the additional information normally detailed on a trade confirmation will be provided upon request. Average Daily Balance: Average daily composite of all cash balances that earn interest and all loans from

Schwab that are charged interest. Bank Sweep and Bank Sweep for Benefit Plans Features: Schwab acts as your agent and custodian in establishing and maintaining your Deposit Account(s) as a feature of your brokerage Account(s). Deposit accounts held through these bank sweep features constitute direct obligations of one or more FDIC insured banks ("Program Banks") that are not obligations of Schwab. Funds sweep to Program Banks are eligible for deposit insurance from the FDIC up to the applicable limits for each bank for funds held in the same insurable capacity. The balance in the Deposit Accounts can be withdrawn on your order and the proceeds returned to your brokerage Account or remitted to you as provided in your Account Agreement. For information on FDIC insurance and its limits, as well as other important disclosures about the bank sweep feature(s) in your Account(s), please refer to the Cash Features Disclosure Statement available online or from a Schwab representative. Cash: Any Free Credit Balance owed by us to you payable upon demand which, although accounted for on our books of record, is not segregated and may be used in the conduct of this firm's business. Dividend Reinvestment Customers: Dividend reinvestment transactions were effected by Schwab acting as a principal for its own account,

^{*}Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund. 🕟



### Contributory IRA of

JOHNATHON D WALLACE CHARLES SCHWAB & CO INC CUST IRA CONTRIBUTORY

Statement Period

November 1-30, 2024

## Terms and Conditions (continued)

except for the reinvestment of Schwab dividends, for which an independent broker-dealer acted as the buying agent. Further information on these transactions will be furnished upon written request. Gain (or Loss): Unrealized Gain or (Loss) and Realized Gain or (Loss) sections ("Gain/Loss Section(s)") contain a gain or a loss summary of your Account. This information has been provided on this statement at the request of your Advisor, if applicable. This information is not a solicitation or a recommendation to buy or sell. Schwab does not provide tax advice and encourages you to consult with your tax professional. Please view the Cost Basis Disclosure Statement for additional information on how gain (or loss) is calculated and how Schwab reports adjusted cost basis information to the IRS. Interest: For the Schwab One Interest, Bank Sweep, and Bank Sweep for Benefit Plans features, interest is paid for a period that may differ from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its Program Banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period. For the Schwab One Interest feature, interest accrues daily from the second-to-last business day of the prior month and is posted on the second-to-last business day of the current month. For the Bank Sweep and Bank Sweep for Benefit Plans features, interest accrues daily from the 16th day of the prior month and is credited/posted on the first business day after the 15th of the current month. If, on any given day, the interest that Schwab calculates for the Free Credit Balances in the Schwab One Interest feature in your brokerage Account is less than \$,005, you will not accrue any interest on that day. For balances held at banks affiliated with Schwab in the Bank Sweep and Bank Sweep for Benefit Plans features, interest will accrue even if the amount is less than \$.005. Margin Account Customers: This is a combined statement of your margin account and special memorandum account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your inspection. Securities purchased on margin are Schwab's collateral for the loan to you, It is important that you fully understand the risks involved in trading securities on margin. These risks include: 1) You can lose more funds than you deposit in the margin account; 2) Schwab can force the sale of securities or other assets in any of your account(s) to maintain the required account equity without contacting you; 3) You are not entitled to choose which assets are liquidated nor are you entitled to an extension of time on a margin call; 4) Schwab can increase its "house" maintenance margin requirements at any time without advance written notice to you. Market Price: The most recent price evaluation available to Schwab on the last business day of the report period, normally the last trade price or bid as of market close. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties, Schwab shall have no responsibility for the accuracy or timeliness of any such valuations. Assets Not Held at Schwab are not held in your Account or covered by the Account's SIPC account protection and are not otherwise in Schwab's custody and are being provided as a courtesy to you. Information on Assets Not Held at Schwab, including but not limited to valuations, is reported solely based on information you provide to Schwab. Schwab can neither validate nor certify the existence of Assets Not Held at Schwab or the accuracy, completeness or timeliness of the information about Assets Not Held at Schwab, whether provided by you or otherwise. Descriptions of Assets Not Held at Schwab may be abbreviated or truncated. Some securities, especially thinly traded equities in the OTC market or foreign markets, may not report the most current price and are indicated as Stale Priced. Certain Limited Partnerships (direct participation programs) and unlisted Real Estate Investment Trust (REIT) securities, for which you may see a value on your monthly Account statement that reflects the issuer's appraised estimated value, are not listed on a national securities exchange, and are generally illiquid. Even if you are able to sell such securities, the price received may be less than the per share appraised estimated value provided in the account statement. Market Value: The Market Value is computed by multiplying the Market Price by the Quantity of Shares. This is the dollar value of your present holdings in your specified Schwab Account or a summary of the

Market Value summed over multiple accounts. Non-Publicly Traded Securities: All assets shown on this statement, other than certain direct investments which may be held by a third party, are held in your Account. Values of certain Non-Publicly Traded Securities may be furnished by a third party as provided by Schwab's Account Agreement. Schwab shall have no responsibility for the accuracy or timeliness of such valuations. The Securities Investor Protection Corporation (SIPC) does not cover many limited partnership interests. Schwab Sweep Money Funds: Includes the primary money market funds into which Free Credit Balances may be automatically invested pursuant to your Account Agreement. Schwab or an affiliate acts and receives compensation as the Investment Advisor, Shareholder Service Agent and Distributor for the Schwab Sweep Money Funds. The amount of such compensation is disclosed in the prospectus. The yield information for Schwab Sweep Money Funds is the current 7-day yield as of the statement period. Yields vary, If on any given day, the accrued daily dividend for your selected sweep money fund as calculated for your account is less than ½ of 1 cent (\$0.005), your account will not earn a dividend for that day. In addition, if you do not accrue at least 1 daily dividend of \$0.01 during a pay period, you will not receive a money market dividend for that period. Schwab and the Schwab Sweep Money Funds investment advisor may be voluntarily reducing a portion of a Schwab Sweep Money Fund's expenses. Without these reductions, yields would have been lower, Securities Products and Services: Securities products and services are offered by Charles Schwab & Co., Inc., Member SIPC. Securities products and services, including unswept intraday funds and net credit balances held in brokerage accounts are not deposits or other obligations of, or guaranteed by, any bank, are not FDIC insured, and are subject to investment risk and may lose value. SIPC does not cover balances held at Program Banks in the Bank Sweep and Bank Sweep for Benefit Plans features. Please see your Cash Feature Disclosure Statement for more information on insurance coverage. Yield to Maturity: This is the actual average annual return on a note if held to maturity. IN CASE OF ERRORS OR DISCREPANCIES: If you find an error or discrepancy relating to your brokerage activity (other than an electronic fund transfer) you must notify us promptly, but no later than 10 days after this statement is sent or made available to you. If this statement shows that we have mailed or delivered security certificate(s) that you have not received, notify Schwab immediately. You may call us at 800-435-4000. (Outside the U.S., call +1-415-667-8400.) If you're a client of an independent investment advisor, call us at 800-515-2157. Any oral communications should be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). If you do not so notify us, you agree that the statement activity and Account balance are correct for all purposes with respect to those brokerage transactions. IN CASE OF COMPLAINTS: If you have a complaint regarding your Schwab statement, products or services, please write to Client Service & Support at Charles Schwab & Co., Inc., P.O. Box 982603 El Paso, TX 79998-2603, or call customer service at 800-435-4000. (Outside the U.S., call +1-415-667-8400.) If you're a client of an independent investment advisor, call us at 800-515-2157. Address Changes: If you fail to notify Schwab in writing of any change of address or phone number, you may not receive important notifications about your Account, and trading or other restrictions might be placed on your Account, Additional Information: We are required by law to report to the Internal Revenue Service adjusted cost basis information (if applicable), certain payments to you and credits to your Account during the calendar year. Retain this statement for income tax purposes. A financial statement for your inspection is available at Schwab's offices or a copy will be mailed to you upon written request. Any third-party trademarks appearing herein are the property of their respective owners. Charles Schwab & Co., Inc., Charles Schwab Bank, Charles Schwab Premier Bank, and Charles Schwab Trust Bank are separate but affiliated companies and subsidiaries of the Charles Schwab Corporation. © 2024 Charles Schwab & Co., Inc. ("Schwab"). All rights reserved. Member SIPC. (O1CUSTNC) (0822-20UL)

This page intentionally left blank.

Doc 628 Entered 12/12/24 14:58:59 Page 123 of 144

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

34.95

PO BOX 2577 - OMÁHA NE 68103-2577 Please do not send deposits or correspondence to this address.

> Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

> > REG FEES ...

0.00

JOHNATHON D WALLACE IRA TD AMERITRADE CLEARING CUSTODIAN 102 W PURITAN AVE MUSCLE SHOALS AL 35661-1442

# Confirmation Notice

Commination Notice	, <b>c</b>	<b>発売を受ける場合はおきまっています。</b>		
ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP CODES	YOUR REPRESENTATIVE
427-965022-1		35840818573	A	TD AMERITRADE
ACTIVITY	QUANTITY CUS	IP NUMBER PRICE	PRINCIPAL A	MOUNT MISC. FEES REG !

AS OF TRADE DATE TRADE DATE SETTLEMENT DATE INTEREST COMMISSION/FEE **NET AMOUNT** 06/30/2021 07/02/2021 0.00 34.95 TRADE DESCRIPTION SYMBOL

6.99

META MATERIALS INC

COM

YOU SOLD

MMAT

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

59134N104



**Confirmation Notice** Account 427-965022

Page 2 of 2

ACCOUNT CODE:	Type of Account		CAPACITY CODE:	
3. Margin Short 4	2. Margin Long 4. Income	A= Agent C= Cross Trade P= Principal Prime Broker if provided (contact the executing to		
5. Withholding	6. Special	3= Agent for person other than customer 6* Acts as both principal and agent 9= Crossing as principal	4= Agent for toth customer and another person 7= Acts as principal, agent and agent for another person	5= Principal for some executions and agent for other 8 • Crossing as agent

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

1. TO Ameritade Clearing, Inc., member of FINRA/SIPC acts as the exercing agent.

2. This confirmation is a transaction advice, not an invoice. Remilitance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information.

This confirmation is a transaction advice, not an invoice. Remilitance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the lime the trade was placed.

You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

All transactions are subject to the rules, regulations, requirements including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are unsolicited. The securities described are, or may be hypothecated under circumstances which will permit the commingting thereof with securities carried for the account of the other customers. Such commingting, if any, ceases upon payment by you for these securities in the art amount due.

The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request. If not already displayed.

If it is transaction is a sate and the securities are not already in our possession and do not represent a short saile, please floward then immediately to avoid possible payment of premium on securities tornowed.

If payments not note on our prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to calcel this transaction, or at our option, to sell the securities covered hereby and helid you liable for resulting loss.

- liable for resulting loss.

  Commission/Fee represents base commission and any options cortract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transactions to applicable to purchases, capital call processing, and retemptions. To Amentrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to potions transactions, it may include any of the following: a sales fee on certain sell transactions (assessed at a rate consistent with Section 31 of the Securities Exchange Act of 1930), the Options Regulatory Fee (applicable to options transactions), and the Trading Activity Fee (assessed at a rate consistent with Section 31 of Schedule A of FinRA's By-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent fine lieu on your morthly astement.

  10. Misc, Fees will be explained upon request. Fir transactions involving estain index Options, TO Amentrade may charge an index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Feel field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www. tone.com. Other Misc. Feel may include Prime Broker fees or Special Schiement feels, where applicable.

  11. For Inflation Indexed securities, specially may vary due to inflation adjustments. If the transaction involves calable securities, but did realizes could affect yield. Complete Information will be provided upon request. On zero coupons, interest and multiple registers provided upon request. On zero coupons, interest and multiple registers provided upon request. On zero coupons, interest and multiple registers provided upon request. On a securi

- In both a mount must be securated active the contractive of the contra 800-669-3900
- 17. The security that you purchased in ay have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.



PO BOX 2577 - OMAHA NE 68103-2577

Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

JOHNATHON D WALLACE IRA TD AMERITRADE CLEARING CUSTODIAN 222 Rockwood Drive Tuscumbia AL 35674

# **Confirmation Notice**

ACCOUNT NO.	OTHER	INFO	TRANSAC	TION NUI	MBER	CAP CODE	ES	YOUR R	NTATIVE	
427-965022-1			387!	56939697		Α	TD	AMERITRADE		
ACTIVITY	QUANTITY	CU	SIP NUMBER	PRIC	CE	PRINCIPAL	AMOUN	T MISC. F	EES	REG FEES
YOU SOLD	300	5	59134N104	4.30	<b>ე</b> 5	1,291	1.50	. 0		0.05
AS OF TRADE DAT	E TRADE	TRADE DATE SETTI		SETTLEMENT DATE		INTEREST		COMMISSION/FEE		NET AMOUNT
	11/16/2	2021	11/18/20	021				0.00	i	1,291.45
TRADE DESCRIPTION	N				SYMBO	<b>JL</b>	S. A. W		- 114 M	

META MATERIALS INC

**MMAT** 

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

ACCOUNT NO.		OTHER I	RINFO TRANSACTION NUMBER CAP CODES YOUR R				YOUR REPRES	SENTATIVE			
427-965022-1				3875	6939716		Α		TD AMERITRADE		
ACTIVITY	QI	JANTITY	cus	CUSIP NUMBER PRICE PRINCIPAL AMOUNT MISC. FE		MISC. FEES	REG FEES				
YOU SOLD		7,226	59	9134N104	4.30	01	31,0	72.52	2.52		1.02
AS OF TRADE DA	TE.	TRADE D	ATE	SETTLEMENT DATE		IN	TEREST	CC	MMISSI	ON/FEE	NET AMOUNT
		11/16/2	021	11/18/20	21				0.00		31,071.50
RADE DESCRIPTION	NC	•				SYMBO	DL				
IETA MATERIALS I	NC.					MMAT					

COM

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE. THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

**Confirmation Notice** Account 427-965022

										rage	2012	
ACCOUNT NO.		OTHER II	VFÖ	TRANSAC	TION NUN	1BER	CAP COD	ES 🌡	<b>第分</b> 人	YOUR REP	RESE	NTATIVE *
427-965022-1				387	61042079	_	A	1	TD AME	RITRADE		
ACTIVITY	QŪ	JANTITY	CUS	PNUMBER	PRIC	E .	PRINCIPAL	AMO	DÜNT	MISC. FEE	Ŝ	REG FEES
YOU BOUGHT		7,192		134N104	4.49	99	32,36	3.28				0.00
AS OF TRADE DAT	ΪĖ	TRADE D	ATE	SETTLEMEN	IT DATE	int	EREST	cc	MMISSI	N/FEE		NET AMOUNT
		11/16/20	21	11/18/2	021				0.00			32,363.28
TRADE DESCRIPTIO	N					SYMBO	Ľ.		10		6.** 69	
META MATERIALS IN	VC	-				MMAT						

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

ACCOUNT CO	DE: Type of Account		CAPACITY CODE:	
1. Cash	2. Margin Long	A= Agent C= Cross Trade P= Principal	R= Riskless Principal	
3. Margin Short	4. Income	Prime Broker if provided (contact the executing	broker for further details)	
5. Withholding	5. Special	3= Agent for person other than customer	4= Agent for both customer and another person	5= Principal for some executions and agent for other
i		6= Acts as both principal and agent	7= Acts as principal, agent and agent for another person	8= Crossing as agent
l		9= Crossing as principal		

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

1. TD Amenitarda Glearing, inc., member of FIRIANSIPE cate as the clearing agent.

2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.

3. You will report any errors immediately and/or notify us in order including margin requirements in and customs of the Faderal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and the clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are unsolicited.

The securities described are, or may be hypothecated under circumstances which will permit the comminging thereof with securities carried for the account of the other customers. Such comminging, if any, ceases upon payment by you for these accurates in the net amount due.

The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remumeration wild be furnished upon request, if not already displayed.

If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.

If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.

This transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.

If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel his transaction, or at our option, to sail the securities covered hereby and hold you liable for resulting loss.

Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital call processing, and redemptions. TD Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions. It may include any of the following: a sales fee on certain sell transactions essessed at a rate consistent with Section 31 of the Securities Exchange Act of 1934), the Options Regulatory Fee (applicable to options transactions), and the Trading Activity Fee (assessed at a rate consistent with Section 31 of Schedule A of Filink'AS byLawab, among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and reschange of the foreign markets or governments, which may be reflected in the price of the security or charged as an independent fine them on your monthly statement.

Misc. Fees will be explained upon request. For transactions involving certain Index Options. TD Ameritrade may charge an Index Option Fee in accordance with the Chicage Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www.cboe.com, Other Misc. Fees may include Prime Broket fees or Special Settlement fees, where applicable.

If this tra

- 12. The firm receives compensation for directing issted and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a perticular transaction will be
- 12. The firm faceways compensation for directing stated and over-ine-countion securities, and options order tow to selected market centers for execution. The source and nature of payment received in connection with a pericular trensaction was be furnished upon request.

  13. Laveraged and inverse ETFs enhall unique risks, including but not limited to; use of feverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Both seek results over periods as eshort as a single day. Results of both strategies can be affected substantiably by compounding. Returns over longer periods will likely differ in amount and even direction. These products require sective monitoring and management, as frequently as daily. They are not estimate for all investors.

  14. Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and floudity may not always exist for you to sell your position
- 15. If you request the safe of a nonmarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is irreversible and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale.

  16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterpites Debt, or Foderal Agency Securities, please visit the applicable website and enter the CUSIP: Foderal Farm Credit Bank (FFCB): www.famicedit.dc.com, Foderal Home Loan Bank (FFLB): www.fathlo-d.com, Foderal Home Loan Montgage Corporation (Froddie Map): www.famicedit.dc.com, Foderal Montgage Association (Famice Map): www.famicedit.dc.com, Foderal Mon
- The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors withing to purchase the security end, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.



PO BOX 2577 - OMAHA NE 68103-2577

Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

JOHNATHON D WALLACE IRA TD AMERITRADE CLEARING CUSTODIAN 222 Rockwood Drive Tuscumbia AL 35674

### **Confirmation Notice**

ACCOUNT NO.		OTHER INFO		TRANSAC	TION NUI	MBER	CAP COL	)ES		YOUR REPRES	SENTATIVE	
427-965022-1			42841183215 A TD AMERITRA		RITRADE							
ACTIVITY	ACTIVITY QUAN		CUSIP NUMBER		PRICE P		PRINCIPA	PRINCIPAL AMOUNT		MISC. FEES	REG FEES	
YOU BOUGHT		19,000	5	9134N104	, 1.9	8	37,620.00			,	0.00	
AS OF TRADE DA	TE	TRADE D	ATE	SETTLEMEN	T DATE	IN	TEREST	CC	OMMISSIO	N/FEE	NET AMOUNT	
		05/23/20	)22	05/25/20	022		<u> </u>		0.00		37,620.00	
TRADE DESCRIPTION	· NC					SYMBO	)L	-W.				1
META MATERIALS I	NC					MMAT				<u></u>		

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

		•	TRANSACTION NUMBER CAP COD		TT . 4	1 OOK INC.	SENTATIVE		
· I		42841419372	2	Α		ERITRADE	E		
QUANTITY	CUSIP NUMBE	R PRI	CE	PRINCIPAL	AMOUNT	MISC. FEES	REG FEES		
399	59134N104	1.9	95	778	.05		0.00		
TRADE DA	ATE SETTLE	MENT DATE	INT	EREST	COMMISSI	ON/FEE	NET AMOUNT		
05/23/20	22 05/2	25/2022			0.00	)	778.05		
RADE DESCRIPTION			SYMBO	)L		91	7		
	399 TRADE DA	399 59134N104  TRADE DATE SETTLE	399         59134N104         1.9           TRADE DATE         SETTLEMENT DATE	399         59134N104         1.95           TRADE DATE         SETTLEMENT DATE         INT           05/23/2022         05/25/2022         SYMBO	399         59134N104         1.95         778           TRADE DATE         SETTLEMENT DATE         INTEREST           05/23/2022         05/25/2022         SYMBOL	399         59134N104         1.95         778.05           TRADE DATE         SETTLEMENT DATE         INTEREST         COMMISSI           05/23/2022         05/25/2022         0.00           SYMBOL	399         59134N104         1.95         778.05           TRADE DATE         SETTLEMENT DATE         INTEREST         COMMISSION/FEE           05/23/2022         05/25/2022         0.00		

META MATERIALS INC COM

MMAT

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

#### **Confirmation Notice** Account 427-965022

Page 2 of 2

ACCOUNT CODE: Type of Account

1. Cash 2. Margin Long

3. Margin Stort 4. Income

5. Withholding 6. Special CAPACITY CODE A# Agent C# Cross Trade P# Principal R# Nisitiess Filingues
Prime Broker if provided (contact the executing broker for further datable)
3= Agent for porson other than customer
4= Agent for both customer and another person
7= Acts as principal, agent and agent for snother person 5= Principal for some executions and agent for other 8= Crossing as egent

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

- IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:
  TO Amentrade Clearing, Inc., member of FINRASIPC and as the clearing agent.
  This confirmation is a transaction advice, not an involce. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information at the time the trade was placed.
  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.
  All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations, govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customer's agreements between the net amount due.

  The permit the net amount due. 5.

- these securities in the net amount due.

  The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not already displayed,

  If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them transaction to avoid possible payment of premium or securities borrowed,

  If payment is not make on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you
- liable for resulting loss.

  Commission/Fee represents base commission and any options contract, exercise, and essignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital cell processing, and redemptions. To Americade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions. It may include any of the following: a salest fee on certain self transactions (assessed at a rate consistent with Section 31 of the Securities Exchange Act of 1934), the Options Regulatory Fee (applicable to options transactions), and the Tradition factory Fee (applicable to options transactions), and the Tradition for Schedule Act of INNA's By-Laws), among other charges are transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent sine them on your monthly statement.

  Misc. Fees will be explained upon request. For transactions involving certain Index Options. To American any charge an Index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fees they option accordance with the Chicago Board Options Exchange (CBOE) Options Exchange Fee Schedule can also be found on www.cbos.com. Other Misc. Fees may include Prime Broker fees or Spotal Sottlement fees, where applicable. If this fransaction browlers a debt security that its subject to paid or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request. On zero coupons, interest and multiplier securities, yields are subject to fluctuation depending on prepayment, specific information is available upon request. For inflation indexed securities yield to matu

- 12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be
- The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution, the source and nature of payment received in continuous with a particular transaction was be furnished upon request.
   Leveraged and knowness ETFs entail unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs exist to deliver the opposite of the performance of a benchmark is ever periods will likely differ in amount and even direction. These products require self-ties monitoring and management, as frequently as delify. They are not suitable for all investors.
   Bonds and/or fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to self your position.
- prior to maturity.
- prior to maturity.

  5. If you request the sale of a nonmarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are dearning the security worthless and TD Ameritrade thereby makes no representation regarding the present of future value of these securities. The transaction is inversable and you will have no further cleim to the securities and no claim against TD Ameritrade for any losses related to the selection of the sale.

  6. To ecoses the Official Statement (e.g., prospectus, program disclosured document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Delt, or Federal Agency Securities, please vite applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB): www.farmcredit-ffcb.com, Federal Home Loan Bank (FHLB): www.fitb-ot.com, Federal Home Loan Montgage Association (Fendide Mac): www.froddlemac.com, Federal Home Loan Montgage Association (Fernie Mac): www.farmcredit-ffcb.com, Federal Home Loan Montgage Association (Fernie Mac): www.farmcredit-ffcb
- stud-to-s-stud.
  The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the figuidity of the position unless you have other securities from the fissue that can be combined to reach the minimum denomination.

Doc 628 Entered 12/12/24 14:58:59 Page 129 of 144

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

PO BOX 2577 - OMAHA NE 68103-2577 Please do not send deposits or correspondence to this address.

> Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

JOHNATHON D WALLACE IRA TD AMERITRADE CLEARING CUSTODIAN 222 Rockwood Drive Tuscumbia AL 35674

#### **Confirmation Notice**

ACCOUNT NO. 427-965022-1		OTHER INFO		TRANSAC	TRANSACTION NUMBER			ES	YOUR REPRÉSENTATIVE			
				46825341451			A		TD AMERITRADE			
ACTIVITY	Ql	JANTITY	CUS	IP NUMBER	PRIC	E	PRINCIPAL	AMOUNT	MISC. FEES	REG FEES		
YOU SOLD		26,591	59	9134N104	1.4	2	37,7	59.22	0	4.32		
AS OF TRADE DATE		TRADE D	ATE	SETTLEMEN	T DATE	IN	TEREST	COMMISSI	ON/FEE	NET AMOUNT		
		12/09/20	)22	12/13/20	)22			0.00	ı	37,754.90		

META MATERIALS INC

**MMAT** 

COM

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



**Confirmation Notice** Account 427-965022

Page 2 of 2

ACCOUNT COL	DE: Type of Account		CAPACITY CODE:						
1. Cash	2. Margin Long	A= Agent C= Cross Trade P= Principal							
<ol><li>Mergin Short</li></ol>	4. Income		ime Broker if provided (contact the executing broker for further details)						
5. Withholding	6, Special	3= Agent for person other than customer	4= Agent for both customer and another person	5= Principal for some executions and agent for other					
		6× Acts as both principal and agent	7= Acts as principal, agent and agent for another person	8= Crossing as agent					
		9≈ Crossing as principal							

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

  1. TO Ameritade Clearing, Inc., member of FinRAVSIPC acts as the clearing agent.

  2. This confirmation is a transaction edvice, not an invoice. Remittance of funds or securities is due on or before settlement data. Certain security information is collected at the time the confirmation is generated and may vary from the information.
- at the time the trade was placed.

  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

  All transactions are subject to the rules, requisitions, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agreemy trades are unsolicited.

  The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the account of the other customers. Such commingling, if any, cases upon payment by you for these securities in the net amount due. 5.

- The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not already displayed.

  If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed,

  If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you likely force.
- If this tensaction is a sale and the securities are not already in our possession and do not represent a sirun age, possion without further notice, to cancel this transaction, or at our option, to soil the securities are not already by Royalation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to soil the securities presents to the date required by Royalation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to soil the securities presents transaction for resulting loss.

  Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Not Standard Assets (NSA), Commission/Fee represents transactions. It may include sury of the following; a sales fee on certain seaf transactions and activity fee (assessed at a rate consistent with Section 31 of the Securities Exchange Act of 1934), the Options Regulatory Fee (applicable to options transactions), and the Trading Activity Fee (assessed at a rate consistent with Section 1 of Schedule A of FIRMA's By-Laway), among other charges. Transactions in foreign securities (including foreign company ADIRs that trade in the U.S., may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line llem on your monthly statement.

  If this fees will be explained upon request. For transactions involving certain Index Options. The American may charge an Index Option Fee in accordance with the Chicage Board Options Exchange (CBOE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www. choe.com. Other Misc. Fees any include Prime Broker fees or Special Softiement fees, where applicable.

  If this transaction involves a debt security that is subject to call or redemp

- in tegester unit. Or asset sections product the counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular transaction will be furnished upon request

  13. Leveraged and inverse ETFs ential unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark, inverse ETFs exek to deliver the opposite of the performance of a benchmark, inverse ETFs seek to deliver the opposite of the performance of a benchmark, inverse ETFs seek to deliver the opposite of the performance of a benchmark, leverage, aggressive and complex investment techniques; and use of derivatives, Leveraged ETFs seek to deliver multiples of the performance of a benchmark with the per

- 14. Bonds and/or fixed-income securities trade differently man equity securities and or the trade will be the buyer in the transaction in the event a market cannot be located. In such a sate, you are deeming the security worthless and TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sate, you are deeming the security worthless and TD Ameritrade for any losses related to the sate.

  15. If you request the sate of a normarketable or worthless securities. The transaction is inversible and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sate.

  16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securitics, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB): www.farmcredit-ftcb.com, Federal Home Loan Bank (FFLB): www.fitb-of.com, Federal Home Loan Montgage Corporation (Fredite Mac): www.farmcredit-ftcb.com, Federal Montgage Association (Fennie Mae): www.farmlemae.com, Government National Montgage Association: www.gmnlamea.gov, Municipal Bonds: www.emma.msrb.org, A copy of the Official Statement can be required by eating 800-669-3900.
- sources-security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of invastors witting to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may edversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 131 of 144

PO BOX 2577 - OMAHA NE 68103-2577 Please do not send deposits or correspondence to this address. TD AMERITRADE, INC. PO BOX 2209 **OMAHA NE 68103-2209** 

Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

JOHNATHON D WALLACE IRA TD AMERITRADE CLEARING CUSTODIAN 222 Rockwood Drive Tuscumbia AL 35674



#### **Confirmation Notice**

ACCOUNT NO.	OTHER I	NFO	TRANSAC	TRANSACTION NUM		CAP CODES		YOUR REPRESENTATIVE			
427-965022-1			4690	09489152		Α		TD AME	RITRADE		
ACTIVITY	QUANTITY	cus	IP NUMBER	PRIC	CE	PRINCIPAL	L AMO	TAUC	MISC. FEES	REG FEES	
YOU BOUGHT	27,163	59	9134N104	1.3	9	37,756.57			0.00		
AS OF TRADE DATE	E TRADE D	ATE	SETTLEMEN	IT DATE	IN	TEREST	CC	OMMISSIO	N/FEE	NET AMOUNT	
	12/14/20	022	12/16/20	022				0.00		37,756.57	
TRADE DESCRIPTION	i			7. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.	SYMBO	)L		1.5			

META MATERIALS INC COM

MMAT

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



**Confirmation Notice** Account 427-965022

			rage z or z
ACCOUNT CODE: Type of Account		CAPACITY CODE:	······································
1. Cash 2. Margin Long 3. Margin Short 4. Income 5. Wähholding 5. Special		omer and another person sent and agent for another person	5= Principal for some executions and agent for other 8= Crossing as agent
	A CONTRACTOR OF THE CONTRACTOR		

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

  1. TD Ameritade Clearing, Inc., member of FinRAVSIPC acts as the clearing agent.

  2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information.

- at the time the trade was placed.

  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

  All transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its cleaning house, if any) where executed, and of any association whose rules and regulations govern trensactions in soid market, and to all the terms of our general customer's agreements between you and us. Wisconsin customer's agreen trades or support redges are unsolicited. The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the secount of the other customers. Such commingling, if any, ceases upon payment by you for these securities in the net amount due.

  The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not already displayed.

  If this transaction is a sale and the securities are not already in our possession end do not represent a short sele, please forward them transaction to a payment of premium on securities borrowed, if payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold your liable for resulting loss.

- If payment is not made on or prior to the date required by Regulation T or the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you allow for resulting loss.

  Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital call processing, and redemptions. TD Ameritrade will charge a Reg Fee on certain set is transactions. It may include any of the following: a sales fee on certain set it transactions (seessessed at a rate consistent with Section 4 of 1934), the Options Regulatory Fee (applicable to options transactions), and the Trading Activity Fee (applicable to options transactions), and the Trading Activity Fee (applicable to options transactions), and the Trading Activity Fee (applicable to options transactions), and the Trading Activity Fee (applicable to options transactions), and the Trading Activity Fee (applicable to options transactions), and the Trading Activity Fee (applicable to options transactions), and the Trading Activity Fee (applicable to options transactions), and the Trading Activity Fee (applicable to options transactions), and the Trading Activity Fee (applicable to options transactions), and the Trading Activity Fee (applicable to options transactions), and the Trading Activity Fee (applicable to options transactions), and the Trading Activity Fee (applicable to options Exchange to the Securities and traditions of the Securities and Index Options Exchange (applicable to options Exchange to the Securities and Index Options Exchange

- furnished upon request

  13. Leveraged and inverse ETFs entail unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the

- 14. Bonds and/or fixed-income securibles trade differently than equity securities and do not trade on a islated exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position prior to maturity.

  15. If you request the sale of a nonmarketable or worthless security. TD Ameritrade will be the buyer in the terrestable and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sell-report of the counter of the counter of the securities. The remarket counter of the report of the counter of the securities and not claim against TD Ameritrade for any losses related to the sell-report of the report of the counter of the report o
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors witting to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

TD AMERITRADE, INC. **PO BOX 2209 OMAHA NE 68103-2209** 

PO BOX 2577 - OMAHA NE 68103-2577

Please do not send deposits or correspondence to this address.

Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

JOHNATHON D WALLACE IRA TD AMERITRADE CLEARING CUSTODIAN 222 Rockwood Drive Tuscumbia AL 35674



#### **Confirmation Notice**

					ER CAP COD	)ES	TOOK IKE IK	ESENTATIVE
427-965022-1			470	47475077	A	TD AM	ERITRADE	
ACTIVITY	QUANT	TITY CUS	SIP NUMBER	PRICE	PRINCIPA	L AMOUNT	MISC. FEES	REG FEES
YOU SOLD	27,10	63 59	9134N104	1.24	33,€	33,682.12		4.30
AS OF TRADE DATE	TF	RADE DATE	SETTLEMEN	IT DATE	INTEREST	COMMISSI	ON/FEE	NET AMOUNT
	-	12/20/2022	12/22/20	.022		0.00		33,677.82

META MATERIALS INC COM

**MMAT** 

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



### Doc 628 Entered 12/12/24 14:58:59 Page 134 of 144

**Confirmation Notice** Account 427-965022

Page 2 of 2

				1 ago 2 a 2
ACCOUNT COD	E: Type of Account		CAPACITY CODE;	······································
1. Cash	2. Margin Long	A= Agent C= Cross Trade P= Principal	R= Riskless Principal	
<ol><li>Margin Short</li></ol>	4. Income	Prime Broker if provided (contact the executing	broker for further details)	
5, Withholding	6, Special	3= Agent for person other than customer	4= Agent for both customer and another person	5= Principal for some executions and agent for other
		6= Acts as both principal and agent	7= Acts as principal, agent and agent for another person	8= Crossing as agent
		9= Crossing as principal		

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

  1. TD Ameritade Clearing, Inc., member of FinRA/SIPC acts as the clearing agent.

  2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information. at the time the trade was placed.
- at the time the trade was placed.

  You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

  All transactions are subject to the rules, regulations, requirements [including margin requirements] and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any where executed, and of any association whose cutes and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are unsolicited. The securities described are, or may be hypothecated under circumstances which will permit the comminging thereof with securities certified for the account of the other customers. Such comminging, if any, ceases upon payment by you for these securities in the net amount dire.

  The name of the other party or broker in the transaction, the date, the time of execution, source and emount of renumeration will be furnished upon request, if not site ady displayed.

  If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed, if payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to self the securities covered hereby and hold you liable for resulting toss.

liable for resulting loss.

- Ilabite for resulting loss.

  Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Aternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital call processing, and redemptions. TD Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions. It may include any of the following: a sales fee on certain self transactions (assessed at a rate consistent with Section 1 of Schedule A of FINA'S by-Laws), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent fine flem on your monthly statement.

  Of Misc. Fees will be explained upon requises. For transactions proving certain Index Options. TD Ameritrade may charge an Index Option Fee in accordance with the Chicago Board Options Exchange (CBCE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBCE Options Exchange Fee Schedule can also be found on www. choe corn. Other Misc. Fees may include Prime Broker fees or Special Scittlement fees, where applicables.

  It if its transaction hydroxy a debt security that is subject to call or redemption before maturity, the dest security may be called or redemed in whole or in pant before maturity which could affect to yield. Additional Information is available upon request. On zero coupons, interest and multiplier securities, no periodic payment of interest or principal are generally made. These securities may be callable, below maturity value, without notice by mail to holder unless the securities are held in registered form. On asset backed debt securities are subject to fluctuation depending on prepayment, specific information is available upo

- 12. The firm receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a perticular transaction will be
- turnished upon request

  13. Lavvisaged and invarise ETFs entail unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of derivatives. Leveraged ETF9 seek to deliver multiples of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Inverse ETFs seek to deliver the opposite of the performance of a benchmark. Soft seek results of both strategies can be affected substantially by compounding. Returns over tonger periods as thorit as a single day. Results of both strategies can be affected substantially by compounding. Returns over tonger periods at which is a substantially by the performance of a benchmark. The products require active and substantially by and substantially by the performance of a benchmark. The products require active and substantially by the performance of a benchmark. Inverse ETFs entail unique risks, including but not limited to:

  14. Bonds and/or fixed-income securities trade differently then equity securities and do not trade on a listed exchange. Rether, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position.

- 14. Bonds and/or foxed-income securities trade differently then equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position prior to maturity.

  15. If you request the sale of a nonmarketable or worthless security. TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is inversable and you will have no further claim to the searchies and no datin against TD Ameritrade for any losses related to the sale.

  16. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Federal Agency Securities, please visit the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB); www.farmicredit.fcb.com, Federal Home Loan Bank (FHLB); www.fittb-of.com, Federal Home Loan Montgage Corporation (Freddie Mac); www.freddiemac.com, Federal Home Loan Bank (FHLB); www.fittb-of.com, Federal Home Loan Montgage Corporation (Freddie Mac); www.freddiemac.com, Government National Montgage Association (Frendie Mac); www.freddiemac.com, Government National Montgage Association: www.gnntames.gov, Municipal Bonds; www.emma.msrb.org. A copy of the Official Statement can be requisted by celling 500-669-3900.
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of investors witting to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may edversely affect the tiquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 135 of 144

TD AMERITRADE, INC. PO BOX 2209 OMAHA NE 68103-2209

PO BOX 2577 - OMAHA NE 68103-2577

Please do not send deposits or correspondence to this address.

Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

JOHNATHON D WALLACE IRA TD AMERITRADE CLEARING CUSTODIAN 222 Rockwood Drive Tuscumbia AL 35674

# SPC

#### **Confirmation Notice**

ACCOUNT NO. 427-965022-1		OTHER INFO		TRANSAC	TION NUI	<b>IBER</b>	CAP CODE	S	YOUR REPRE	SENTATIVE
				48564613435		A		TD AM	MERITRADE	
ACTIVITY	Q	UANTITY	CUS	IP NUMBER	PRIC	Œ	PRINCIPAL	AMOUNT	MISC. FEES	REG FEES
YOU BOUGHT		2,000	5	9134N104	.5929	999	1,186	3.00		0.00
AS OF TRADE DAT	E	TRADE D	ATE	SETTLEMEN	T DATE	11	ITEREST	COMMISS	ION/FEE	NET AMOUNT
		03/06/2	023	03/08/20	023			0.0	0	1,186.00
TRADE DESCRIPTION	N				Y.*.	SYMB	OL			
4574 14475BIAL O IN										

META MATERIALS INC COM

**MMAT** 

_____

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

ACCOUNT NO.	OTHER	INFO	TRANSACT	TION NUI	MBER	CAP CODE	s	Y	OUR REPRES	ENTATIVE
427-965022-1			4856	4645551		А		TD AMERIT	RADE	
ACTIVITY	QUANTITY	CUS	IP NUMBER	PRIC	CE	PRINCIPAL	AMC	OUNT N	MISC. FEES	REG FEES
YOU BOUGHT	1,000	3	7955R107	1.7	3	1,730	0.00			0.00
AS OF TRADE DATE	TRADE	DATE	SETTLEMEN	T DATE	IN	TEREST	СО	MMISSION/I	EE	NET AMOUNT
	03/06/2	2023	03/08/20	23				6.95		1,736.95
RADE DESCRIPTION	A Section 1		1 1 2 1 2 1		SYMBO	OL.				<del></del>

GLOBAL TECH INDUSTRIES GRP INC COM

GTII

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



Doc 628 Entered 12/12/24 14:58:59 Page 136 of 144

**Confirmation Notice** Account 427-965022

Page 2 of 2

				3
ACCOUNT CO	DE: Type of Account		CAPACITY CODE:	
1. Cash	2. Margin Long	A= Agent C= Cross Trade P= Principa	I R≖ Riskless Principal	
<ol><li>Margin Short</li></ol>	4. Income	Prime Broker if provided (contact the executing	broker for further details)	
<ol><li>Withholding</li></ol>	6. Special	3= Agent for person other than customer	4= Agent for both customer and another person	5= Principal for some executions and agent for other
_		6= Acts as both principal and agent	7= Acts as principal, agent and agent for another person	8= Crossing as egent
		9= Crossing as principal		

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

  1. TD Ameritrade Clearing, Inc., member of FINRA/SIPC acts as the clearing agent.

  2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information.
- You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.
- All transactions are subject to the rules, regulations, requirements (including margin requirements) and ustoms of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agreement by our penetral customers are customers. Such commingting, if any, cases upon payment by you for these securities in the net amount due.

- these securities in the net amount one.
  The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remmeration will be furnished upon request, if not already displayed.
  If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed.
  If payment is not made on or prior to the date required by Regulation T of the Faderal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold you liable for resulting loss.
- lable for resulting loss.

  3. Commission/Tee organisms base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Tee organisms represents transaction fees applicable to purchases, capital sell processing, and redemplians. TD Ameritrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions. It may include any of the following: a sales fee on certain sell transactions (assessed at a rate consistent with Section 3 of Schedule A of FINA'S by-Lawa), among other charges. Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line them on your monthly statement.

  10. Misc, Fees will be explained upon request. For transactions involving certain Index Options, TD Ameritrade may charge an Index Option Fee In accordance with the Chicago Board Options Exchange (CBCE) Global Markets, Inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBOE Options Exchange Fee Schedule can also be found on www. chos.com. Other Misc. Fees may include Prime Broker fees or Special Settlement fees, where applicable.

  1. If his transaction involves a debt security that is subject to call or redemption before manuity, whe called or referenced in whole or in part before manuity which could affect its yield. Additional information is available upon request. On zero coupons, interest and multiplier securities, no periodic payment of interest or principal are generally made. These securities may be called or redement of whole or in part before the yield, additional information is available upon request. For inflation indexed securities yield to maturity may vary due to inflation edjustments.

- m receives compensation for directing listed and over-the-counter securities, and options order flow to selected market centers for execution. The source and nature of payment received in connection with a particular tran
- 12. The firm receives compensation for directing issee and over-the-counter securities, and opposits order law to securities the first receives compensation for directing issee and over-the-counter securities. An opposition of the performance of a fundamental fundamental formation of the performance of a benchmark, inverse ETFs seek to deliver the opposite of the performance of a benchmark. Noth seek results over periods as short as a single day. Results of both stategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require active monitoring and management, as frequently as daily. They are not suitable for all investors.

  14. Bonds analyze fixed-income securities trade differently than equity securities and do not trade on a listed exchange. Rether, they trade in the OTC (over the counter) marketplace and liquidity may not always exist for you to sell your position
- 14. Bonds shotor fixed-moorne securities trade differently than equity securities and do not trade on a sisted exchange. Rather, they trade in the OTC (over the counter) marketplace and inquotity may not always exist for you to sail your position prior to makinfy.

  15. If you request the sale of a nontmarketable or worthless security. TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is inversable and you will have no further claim to the securities and no claim against TD Ameritrade for any losses related to the sale.

  16. To access the Official Statement (e.g., prospectus, prospe
- 17. The security that you purchased may have a minimum denomination set by the issuer, which may reduce the number of invastors witting to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.



PO BOX 2577 - OMAHA NE 68103-2577

Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC. PO BOX 2209 OMAHA NE 68103-2209

Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

JOHNATHON D WALLACE IRA TD AMERITRADE CLEARING CUSTODIAN 102 W PURITAN AVE MUSCLE SHOALS AL 35661-1442

# Si

### **Confirmation Notice**

ACCOUNT NO.	-8 ₆ 2	OTHER II	NFO	TRANSACT	TION NUM	/IBER	CAP CODE	S	YOUR REPRESENTATIVE			
427-965022-1				3547	35477558780		Α	A TD AMERITRADE				
ACTIVITY	Q	UANTITY	cus	SIP NUMBER	PRIC	Έ	PRINCIPAL	AMOUNT	MISC. FEE	S REG FEES		
YOU BOUGHT		10,000	85	9102U103	5.00	0	50,000.00		0	0.00		
AS OF TRADE DATI	AS OF TRADE DATE		DATE	SETTLEMENT	T DATE	INTE	REST	COMMISSI	ON/FEE	NET AMOUNT		
-		06/15/20	ე21	06/17/20:	21			0.0	)	50,000.00		
TRADE DESCRIPTION	N T		W. 3 (1)			SYMBOL						

TORCHLIGHT ENERGY RESOURCES IN COM

**TRCH** 

_____

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

ACCOUNT NO.	OTHER	INFO	TRANSAC	TION NUI	<b>UBER</b>	CAP COD	ES		YOUR REPR	RESENTATIVE
427-965022-1			354	77768444		Α		TD AME	RITRADE	
ACTIVITY	QUANTITY	CUS	SIP NUMBER	PRIC	CE	PRINCIPA	L AMC	TNUC	MISC. FEES	S REG FEES
YOU BOUGHT	4,000	8	9102U103	4.8	1	19,240.00			0.00	
AS OF TRADE DATE	TRADE	TRADE DATE		SETTLEMENT DATE		INTEREST		COMMISSION/FEE		NET AMOUNT
<u></u>	06/15/2	2021	06/17/20	021				0.00		19,240.00
TRADE DESCRIPTION					SYMBO	L S				

TORCHLIGHT ENERGY RESOURCES IN COM

TRCH

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 138 of 144

Account 427-965022

**Confirmation Notice** 

Page 2 of 2

ACCOUNT CO	DE: Type of Account		APACITY CODE:	
1. Cash	2. Margin Long	A= Agent C= Cross Trade P= Principal R= Riskless Principal		
3. Margin Short	4. Income	Prime Broker if provided (contact the executing broker for further details)		
<ol><li>Withholding</li></ol>	6. Special	3= Agent for person other than customer 4= Agent for both customer and and	ther person 5= Principal for some executions and agent for of	her
		6* Acts as both principal and agent ?= Acts as principal, agent and age		
		9= Crossing as principal		

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

1. TO Ameritrade Clearing, Inc., member of FinRA/SPC acts as the clearing agent.

2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the Information.

This confilmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settiament date. Certain security information is collected at the time the race was placed.

You will report any errors immediately and/or notify us if mit entirely in accordance with your understanding.
All transactions are subject to the rules, requirements (including margin requirements) and customs of the Federal Reserve Board, this Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are unsolicited. The securities described are, or may be hypothecated under circumstances which will permit the comininging thereof with securities carried for the account of the other customers. Such comminging, if any, ceases upon payment by you for these securities in the first and/out due.

The name of the differ party or proker in the bransaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not already displayed.

It has been an extracted and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities borrowed. It payments not make on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this bransaction, to sell the securities covered hereby and hold you like for resulting loss.

liable for resulting loss.

libile for resulting loss.

Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital call processing, and recemptions. TO Amentrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to processing, and recemptions. TO Amentrade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to processing, and recemptions. To Amentrade will charge a Regulation of Section 1 of Schedule Aof FinNA's 9tyLaws), among other charges. Exchange Act of 1934, the Options Regulatory Fee (applicable to options transactions), and the Transaction have secured to the security of charges. Transactions in foreign accurately excurting (notucing company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security of charges as independent line item on your morthly statement.

Misc. Fees will be explained upon request. For transactions involving certain index Options in Index Option Fee in accordance with the Chicago Board Options Exchange (CBDE) Global Markets, inc. fee schedule. This fee will be reflected in the Misc. Fee field. A copy of the CBDE Options Exchange Fee Schedule can also be found on www.cboe.com. Other Misc. Fees may include Prime Broker fees or Special Settlement fees, where applicable.

To Interior Indexed securities are provided upon request. On zero coupons, interest and multiplier securities, in proposition processing in operating multiplier unless the securities are held in registered from. On asset backed debt securities, yields are subject to fluctuation depending on prepayment, specific information is available upon request.

The firm received compensation for directing diste

furnished upon request

furth-field upon request

13. Leveraged and inverse ETTs entail unique risks, including but not limited to: use of leverage; aggressive and complex investment techniques; and use of darwatives. Laveraged ETTs seek to deliver multiples of the performance of a benchmark. Inverse ETTs seek to deliver the opposite of the performance of a benchmark. Inverse ETTs seek to deliver the opposite of the performance of a benchmark. Inverse ETTs seek to deliver the opposite of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ an amount and even direction. These products require active monitaring an anagement, as frequently as daily. Titey are not suitable for all investors.

14. Bords and/or fixed-income securities trade differently from equity securities and do not trade on a listed exchange. Rather, they trade in the OTC (over the counter) marketplace and Equidity may not always exist for you to sell your position.

14. Bonds and/or fixed-income securities trade differency man equity securities and uniquested or a new analysis of a normalifecture of a normalifecture or mountly.

15. If you request the sale of a normalifecture or mountless security. TO Ameritards will be the buyer in the transaction in the event a maket cannot be located. In such a sale, you are deeming the security worthless and TO Ameritards thereby makes not representation reparting the present or future value of these securities. The transaction is invertible and you will have no further datin to the securities and on claim against TO Ameritarde for any losses related to the sale makes not entering the present of returning some of claim against TO Ameritarde for any losses related to the sale makes in the presentation of the securities and on claim against TO Ameritarde for any losses related to the sale of the control of the securities and on claim against TO Ameritarde for any losses related to the sale of the control of the sale of the control of the sale of the sale of the control of the sale of

17. The securally that you purchased in ay have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the liquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

PO BOX 2577 - OMAHA NE 68103-2577

Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC. PO BOX 2209 OMAHA NE 68103-2209

Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

JOHNATHON D WALLACE IRA TD AMERITRADE CLEARING CUSTODIAN 102 W PURITAN AVE MUSCLE SHOALS AL 35661-1442

# **Confirmation Notice**



ACCOUNT NO.	(	OTHER INFO	TRANSAC*	TION NUMB	ER C	AP CODES		YOUR REPRES	SENTATIVE
427-965022-1			3561	11624095		Α	TD AME	RITRADE	
ACTIVITY	QUAN	(TITY	CUSIP NUMBER	PRICE	P	RINCIPAL AM	IOUNT	MISC. FEES	REG FEES
YOU SOLD	10	00	89102U103	9.555		955.50			0.01
AS OF TRADE DAT	E   T	TRADE DATE	E SETTLEMEN	T DATE	INTERE	ST C	OMMISSIC	N/FEE	NET AMOUNT
		06/21/2021	06/23/20	)21			0.00		955.49
TRADE DESCRIPTION	N.			s	YMBOL	True Aller		7 ( ) Y 🔻	

TORCHLIGHT ENERGY RESOURCES IN COM

TRCH

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

TRADE DESCRIPTION		00/21/20	JZ I	00/23/20	Z I	SYMBOL		0.0	JU	60,431.34
		06/21/20	121	06/23/20	21		il a	0.0	20	60 424 24
AS OF TRADE DAT	E	TRADE DATE		SETTLEMENT DATE		INTEREST		COMMISSION/FEE		NET AMOUNT
YOU SOLD		6,328	8	9102U103	9.5	5	60,432.40		. 0	1.06
ACTIVITY	QL	JANTITY	CUS	IP NUMBER	PRIC	E	PRINCIPAL	AMOUNT	MISC. FE	ES REG FEES
427-965022-1				3561	35611624110		Α	TD A	MERITRADE	
ACCOUNT NO.		OTHER I	NFO	TRANSACT	TION NUI	VIBÉR	CAP CODE	ES .	YOUR RE	PRESENTATIVE

TORCHLIGHT ENERGY RESOURCES IN

TRCH

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59

Page 140 of 144

**Confirmation Notice** 

Account 427-965022

						•		Pag	e z or z	
ACCOUNT NO.	OTHE		TRANSAC	TIONINU	MBER	@AP COD	<b>≅</b> 8	YOURRE	PRESE	NUATUKE
427-965022-1			356	11624126		Α	TD AMI	RITRADE		<u> </u>
YTIMITEX	QUANTITY	OU.	SIPNUMBER	PRIC	Œ	PRINCIPAL	AMOUNT	MISC. FE	ES	REGIFEES
YOU SOLD	7,572	8	9102U103	9.5	4	72,23	36.88	0		1.28
ASOFTRADEDATE	TIRAD	DATE	SEITILEMEN	IT DATE	IN	TEREST :	COMMISSI	ON/FEE	1	NET AMOUNT
	06/21	/2021	06/23/20	021			0.00			72,235.60
IRADE DESCRIPTION					SYMBO	OL.	**************************************		15 5	

TORCHLIGHT ENERGY RESOURCES IN COM

**TRCH** 

CONFIRMATION OF TAX LOT METHOD AVAILABLE VIA GAIN/LOSS PAGE.

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

ACCOUNT COL	E: Type of Account		CAPACITY CODE:	
1. Cash	2. Margin Long	A# Agent C# Cross Trade P# Principal R# Riskless Principal	3	
3. Margin Short	4. Income	Prime Broker if provided (contact the executing broker for further details)		
5. Withholding	6. Special	3= Agent for person other than customer 4= Agent for both of	customer and another person 5= Principal for some executions and agent for other	
_	· · · · · · · · · · · · · · · · · · ·	64 Acts as both principal and agent 74 Acts as principal	pal, agent and agent for enotiner person 9° Crossing as agent	
		9# Crossing as principal		

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

- S ABRECED BET INVESTED THE EXECUTING BROKER AND THE COSTOMER NAMED REPORT ITAL.

  TO Amenticate Clearing, inc., member of RINPASPE Costs as the desiring agent.

  This confirmation is a transaction and/ce, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the lime the confirmation is generated and may vary from the Information at the lime the trade was placed.

  You will report any errors immediately and/or notify us if not entered in accordance with your understanding.

  All transactions are subject to the rules, regulations, requirements (including margin requirements) and customers) and customers of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and disclearing house, if any) where executed, and of any association whose rules and regulations govern bransactions in said marking and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are unsolicited.

  The securities described are, or may be hypothecated under circumstances which will permit the comminging thereof with securities carried for the account of the other customers. Such comminging, if any, ceases upon payment by you for these securities in the next amount due.
- these securities in the net amount due.

- The name of the other party in boker in the transaction, the date, the time of execution, source and amount of remineration will be furnished upon request, if not already displayed.

  If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please (onward inem immediately to avoid possible payment of premium on securities borrowed.

  If payment is not make on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and hold your likely be considered.
- 7. If this transaction is a safe and the securities are not areasy in our possession and our out impresses a an uniform to the federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to sell the securities covered hereby and ixid you likely for resulting loss.

  9. Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative Investments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital call processing, and redemptions. To Ameritade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions is the red of the region of the following: a sales fee on certain sell transactions (assessed at a rate consistent with Section 3 of the Securities (including foreign company ADRs that trade in the U.S.) may include taxes and resemble by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent fine item on your morthly scienment.

  10. Misc, Fees will be explained upon request. For transactions (options, To Ameritade may charge an Index Option Fee in accordance with the Chicago Board Options Exchange (CBOE) Global Markets, inc. fee schedule. This fee will be explained upon request.

  11. For Indiation indexed assentifies yield to maintry may vary due to ministen adjustments. If the transaction involves consistency are caused after typical continuous periodic payments of interest or principal are generally made. These securities may be callable, padow maturity value, without notice by mail to indice or which is previous upon request.

  12. The firm receives compensation of excelling listed and over-the-counter securities, and options cover-the-counter securities and underly value, without notice by mail to indice the multiples of the performance of a benchmark. Inverse ETFs entail prique risks, includi

- prior to maturity.

  15. If you request the sale of a normarketable or worthless security, TD Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is interversible and you will have no further dolin to the securities and no claim against TD Ameritrade for any losses related to the sale.

  16. To access the Official Statement (e.g., prespectus, program disclosure document, information is statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Febrral Agency Securities, please visit the applicable website and enter the CUSIF: Prederal Farm Credit Baril (FPCB) www.yarmented.Frich.com, Federal Home Loan Bank (FHLB) www.hith-ot.com, Federal Home Loan Bank (FHLB) www.www.www.frenedelenex.com, Federal Home Loan Bank (FHLB) www.www.www.mith-ot.com, Federal Home Loan Bank (FHLB) www.www.www.mith-ot.com, Federal Home Loan Bank (FHLB) www.www.mith-ot.com, Federal Home Loan Bank (FHLB) www.mith-ot.com, Federal Home Loan Ba



PO BOX 2577 - OMAHA NE 68103-2577

Please do not send deposits or correspondence to this address.

TD AMERITRADE, INC. PO BOX 2209 OMAHA NE 68103-2209

Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

JOHNATHON D WALLACE IRA TD AMERITRADE CLEARING CUSTODIAN 102 W PURITAN AVE MUSCLE SHOALS AL 35661-1442

# SiPC

#### **Confirmation Notice**

ACCOUNT NO.	OTHER	VFO	TRANSAC	TION NUM	IBER "	CAP COD	ES		YOUR REPRES	SENTATIVE
427-965022-1			3563	6205916		Α		TD AMER	RITRADE	`
ACTIVITY	QUANTITY "	CUSIP	NUMBER	PRIC	E -	PRINCIPAL	AMO	UNT	MISC*FEES	REGFEES *
YOU BOUGHT	3,000	8910	2U103	10.8	0	32,400.00			0.00	
AS OF TRADE DATE	TRADE D	ATE S	SETTLEMEN	T DATE	ΙΝ	EREST 🖟	.coi	MISSION	N/FEE	NET AMOUNT
	06/22/20	)21	06/24/20	21				0.00		32,400.00
TRADE DESCRIPTION	1		11.0		SYMBO	)Ĺ	(	e e	C. Harrison	
TORCHI IGHT ENERG	V DESCUIDATES	INI			TDCU					

TORCHLIGHT ENERGY RESOURCES IN COM

TRCH

OOM

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

427-965022-1				3563	86232520		A	TD AM	ERITRADE	
* ACTIVITY	· Q	UANTITY	CUS	IP NUMBER	PRICE		PRINCIPAL	AMOUNT	MISC. FEE	S REG FEES
YOU BOUGHT		2,000	89	9102U103	10.38		20,76	20,760.00		0.00
AS OF TRADE DATE		TRADE DATE		SETTLEMENT DATE		INT	TEREST COMMIS		ION/FEE	NET AMOUNT
		06/22/20	)21	06/24/20	21		,	0.0	0	20,760.00

TORCHLIGHT ENERGY RESOURCES IN COM

TRCH

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 142 of 144

Confirmation	Notice
Account 427-	965022
Page	2 of 2

									rago	2012	
ACCOUNTINO.	<u> </u>	HERINFO	TIRANSAG	ושאואסווי	MBER	OAP OCT	DES)		YOURREA	RESE	NIVANIVE
427-965022-1			3564	3616966		Α		TD AME	RITRADE		
AGMXIIV?	QUANT	ila Gi	SIPNUMBER	PRIC	追	PRINCIPA	LAMO	NUNIT .	MISGLIFE	8	RECIFEES
YOU BOUGHT	10,00	0	39102U103	8.0	5	80,500.00		0		0.00	
ASCFTRADEDAT	e Jr	ADEDATE	SEVYTEMEN	T DATE		WEREST	<b>©</b> ©	MMISSIO	N/FEE	N	ET AMOUNT
	0	6/22/2021	06/24/20	21				0.00			80,500.00
OPTHE SEED ECTIVATION	Ŋ		44 C 14 14	erio de	SYMB	©T					
TORCHLIGHT ENERG	GY RESOL	IRCES IN			TRCH						

СОМ

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.

ACCOUNT CO	DE: Type of Account		CAPACITY CODE:	
1. Cash	2. Margin Long	A= Agent C= Cross Trade P= Principal	R= Risidess Principal	· · · · · · · · · · · · · · · · · · ·
3. Margin Short	4. Income	Prime Broker if provided (contact the executing		
5. Withholding	6. Special	9= Agent for person other than customer	4= Agent for both customer and another person	5= Principal for some executions and agent for other
		6= Acts as both principal and agent	74 Acts as principal, agent and agent for another person	8= Crossing as agent
		9= Crossing as principal		

- IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

  1. TO Amentrade Clearing, Inc., member of FINRA/SIPC acts as the clearing agent.

  2. This continuation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the continuation is generated and may vary from the information at the firms the before we placed.

- This confirmation is a transaction advice, not an invoice. Remittance or runds or securities is due on or perceive executing information by a transaction advice, not an invoice. Remittance or runds or securities as the firm the trade was placed.

  You will report any error immediately anxior notify us if not entirely in accordance with your understanding.

  At transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Commission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are unsolicited.

  The securities described are, or may be hypothecated under circumstances which will permit the comminging thereof with securities carried for the account of the other customers. Such comminging, if any, ceases upon payment by you for

- these sections in the fact amount over.

  The name of the other party of booker in the bransaction, the date, the time of execution, source and amount of remuneration will be furnished upon request, if not already displayed.

  If this transaction is a sale and the securities are not already in our possession and do not represent a short sale, please forward then immediately to avoid possible payment of premium on securities pornowed.

  If payment is not made on or prior to the date required by Regulation T of the Federal Reserve Board, we reserve the right without further notice, to cancer this transaction, or at our option, to set the securities covered hereby and hold you
- It payment is not made on or prior to the date required by Regulation T or the Federal Reserve Board, we reserve the right without further notice, to cancel this transaction, or at our option, to set the securities covered hereby and hold you like for resulting tors.

  9. Commission/Fee represents base commission and any options contract, exercise, and assignment fees. For Alternative (nvestments (AI), also called Non Standard Assets (NSA), Commission/Fee represents transaction fees applicable to purchases, capital call processing, and redemptions. To Ameritade will charge a Reg Fee on certain transactions. These charges are typically based on fees assessed under various regulations applicable to transactions, in the formation of 1994, the Coptions Regulations are under various regulations applicable to options that trade in the price of the securities are consistent with Section 1 of Schedule A of FINRA's ByLaws), among other charges. Transactions in foreign securities (Including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be refrested the time fore of the securities and independent in the monor morthy statement.

  10. Misc, Fees will be explained upon request. For transactions involving certain index Options, TD Ameritade may charge an index Option Fee in accordance with the Chicago Board Options Exchange (CBCE) Global Mariets, inc. fee schedule. This fee will be explained upon request. For transactions involving certain index Options, TD Ameritade may charge an index Option Fee in accordance with the Chicago Board Options Exchange (CBCE) Global Mariets, inc. fee schedule. This fee will be explained by the foreign and provide the price before the Accordance of the Securities and the schedule of the Securities and the schedule of the Securities and index Options (CBCE) Global Mariets, inc. fee schedules, feed of the Securities and index Options (CBCE) Global Mariets, inc. fee schedules, feed of the Securities and options of the

- 14. currius amour necessarians securities trate generating vision equity securates and on not made on a listed exchange. Rether, they trade in the OTC (over the counter) marketpaste and signified and significant prior to maturity.

  15. If you request the sale of a normarketable or worthless security. TO Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TO Ameritrade thereby makes no representation regarding the present or future value of these securities. The transaction is ineversible and you will have no turner duffer duffin to claim against TO Ameritrade for any losses related to the sale.

  15. To access the Official Statement (e.g., prospectus, program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Debt, or Feberal Agency Securities, please value the applicable website and enter the CUSIP: Federal Farm Credit Bank (FFCB): www.farm.credit.frob.com, Federal Home Loan Mortgage Corporation (Fredite Mac): www.frediten.ac.com, Federal Home Loan Mortgage Corporation (Fredite Mac): www.frediten.ac.com, Government National Mortgage Association (Farmie Mae): www.farm.credit Bank (FfCB): www.
- The security that you purchased in ay have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the tiquidity of the position unless you have other securities from the issue that can be combined to reach the minimum denomination.

PO BOX 2577 - OMAHA NE 68103-2577 Please do not send deposits or correspondence to this address. TD AMERITRADE, INC. PO BOX 2209 OMAHA NE 68103-2209

Trade on the go with our mobile apps. Download at tdameritrade.com/mobileapp

JOHNATHON D WALLACE IRA TD AMERITRADE CLEARING CUSTODIAN 102 W PURITAN AVE MUSCLE SHOALS AL 35661-1442

# SP

### **Confirmation Notice**

ACCOUNT NO.	OTHER	YFU .	TRANSAC	I ION NUI	NDER	CAP CODE	. <b>&gt;</b>	YOUR REPRES	ENTATIVE	
427-965022-1				35726812295		A	TD AME	TD AMERITRADE		
ACTIVITY	QUANTITY	cus	IP NUMBER	PRIC	)E  -	PRINCIPAL	AMOUNT	MISC. FEES	REG FEES	
YOU BOUGHT	62		9102U103	4.99		309.38			0.00	
AS OF TRADE DAT	E TRADE D	ATE	SETTLEMEN	T DATE	INT	EREST	COMMISSIO	ON/FEE	NET AMOUNT	
	06/25/20	06/25/2021		06/29/2021					309.38	
TRADE DESCRIPTION	The second of the second		CAN COMPANY OF SA	10 May 10 Ma	SYMBO	L.		Section 1		

TORCHLIGHT ENERGY RESOURCES IN 1:2 R/S 6/28/21 59134N104

TRCH

THE IS AN I INCOLLECTED TO A DE

THIS IS AN UNSOLICITED TRADE

FOR FURTHER INFORMATION REGARDING YOUR TRADE CONFIRMATION

PLEASE REFER TO THE TERMS AND CONDITIONS.

*CLBL - If the above security description includes a 'CLBL' notation, this transaction involves a debt security that is subject to call or redemption before maturity. The debt security may be called or redeemed in whole or in part before maturity which could affect its yield. Additional information is available upon request.



#### Case 24-50792-hlb Doc 628 Entered 12/12/24 14:58:59 Page 144 of 144

**Confirmation Notice** Account 427-965022

Page 2 of 2

ACCOUNT CODE: Type of Account
Cash 2. Margin Long
Margin Short 4. Income
Withholding 6. Special A= Agent C= Cross Trade P= Principal R= Risidess Principal
Prime Broker if provided (contact the executing broker for further details)
3= Agent for person other than customer 4= Agent for both customer and another person
7= Acts as principal, agent and agent for another person CAPACITY CODE: 5= Principal for some executions and agent for other 8. Crossing as agent 9= Crossing as principal

IT IS AGREED BETWEEN THE EXECUTING BROKER AND THE CUSTOMER NAMED HEREIN THAT:

1. TO Ameritade Clearing, Inc., member of FINRA/SIPC acts as the deering agent.

2. This confirmation is a transaction advice, not an invoice. Remittance of funds or securities is due on or before settlement date. Certain security information is collected at the time the confirmation is generated and may vary from the information.

2. This confirmation is a transaction advice, not an invoice. Remittance of Airds or securities is due on or before settlement date. Certain security information is observed at the time the trade was placed.

3. You will report any errors immediately and/or notify us if not entirely in accordance with your understanding.

4. At transactions are subject to the rules, regulations, requirements (including margin requirements) and customs of the Federal Reserve Board, the Securities and Exchange Cominission, the Exchange or market (and its clearing house, if any) where executed, and of any association whose rules and regulations govern transactions in said market, and to all the terms of our general customer's agreements between you and us. Wisconsin customers' agency trades are unsolicited.

5. The securities described are, or may be hypothecated under circumstances which will permit the commingling thereof with securities carried for the account of the other party or broker in the transaction, the date, the time of execution, source and amount of remuteration will be furnished upon request, if not already displayed.

6. The name of the other party or broker in the transaction, the date, the time of execution, source and amount of remuteration will be furnished upon request, if not already displayed.

7. It this transaction is a size and the securities are not already in our possession and do not represent a short sale, please forward them immediately to avoid possible payment of premium on securities browned.

8. It payment is not made on or prior to the date required by Regulation 7 or the Federal Reserve Board, we reserve the right without further notice, to cause this transaction, or a our option, to sell the securities or a security and hold you liable for resulting loss.

8. It payment is not made on or prior to the date required by Regulation 7 or the Federal Reserve Board, we reserve the right without further notice, to cause this transaction. The securities are the properties of the securities are presen

12. Livrisied upon request.

13. Leveraged and inverse ETFs entail unique risks, including but not limited to: use of leverage, aggressive and complex investment techniques; and use of derivatives. Leveraged ETFs seek to deliver multiples of the performance of a benchmark. Both seek results over periods as short as a single day. Results of both strategies can be affected substantially by compounding. Returns over longer periods will likely differ in amount and even direction. These products require active monitoring and management, as frequently as dally. They are not suitable for all trivestors.

14. Bonds and/or fixed-income securities that definering the network securities and do not trace on a listed exchange. Asther, they trade in the OTC (over the counter) marketplace and equality may not always exist for you to sell your position prior to maturity.

15. If you request the sale of a normarketable or worthless security. To Ameritrade will be the buyer in the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and TD Ameritrade thereby makes not representation regarding the present or future value of these securities. The transaction is ineversible and you will have no further dulin to the securities and on claim against TD Ameritrade thereby makes not representation regarding the present or future value of these securities. The transaction is ineversible and you will have no further dulin to the securities and on claim against TD Ameritrade thereby makes not representation to program disclosure document, information statement, etc.) related to Municipal Bonds, Government Sponsored Enterprise Dett, or Federal Againty Securities, please visit that applicately website and enter the CUSIP: Federal Farm Credit Bank (FFCB); www.framcredit.frdb.com, Federal Home Loan Bank (FHLB); www.frable.com, Federal Home Loan Mortgage Association (Fradde Mac); www.framcredit.frdb.com, Federal Home Loan Bank (FHLB); www.frable.com, Federal Home Loan Bank (FHLB); www.frabl

17. The securally that you purchased in ay have a minimum denomination set by the issuer, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. The quantity of securities you purchased is below the minimum denomination and may adversely affect the injustion unless you have other securities from the result at can be combined to reach the minimum denomination.